

Whistle-Blowing (Raising Concerns) Report

1. Executive Summary

This paper provides an update on raising concern cases from July 2015 to present.

2. Summary/Key points

The Raising Concerns at Work Policy is in place to provide any Trust employee with a number of options and ways to raise a concern and there are a number of ways of doing this either openly, confidentially, or anonymously. A non-executive director member of the board, Ruwan Weerasekera, oversees raising concerns for the Trust.

The issues raised have been investigated and the outcomes, where known, highlighted below. There are no particular trends or patterns of behaviour identified as a range of issues have been identified. However, where issues are identified the Director of HR and OD reviews the outcomes and ensures actions are taken and any learning shared as appropriate. The cases reported since July 2015 are outlined in the table below:

	Raised by	Issue	Date Raised	Lead Manager	Status and date of resolution	RAG
1.	Member of staff (PW)	Clayponds – HCA (AW)	22 April 2016	██████████ General Manager	Investigation underway and to be completed by 31 st May 2016.	Yellow
2.	Anonymous member of staff	Lone Working Issues in MRI	23 March 2016	Amanda Pye	Investigation being undertaken by ██████████	Yellow
3.	Anonymous Member of staff	Clayponds – complaint about Admin Office and others	March 2016	General Manager – ██████████	An informal investigation found that whilst there were no behaviour that warranted formal action there was several learning points highlighted which are being addressed by the	Green

					manager.	
4.	Member of staff (MV)	Management of the process of raising the concern and level of support offered	February 2016	Claire Gore	Investigation completed and being fed back to staff with actions to be agreed to address the issues raised as appropriate	
5.	Member of public	Staffing levels at Fielding and Hardy Wards	9 February 2016 Via CQC	Amanda Pye	Closed. Amanda to confirm outcome	
6.	Staff Member anonymous	Wembley DN Team Review demand on the team and the team's capacity to meet demand	2 February 2016		Update pending	
7.	Anonymous	KR Specialist Nurse – compressed hours (Brent Community Children's Services)	18 January 2016	General Manager – Children's Services	Investigation now concluded and there was no evidence to uphold the allegation. There were a few recommendations to be addressed.	
8.	Member of staff Via CQC	Re: Intestinal Failure Unit - Insufficient staffing on Frederick Salmon Ward; insufficient numbers of staff with IV training; B4 overseas staff administering IV drugs	11 January 2016 Via CQC	Amanda Pye	Amanda Pye / to advise on resolution. Update pending	
9.	Member of staff Via CQC	No gynaecological cover at Ealing	11 January 2016 Via CQC	Amanda Pye	Upon investigation by the DGM the rota was circulated in error for the week. It is	

		Hospital			normal practice to provide cover at Ealing. Report outstanding	
10.	Member of staff (SW) via email 21.12.15	Possible fraudulent activity within the Jeffrey Kelson Centre at CMH	December 2015	██████████ Divisional General Manager - Surgery	██████████, DGM assigned as investigating manager. The matter was talked through with the member of staff who raised the concern and since submitting the concern she felt that the situation got better and does not want the matter to be taken any further. All the concerns raised have been dealt with the individual whose time keeping has now improved. Arrangements being made for feedback to be given to individuals cited in allegations.	
11.	Anonymous: member of staff (9.12.15)	Concerns regarding move to T Block	December 2015	██████████	Investigated and responded by Deputy Director of HR (LM)	
12.	Anonymous re: Clinician RR	Clinical practice and behaviour of senior clinician toward patients and colleagues	10 November 2015	Charles Cayley	General Manager ██████████ undertaking an investigation which is due to conclude Mid February. It was concluded there was no evidence to support the claim.	
13.	Ex-employee re: Willesden & Kilburn HV	Allegations ranging from general conduct, bullying, lack of support and threatening behaviours	October 2015	██████████	Investigation complete – report expected 22 January. There was no evidence to substantiate the allegations however training needs were identified.	
14.	Member of staff re: Estates Electrical Contractor D Cook	The use of electrical contracting firm	8 October 2015	Nigel Myhill	It is recommended that the Dept extends the process of having tender reports undertaken describing value for	

					money of the preferred response in order to ensure objective selection of contractors.	
15.	Staff member re: Brent & Ealing Wheelchair Service	Alleged 'dire' treatment of staff by the service lead	August 2015	██████████ General Manager – Adult Services	There were delays due to receiving key witness statements however investigation is now complete and report is to be finalised.	
16.	Anonymous re: Emergency Department	Concerns that the ED does not have a current fire certificate	July 2015	Nigel Myhill	Preliminary review undertaken to address the points raised.	
17.	Anonymous re: Agency staff	Overuse of agency staff	July 2015	██████████	Reviewed as part of the Trust agency spend and monitored on a weekly basis through the recruitment and temporary staffing working group.	
18.	Anonymous re: Clinician RS	Complaint regarding clinical trials.	June 2015	Charles Cayley	Complaint was received via an email from the CQC (██████████). Complaint concluded.	

3. Next steps

Whilst improvements have been made to the recording, monitoring and oversight of the concerns raised there is still a considerable amount the Trust could do in line with the recommendations made in Lord Robert Francis' Freedom to Speak Up report. The report was published in February 2015 (having been commissioned by the Secretary of State following the Mid-Staffordshire Report). The recommendations in the Freedom to Speak Up report were accepted in their entirety by the Secretary of State and contain a number of principles and actions for regulatory bodies, government and healthcare providers against the following five themes:

- Culture change

- Better handling of cases
- Measures to support good practice
- Particular measure for vulnerable groups
- Enhancing the legal protection

The Trust has not previously had a single action plan for the implementation of the recommendations from the Freedom to Speak Up report and work has commenced to develop an action plan to ensure that the Trust is meeting its obligations in relation to these. Its proposed that this is initially reviewed by Amanda Pye, Chief Nurse, Ruwan Weerasekera, NED and Claire Gore, Director of HR and OD and a full report brought to a future board meeting.

5. Recommendations

5.1 The Executives are to note the contents of this paper and agree to the next steps in relation to the implementation of the recommendations following the Freedom to Speak Up Report.