

Our ref: F21/175

27<sup>th</sup> August 2021

Dear Laura Clayson

**Freedom of Information (Scotland) Act 2002 - Information requested**

Your request for information has been considered and the information held is noted below.

You requested the following information:

1. What was the total market value of the university's investment portfolio(s) on the 31st July 2021? If you are going to provide this information through your Annual Accounts/Financial Statements, please provide the specific page number, section and row that the value can be found on. For example, page 28, section 5 "Investments", line "overall investment value".

**These details are published each year in our Annual Financial Statements. The Financial Statement for the year ended 31 July 2021 are currently being finalised before being audited and then approval by University Court. It is anticipated these will be published around January 2022 and will be available on the UWS website at: <https://www.uws.ac.uk/about-uws/compliance/financial-statements-regulations/>**

**For Year Ending 31 July 2020 the value is published on page 42 of the University's financial statement <https://www.uws.ac.uk/media/6738/uws-financial-statements-2020.pdf>**

2. On the 31st July 2021, were the institution's investment portfolio(s) managed directly by the institution, indirectly by [an] external fund manager(s), or a combination of both?

**A combination of both**

3. If the university uses external fund manager(s) to manage investment portfolios, please provide the name of each fund manager used, along with the percentage of the total investment funds that they were managing on the 31st July 2021.

Please present this information in this format:

Fund manager name -- Percentage of total investment funds managed

Schroders -- 47% CCLA -- 49% University -- 4%

**The total investment funds split by external fund manager is contained within Annex 1 (information held as at 31 July 2020).**

**The University is currently collating this information as at 31<sup>st</sup> July 2021 and will forward a copy to you once this is available. It is anticipated this will be available by end of September 2021.**

4. If the institution invests directly, please provide the details of companies invested in (by way of all investment portfolios), including the full names of each company invested in, and the market value invested in each company on the 31st July 2021. Please provide this information in a spreadsheet format.

**Details of direct investments is contained within Annex 1 (information held as at 31 July 2020).**

**The University is currently collating this information as at 31<sup>st</sup> July 2021 and will forward a copy to you once this is available. It is anticipated this will be available by end of September 2021.**

5. If the university holds investments through [an] external fund manager(s), please provide the investment portfolio for that manager on the 31st July 2021, including the market value for each company that forms part of your investment portfolio(s) with them. Please provide this information at a company level in a spreadsheet and/or the format that this information is provided to you by your fund manager(s). For example, see here: [https://eu-west-1.protection.sophos.com?d=whatdotheyknow.com&u=aHR0cHM6Ly93d3cud2hhdGRvdGhleWtub3cuY29tL3JlcXVlc3QvMTgxOTYzL3Jlc3BvbnNILzQ1MTM3Ny9hdHRhY2gvMy9GT0kIMjAxMjgyJTlwSW52ZXN0bWVudHMIMjBOb3YIMjAxMy5wZGY\\_Y29va2lX3Bhc3N0aHJvdWdoPTE=&i=NjBkYzQxOTJlNzA1NTlwZTg3MjE1YzRm&t=WnI5VzdWKzVJZk03L0RtNII0bE9NdnRNOGtyTkVxVEFycEZmd2JuR0hORT0=&h=c2ec6ee5558c491491b6dffa12786136](https://eu-west-1.protection.sophos.com?d=whatdotheyknow.com&u=aHR0cHM6Ly93d3cud2hhdGRvdGhleWtub3cuY29tL3JlcXVlc3QvMTgxOTYzL3Jlc3BvbnNILzQ1MTM3Ny9hdHRhY2gvMy9GT0kIMjAxMjgyJTlwSW52ZXN0bWVudHMIMjBOb3YIMjAxMy5wZGY_Y29va2lX3Bhc3N0aHJvdWdoPTE=&i=NjBkYzQxOTJlNzA1NTlwZTg3MjE1YzRm&t=WnI5VzdWKzVJZk03L0RtNII0bE9NdnRNOGtyTkVxVEFycEZmd2JuR0hORT0=&h=c2ec6ee5558c491491b6dffa12786136)

**Annex 1 contains the investment portfolio for each fund manager, including the market value for each company (information held as at 31 July 2020).**

**The University is currently collating this information as at 31<sup>st</sup> July 2021 and will forward a copy to you once this is available. It is anticipated this will be available by end of September 2021.**

6. Does the institution have an ethical investment policy, or similar? **Yes** If so, please state if it is publicly-available, and provide a web link.

If applicable, in response to any of the above information requested, please confirm that the University does not hold this information.

**The University's Ethical Investment Statement is available on the following page of the University's website: <https://www.uws.ac.uk/about-uws/compliance/financial-statements-regulations/>**

**Link to [Ethical Investment Statement](#)**

If you are not satisfied with the handling of your enquiry you have a right to review under the Act as laid out in the notices below.

Yours sincerely

**Freedom of Information Office  
University of the West of Scotland**

## Notices

### **1. Right of Review**

In the event that you are dissatisfied with the handling of your request for information, you may require us to review our actions and decisions relating to your request ('Review Request').

Your Review Request must be made to us in writing or in other durable form, stating your name and address for correspondence, specifying the request for information to which your Review Request relates and the matters that have given rise to your dissatisfaction.

It must be provided to us within 40 working days (which phrase excludes Saturdays, Sundays, Christmas Day and Scottish Bank Holidays) after the expiry of the period within which we were obliged under the Act to respond to your request for information.

You may withdraw your Review Request by notice to us in writing at any time.

Assuming your Review Request is not withdrawn, we are required to conduct our review and respond to you ('Review Response') within 20 working days (which phrase excludes Saturdays, Sundays, Christmas Day and Scottish Bank Holidays) after the date on which we received your Review Request. The request for review should be addressed to:

Emma Cuckow, University Solicitor, University of the West of Scotland, Paisley Campus, Paisley, PA1 2BE Email: [Emma.Cuckow@uws.ac.uk](mailto:Emma.Cuckow@uws.ac.uk)

### **2. Right of Appeal**

In the event that you have not withdrawn your Review Request and we have failed to respond to you within the proscribed time, or you are dissatisfied with our Review Response, you may apply to the Scottish Information Commissioner for a decision as to whether we have dealt with your request in accordance with the Act ('Appeal Application').

Your Appeal Application must be made to the Scottish Information Commissioner in writing or in other durable form, stating your name and address for correspondence, specifying the request for information to which your Appeal Application relates and the matters that have given rise to your dissatisfaction.

It must be provided to the Scottish Information Commissioner within six months after the date you received our Review Response or, in the event that we did not provide you with a Review Response within the proscribed time, within six months after the expiry of that period. The address of the Scottish Information Commissioner is:

Scottish Information Commissioner, Kinburn Castle, Doubledykes Road, St Andrews, Fife, KY16 9DS Tel 01334 464 610; Fax 01334 464 611; email: [enquiries@itspublicknowledge.info](mailto:enquiries@itspublicknowledge.info); [www.itspublicknowledge.info](http://www.itspublicknowledge.info)