

Our Ref: IG/TC/0590



J Roberts

Sent by email only:

request-784965-17e3d540@whatdotheyknow.com

The Cube
199 Wharfside Street
Birmingham B1 1RN

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www.sra.org.uk

10 September 2021

Dear J Roberts,

Information request – Our Ref: IG/TC/0590

I am writing in response to your email of 23 August 2021.

The SRA is not subject to the Freedom of Information Act as it is not a designated authority under the Act. We have adopted our own transparency code which operates in a similar way.

I am handling your request for information under the SRA's Transparency Code.

You requested the following information:

- "1. Please send me a copy of the decision relating to Hodge.*
- 2. Who hears appeals concerning solicitors who have been struck off?*
- 3. Of the five most recent cases concerning concluded appeals from solicitors who were struck off following decisions of the Solicitors Disciplinary Tribunal, please provide (i) the date each was struck off, (ii) the date each appeal concluded and (iii) the outcome of each appeal."*

Our response:

1. Please see our website for details of the decision in this matter:

[SRA | Person details | Solicitors Regulation Authority](#)

2. Appeals from a decision of the Solicitors Disciplinary Tribunal (SDT) are heard at the High Court. You can read about this on the website of the SDT:

[FAQs | Solicitors Disciplinary Tribunal](#)

We are the regulator of solicitors and law firms in England and Wales.

Solicitors Regulation Authority Limited is a company limited by guarantee.
Our registered offices are: The Cube, 199 Wharfside Street, Birmingham, B1 1RN.
Our company registration number is: 12608059.

3. Please see the information you have requested below:

| Struck Off | Appeal Heard | Outcome |
|-------------------|---------------------|--|
| 08/08/19 | 25/06/21 | Refused at Permission stage |
| 19/11/19 | 15/04/21 | Refused at Permission stage |
| 11/12/19 | 29/03/21 | Dismissed |
| 20/09/19 | 19/01/21 | Dismissed |
| 13/02/19 | 16/10/19 | Allowed – Original SDT Order quashed and to be remitted back to newly appointed SDT Panel for re-hearing |

More information on how we handle requests, including a link to a copy of our Transparency Code, can be found on our website:

www.sra.org.uk/sra/how-we-work/transparency.page

Please quote the reference number **IG/TC/0590** if you decide to contact us further regarding this request.

Yours sincerely,

Jack Baraczewski
Information Governance Officer
Solicitors Regulation Authority

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Internal review and complaint process

If you are not satisfied with our response to your request for information, you can request that the matter is reconsidered as an internal review. To do so please write to, or email, the Information Compliance and Governance Manager at:

SRA Information Compliance
The Cube
199 Wharfside Street,
Birmingham B1 1RN

SRAInformationCompliance@sra.org.uk

Please note that your request for an internal review must be submitted within 60 days of our decision. We aim to issue a formal response to internal reviews within 20 working days.

Internal review process

The internal review will consider any limitations applied and the information disclosed. This aspect of the review is final and there is no further avenue for appeal. It is also important for you to note that, as this is a voluntary code, the Information Commissioner is unable to consider your complaint.

Escalation to a complaint

If you are still not satisfied with the response that you have received, you can make a complaint to our Complaints Team about the way we have handled your request.

The complaints team will only be able to review the matters related to our service, for example our communication and compliance with the deadline.

If you are unhappy with the Complaint's Team decision, you can escalate the matter further and ask the Independent Reviewer (Centre for Effective Dispute Resolution) to review your complaint. The service is independent to us and they will review how we handled your complaint, not our decision.

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