

Email: [foi@nottshc.nhs.uk](mailto:foi@nottshc.nhs.uk)  
Direct Dial: 0115 993 4519

Our ref: 2016-17/1660  
Your ref:

**Trust Headquarters**  
**The Resource**  
**Duncan Macmillan House**  
**Porchester Road**  
**Mapperley**  
**Nottingham**  
**NG3 6AA**

**Tel: 0115 9691300**

16 May 2017

Dr George Julian  
Via email: [request-385807-d3d6eee5@whatdotheyknow.com](mailto:request-385807-d3d6eee5@whatdotheyknow.com)

Dear Dr Julian,

**Re: Decision Notice under Section 17 of the Freedom of Information Act 2000**

Thank you for your recent request for information under the Freedom of Information Act. Please accept our sincere apologies for the delay in our response.

**Duty to confirm or deny**

I can confirm that the information requested is held by the Trust.

**Response to the information requested**

Please see our answers to each of your questions below:

*1a) total number of deaths that have occurred at the Trust in each of the last five years (please provide data broken down by year)*

- 2011/12 – 533
- 2012/13 – 691
- 2013/14 – 533
- 2014/15 – 629
- 2015/16 – 533
- 2016/17 (Quarters 1 to 3) - 423

Please also see Page 11 of Appendix 2 attached.

*1b) the number of these deaths that were classified as unexpected*

- 2011/12 – 77
- 2012/13 – 74
- 2013/14 – 85
- 2014/15 – 132
- 2015/16 – 112
- 2016/17 (Quarters 1 to 3) – 130

Please also see Page 11 of Appendix 2 attached.

*2a) total number of deaths that have been referred to the Coroner by the Trust in each of the last five years*

It has been reasonably calculated that to provide you with this information would significantly exceed the £450 cost limit as set out within the Freedom of Information and Data Protection (Appropriate Limit and Fees) Regulations 2004. Accordingly this information is exempt under Section 12(1) of the Freedom of Information Act 2000.

*2b) of these, the number of deaths of patients with a learning disability referred to the Coroner*

It has been reasonably calculated that to provide you with this information would significantly exceed the £450 cost limit as set out within the Freedom of Information and Data Protection (Appropriate Limit and Fees) Regulations 2004. Accordingly this information is exempt under Section 12(1) of the Freedom of Information Act 2000.

*3a) total number of deaths of patients with a learning disability that have occurred at the Trust in each of the last five years (please provide total number and indicate whether they were inpatients at the time of their deaths)*

We can confirm that there have been a total of 7 deaths relating to patients with learning disabilities between 2011/12 to the end of Quarter 3 of 2016/17.

Please also refer to Page 12 of the Report submitted to the Board of Directors in February 2017 attached as Appendix 2.

*3b) of these, the number of these deaths of patients with a learning disability that were classified as unexpected*

Of the 7 deaths of patients with learning disabilities, 3 were classified as unexpected. Please also refer to Page 12 of Appendix 2 attached.

*4a) total number of deaths at the Trust reported as an incident on the National Reporting and Learning System (NRLS) in each of the last five years*

- 2011/12 – 203
- 2012/13 – 41
- 2013/14 – 49
- 2014/15 – 75
- 2015/16 – 63
- 2016/17 (Q1 to Q3) - 52

*4b) total number of deaths at the Trust reported on Strategic Executive Information System (STEIS) in each of the last five years*

- 2011/12 – 66
- 2012/13 – 65
- 2013/14 – 72
- 2014/15 – 87
- 2015/16 – 87
- 2016/17 (Q1 to Q3) - 75

*4c) if possible, please indicate the number of these that relate to patients with a learning disability*

We can confirm that there has been a total of 1 death relating to a patient with a learning disability recorded on STEIS between 2011/12 to the end of Quarter 3 of 2016/17.

*5a) total number of initial 72-hour reviews into deaths (as defined by the NHS Serious Incident Framework) in each of the last five years*

Please see our answer contained within Appendix 1 attached.

*5b) total number of investigations into deaths (as defined by the NHS SI Framework) in each of the last five years - please provide data broken down by severity, Level 1 internal investigations, Level 2 comprehensive internal investigations, Level 3 independent investigations. Please also indicate whether the numbers refer to investigations opened or completed.*

Please see our answer contained within Appendix 1 attached.

## **Background to Trust Services**

This section provides information in relation to our services, in order to put our answer and references to our Divisions into context.

We provide services across Nottinghamshire for people with mental health needs, with needs relating to drug or alcohol dependency, mental and physical health services for people with intellectual disabilities and community physical healthcare. We also provide secure mental health services.

Our clinical services are delivered through two clinical divisions:

- Local Partnerships - provides physical healthcare and mental health, intellectual and development disability and substance misuse services. These services are for people of all ages and are provided in the community, outpatient and inpatient settings.
- Forensic Services - provides assessment and treatment to individuals with a mental disorder who have committed or are at serious risk of committing a criminal offence, and are likely to cause serious physical and/or psychological harm to themselves or others. These people are cared for in secure hospitals and in the community. The Division also includes our Offender Health teams which provide physical and mental healthcare in prisons.

### **Exemption(s) which apply**

The following exemption applies:

- Section 12(1) of the Freedom of Information Act 2000 applies to Question 2(a) and 2(b) for the reason outlined above.

As part of our monitoring process to ensure that we continue to meet your requirements, we would be grateful if you could please take a few moments to complete the attached survey and return it by email to: [foi@nottshc.nhs.uk](mailto:foi@nottshc.nhs.uk)

Most of the information that we provide in response to Freedom of Information Act 2000 requests will be subject to copyright protection. In most cases the copyright will be owned by Nottinghamshire Healthcare NHS Foundation Trust. The copyright in other information may be owned by another person or organisation, as indicated in the information itself.

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For information where the copyright is owned by Nottinghamshire Healthcare NHS Trust please contact me. Each request will be dealt with in accordance with the Reuse of Public Sector Information Regulations 2005 and a fee may be charged. For information where the copyright is owned by another person or organisation, you must apply to the copyright owner to obtain their permission.

If you are unhappy with the handling of your request or the information provided, you may ask the Nottinghamshire Healthcare NHS Foundation Trust to carry out an internal review. Requests for internal reviews should be addressed to the Company Secretary at The Resource, Duncan Macmillan House, Porchester Road, Nottingham NG3 6AA.

If you are still unhappy following an internal review, you may make a complaint to the Information Commissioner at Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF.

Yours sincerely,

A handwritten signature in cursive script that reads "Vicky Lee".

**Vicky Lee**  
**Assistant Company Secretary**