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Mr Tom Kearney

Email: <mailto:request-709366-c2377cf4@whatdotheyknow.com>

Direct tel: 01332 253323
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our ref: F0019198

your ref:

date: 11 December 2020

Dear Mr Kearney

Re: Sandilands Investigation: IA 16767 Trams Management of Operational Risk

Thank you for enquiry dated 27 November in which you ask for details concerning the TfL's Safety Audit of First Group TOL (IA 16767).

You asked three questions, I will take each of these questions in turn;

Question 1: On what date did TfL inform RAIB of IA 16767?

Answer: On 22 Nov 2016.

Question 2: On what date TfL provide RAIB with the latest draft of IA 16767 and all the fieldwork associated with this uncompleted audit?

Answer: On 1 February 2017, RAIB was informed that the audit report had not been completed and had been halted until after the publication of the RAIB report.

Question 3: Why is IA 16767 not mentioned in any of the 4 versions of the Sandilands Investigation Report RAIB has published since 7 December 2017?

Answer: The incomplete audit report was not considered useful to the investigation because RAIB was undertaking its own detailed examination of the factors relevant to the causes of the accident. Review of the draft audit report confirms that it would have provided no additional evidence for our investigation, and so would not have changed our conclusions or recommendations.

If you are unhappy with the way RAIB has handled your request, or with the decisions made in relation to it, you may complain within two calendar months of the date of this letter by writing to the Department for Transport's Information Rights Unit at:

Zone D/01
Ashdown House
Sedlescombe Road North
Hastings, East Sussex TN37 7GA

E-mail: FOI-Advice-Team-DFT@dft.gsi.gov.uk

Please see below details of DfT's complaints procedure and your right to complain to the Information Commissioner.

Yours sincerely

Ian Stuart

**Rail Accident Investigation Branch
Business Support Team**

Your right to complain to DfT and the Information Commissioner

You have the right to complain within two calendar months of the date of this letter about the way in which your request for information was handled and/or about the decision not to disclose all or part of the information requested. In addition, a complaint can be made that DfT has not complied with its FOI publication scheme.

Your complaint will be acknowledged, and you will be advised of a target date by which to expect a response. Initially your complaint will be re-considered by the official who dealt with your request for information. If, after careful consideration, that official decides that his/her decision was correct, your complaint will automatically be referred to a senior independent official who will conduct a further review. You will be advised of the outcome of your complaint and if a decision is taken to disclose information originally withheld this will be done as soon as possible.

If you are not content with the outcome of the internal review, you have the right to apply directly to the Information Commissioner for a decision. The Information Commissioner can be contacted at:

Information Commissioner's Office
Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF