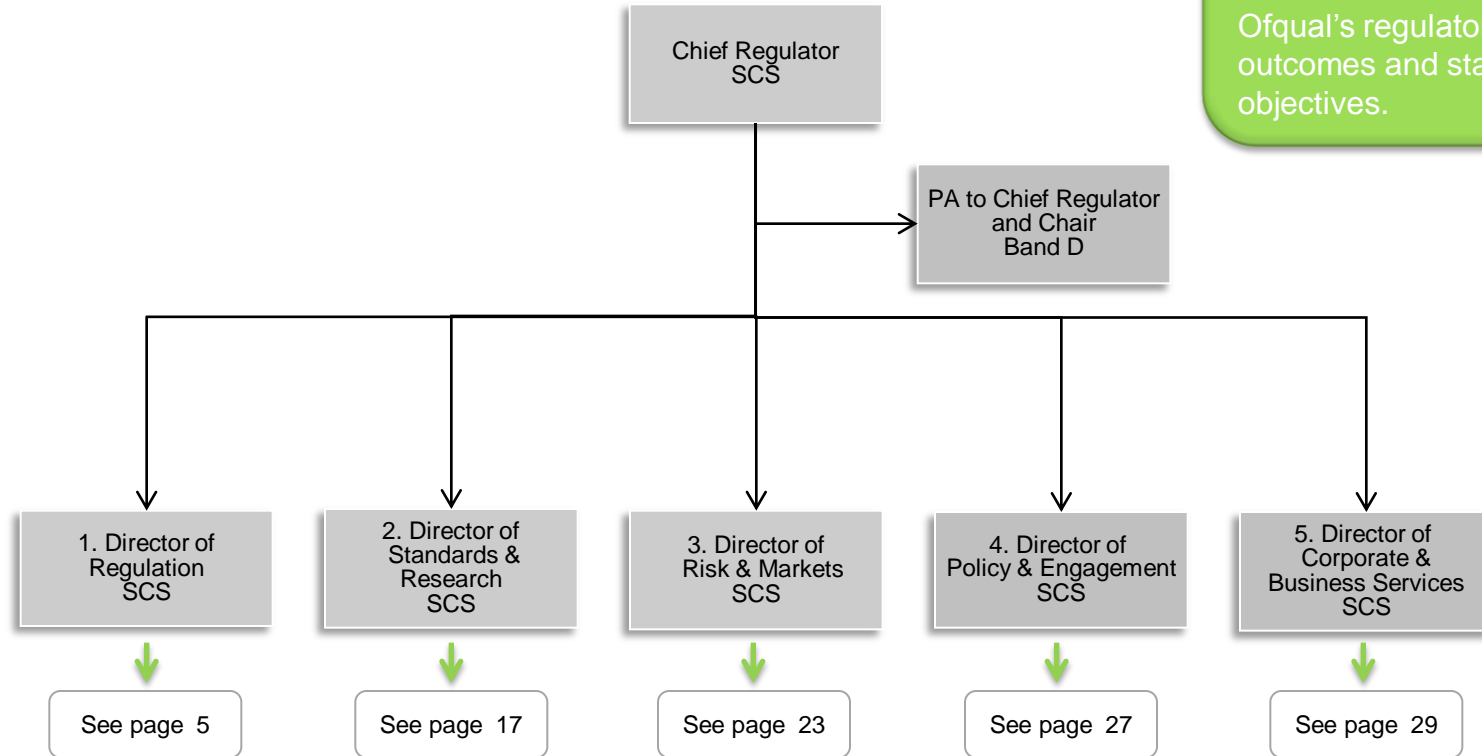# Organisation charts

7th August 2013

Executive

Executive

Purpose:
To direct, shape and lead Ofqual.
To deliver the full range of Ofqual's regulatory outcomes and statutory objectives.

Chief Regulator
SCS

PA to Chief Regulator and Chair
Band D

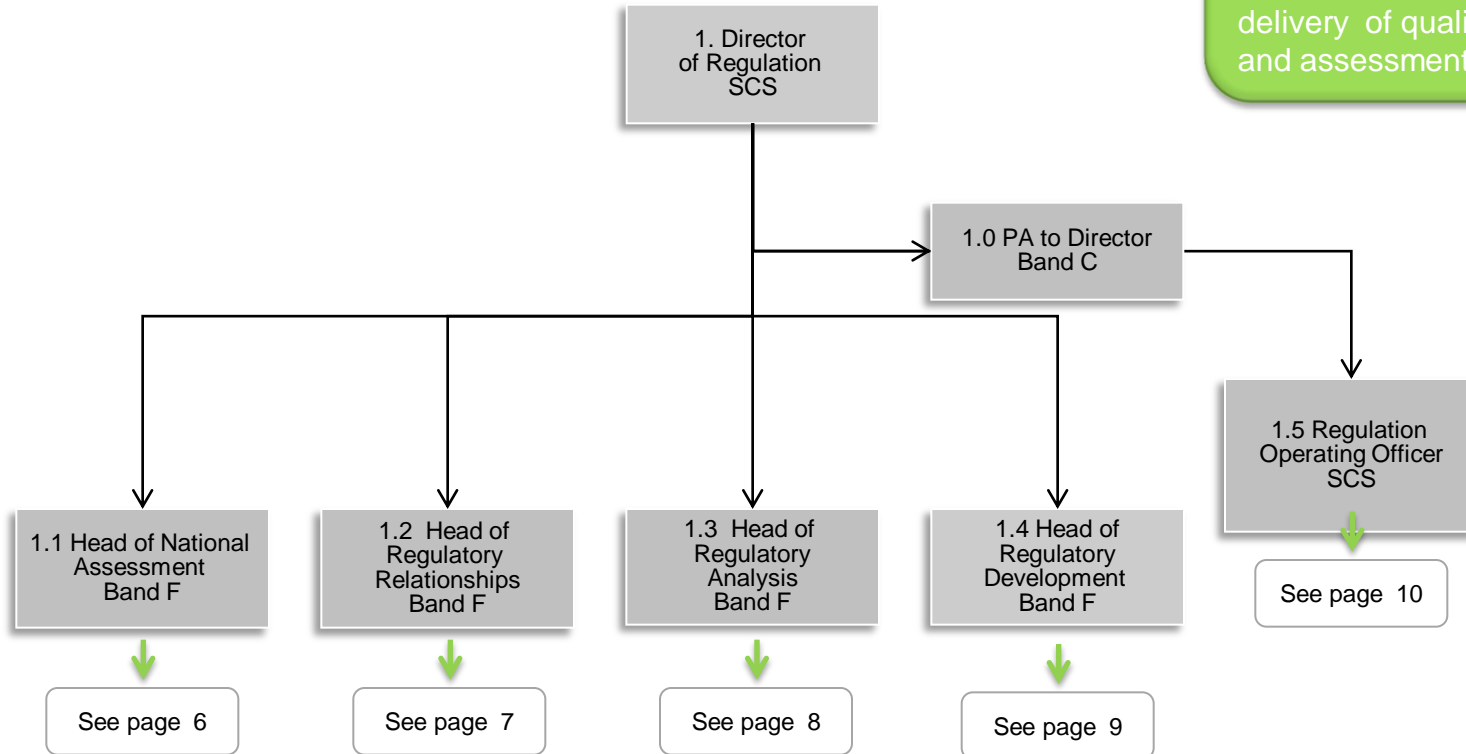| 1. Director of Regulation SCS	2. Director of Standards & Research SCS	3. Director of Risk & Markets SCS	4. Director of Policy & Engagement SCS	5. Director of Corporate & Business Services SCS
See page 5	See page 17	See page 23	See page 27	See page 29

7th August 2013

Regulation

Regulation Directorate

Ofqual

Purpose:
To regulate the AOs and STA to secure the standards and effective delivery of qualifications and assessments.

1. Director of Regulation
SCS

1.0 PA to Director
Band C

1.5 Regulation Operating Officer
SCS

See page 10

1.1 Head of National Assessment
Band F

See page 6

1.2 Head of Regulatory Relationships
Band F

See page 7

1.3 Head of Regulatory Analysis
Band F

See page 8

1.4 Head of Regulatory Development
Band F

See page 9

7th August 2013

Regulation - National Assessment

Ofqual

Purpose:
To carry out Ofqual's programmes of work for regulating National Curriculum and Early Years assessments.

1.1 Head of National Assessment
Band F

1.1.1.2 National Assessment Coordinator
Band C

1.1.2 National Assessment Manager
Band E

1.1.1.1 National Assessment Officer
Band D

1.1.3 National Assessment Officer
Band D

1.1.2.1 National Assessment Officer
Band D

1.1.2.2 National Assessment Officer
Band D
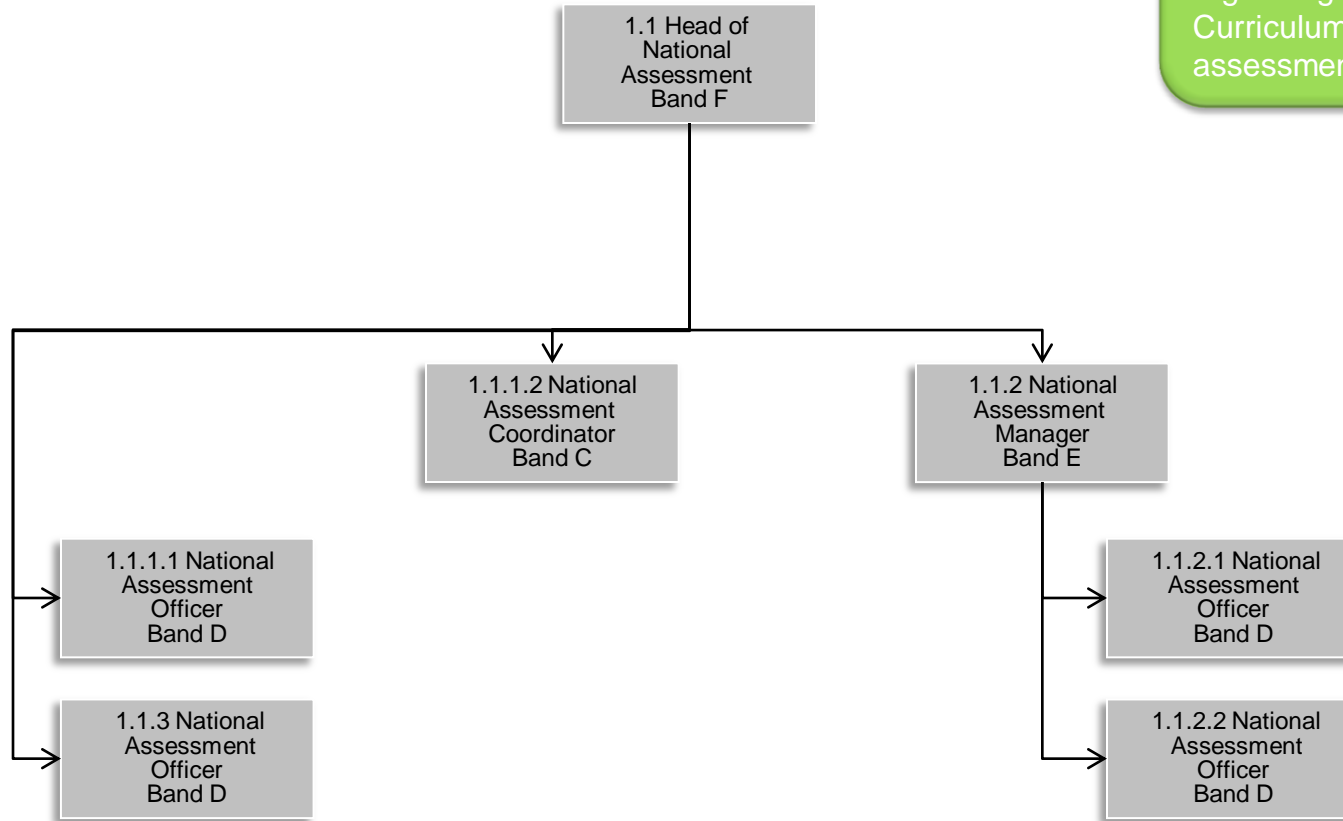
Regulatory Relationships

Purpose:
To influence the behaviour of AOs by creating and maintaining appropriate relationships with those we regulate and the trade bodies, and to support colleagues across Ofqual in these relationships.

1.2 Head of Regulatory Relationships
Band F

1.2.1 Regulatory Relationships Manager
Band E

1.3.2 Business Architect
Band E

1.2.2 Regulatory Relationships Manager
Band E

1.2.1.1 Regulatory Relationships Officer
Band D

1.2.1.2 Regulatory Relationships Officer
Band D

1.2.1.3 Regulatory Relationships Coordinator
Band C

7th August 2013
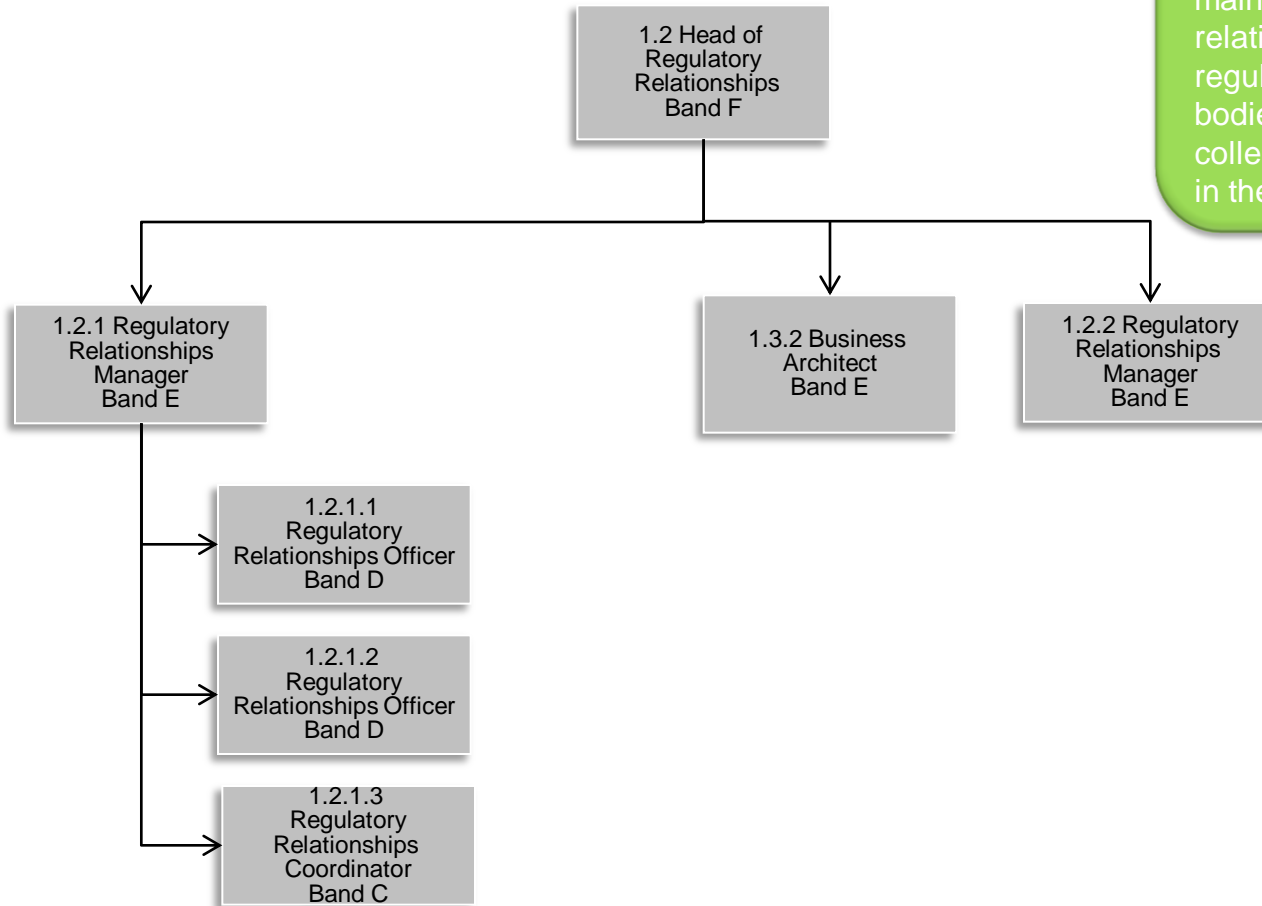
Regulatory Analysis

Purpose:
To provide Ofqual with analysis about those we regulate and the metrics to demonstrate our analysis.

1.3 Head of Regulatory Analysis
Band F
Currently not being covered

1.3.1 Entity Risk Manager
Band E
(see page 13)

1.3.2 Business Architect
Band E
(see page 7)

1.3.2.2 Systems User Officer
Band E
(see page 11)

1.3.1.1 Entity Risk Analyst
Band D
(see page 13)

1.3.1.2 Entity Risk Analyst
Band E
VACANT (see page 13)
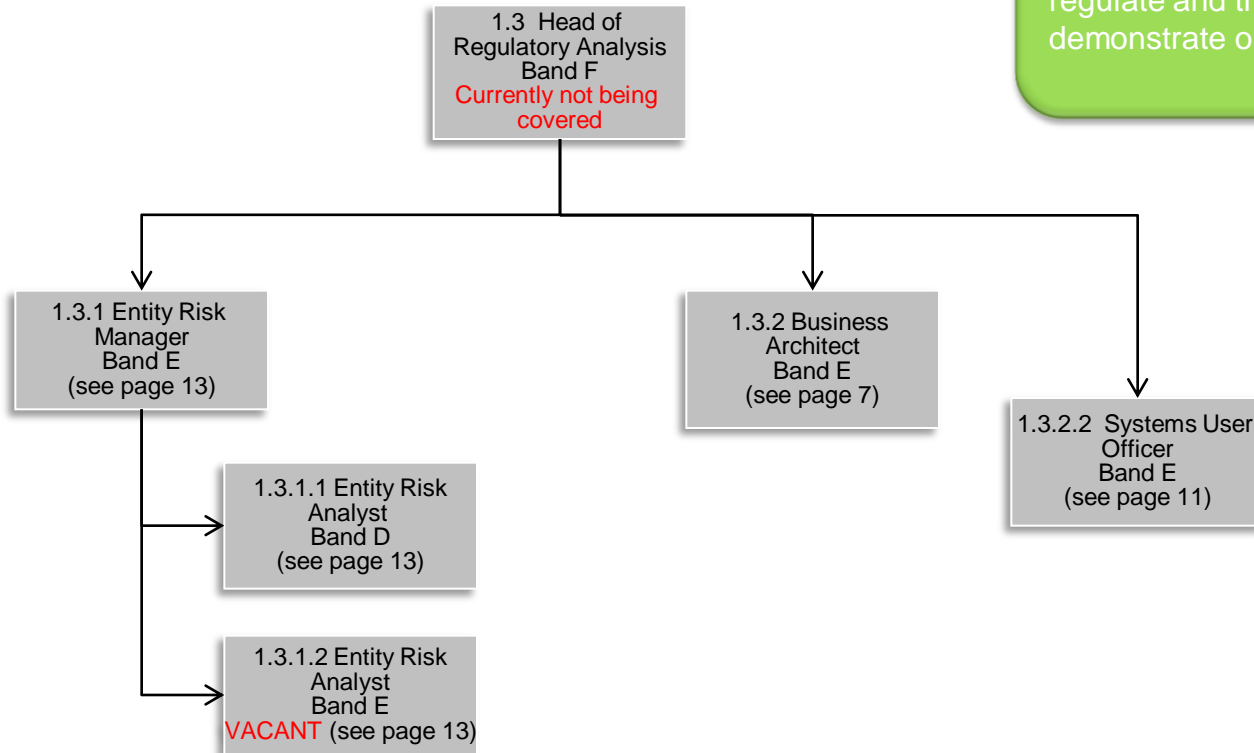
7th August 2013

Regulatory Development

Purpose:
To provide the regulatory expertise and thinking to support the organisation. To ensure our regulatory approach is rigorous, grounded and defensible.

```
                    ┌─────────────────────┐
                    │ 1.4 Head of         │
                    │ Regulatory          │
                    │ Development         │
                    │ Band F              │
                    └─────────────────────┘
```

| 1.4.1 Regulatory Development Manager Band E | 1.4.2 Regulatory Development Manager Band E | 1.4.3 Regulatory Development Manager Band E | 1.4.4 Regulatory Development Manager VACANT Band E |

Under 1.4.2:

- 1.4.2.1 Regulatory Development Officer Band D
- 1.4.2.2 Regulatory Development Officer Band D

Regulation - Delivery

Purpose:
To manage the end to end delivery of all Regulation operations undertaken by Ofqual and the effective application of Ofqual's strategic approach to regulation.
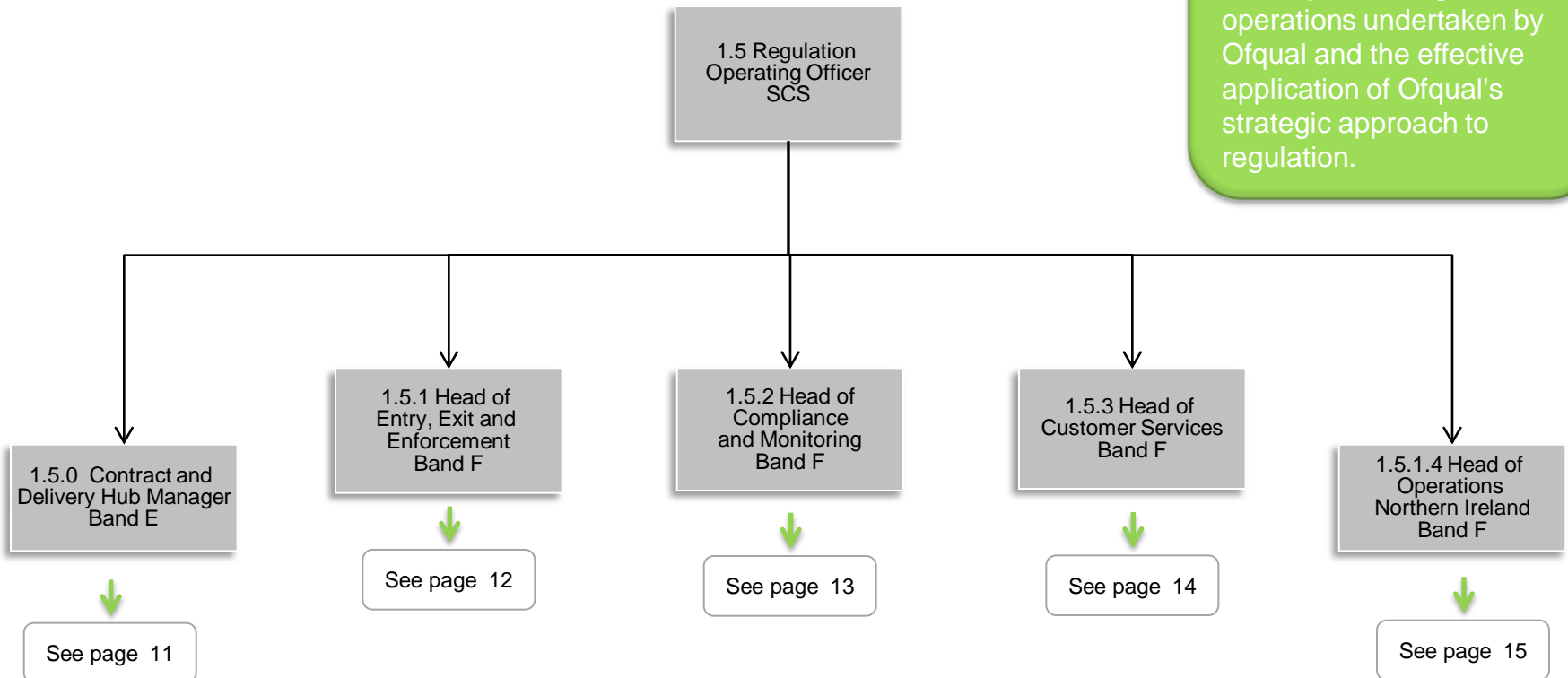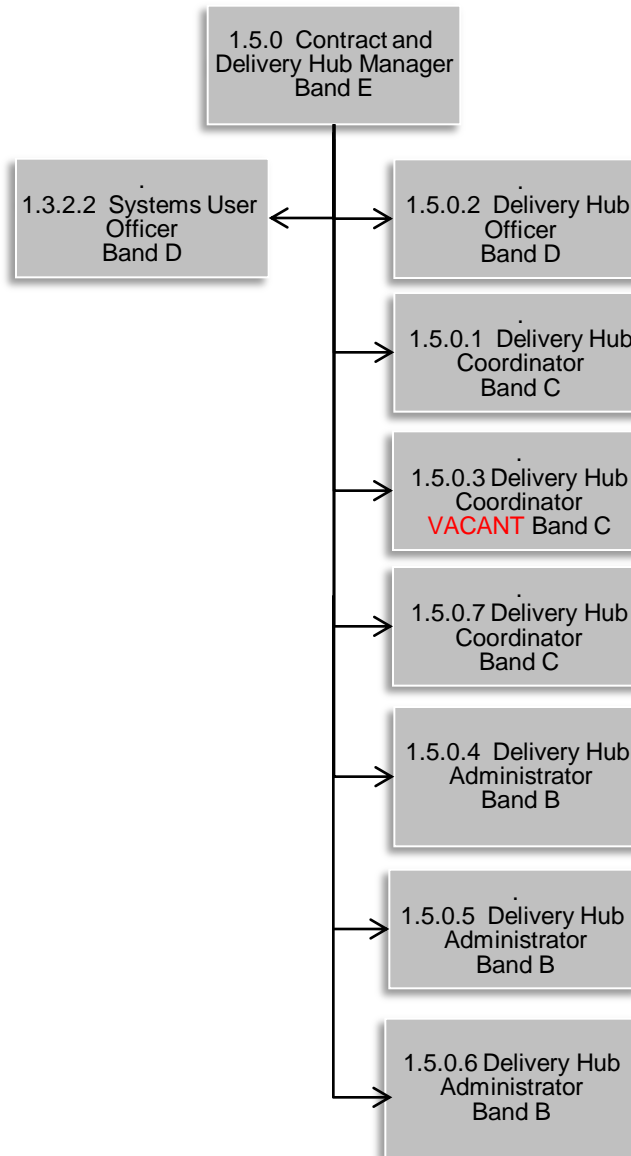
1.5 Regulation Operating Officer SCS

1.5.0 Contract and Delivery Hub Manager Band E

1.5.1 Head of Entry, Exit and Enforcement Band F

1.5.2 Head of Compliance and Monitoring Band F

1.5.3 Head of Customer Services Band F

1.5.1.4 Head of Operations Northern Ireland Band F

See page 11

See page 12

See page 13

See page 14

See page 15

7th August 2013

Regulation - Delivery Hub

```
                    ┌──────────────────────────┐
                    │ 1.5.0  Contract and      │
                    │ Delivery Hub Manager     │
                    │ Band E                   │
                    └──────────────────────────┘
```

1.3.2.2 Systems User Officer
Band D

1.5.0.2 Delivery Hub Officer
Band D

1.5.0.1 Delivery Hub Coordinator
Band C

1.5.0.3 Delivery Hub Coordinator
VACANT Band C

1.5.0.7 Delivery Hub Coordinator
Band C

1.5.0.4 Delivery Hub Administrator
Band B

1.5.0.5 Delivery Hub Administrator
Band B

1.5.0.6 Delivery Hub Administrator
Band B

7th August 2013

Regulation - Entry, Exit and Enforcement

Purpose:
To provide a secure gate-keeping function so that only those organisations and qualifications which meet the regulatory requirements become or stay regulated.

1.5.1 Head of Entry, Exit and Enforcement
Band F

1.5.1.1 Entry, Exit and Enforcement Manager
Band E

1.5.1.2 Entry, Exit and Enforcement Manager
Band E

1.5.1.3 Entry and Exit of Qualifications Manager
Band E

1.5.1.1.1 Entry, Exit and Enforcement Officer
Band D

1.5.1.1.2 Entry, Exit and Enforcement Officer
Band D

1.5.1.1.3 Entry, Exit and Enforcement Officer
Band D

1.5.1.2.1 Entry, Exit and Enforcement Officer
Band D

1.5.1.2.2 Entry, Exit and Enforcement Officer
Band D

1.5.1.3.1 Entry and Exit of Qualifications Officer
Band D

1.5.1.3.4 Entry and Exit of Qualifications Officer
Band D

1.5.1.3.3 Entry and Exit of Qualifications Officer
Band D

1.5.1.3.2 Entry and Exit of Qualifications Officer
Band D

1.5.1.3.5 Entry and Exit of Qualifications Officer
Band D

7th August 2013

Regulation - Compliance and Monitoring

Purpose:
Check and confirm that AOs are safely delivering qualifications that are of a secure standard and will continue to do so in the future.

1.5.2 Head of Compliance and Monitoring Band F

1.5.2.1 Monitoring Manager Band E

1.5.2.2 Monitoring Manager Band E

1.5.2.3 Monitoring Manager Band E

1.5.2.4 Monitoring Manager Band E

1.5.2.5 Monitoring Manager Band E

1.5.2.6 Monitoring Manager Band E

1.3.1 Entity Risk Manager Band E

1.5.2.1.1 Monitoring Officer Band D

1.5.2.1.2 Monitoring Officer Band D

1.5.2.2.1 Monitoring Officer Band D

1.5.2.2.2 Monitoring Officer Band D

1.5.2.3.1 Monitoring Officer Band D

1.5.2.3.2 Monitoring Officer Band D

1.5.2.3.3 Monitoring Officer Band D

1.5.2.6.3 Monitoring Officer Band D

1.5.2.6.1 Monitoring Officer Band D

1.5.2.4.1 Monitoring Officer Band D

1.5.2.4.2 Monitoring Officer Band D

1.5.2.5.1 Monitoring Officer Band D

1.5.2.5.2 Monitoring Officer Band D

1.3.1.1 Entity Risk Analyst Band D

1.3.1.2 Entity Risk Analyst VACANT Band D

1.5.2.6.2 Monitoring Officer Band D

7th August 2013

Regulation - Customer Services

```
                    ┌──────────────────────┐
                    │  1.5.3 Head of       │
                    │  Customer Services   │
                    │  Band F              │
                    └──────────────────────┘
```

Purpose:
To provide an easy point of access for people external to Ofqual to raise queries, concerns and complaints and provide an investigation and review (appeals) function such that the public can have confidence in the regulated system.

```
┌──────────────────────┐              ┌──────────────────────┐
│ 1.5.3.1 Review &     │              │ 1.5.3.2 Casework     │
│ Helpdesk Manager     │              │ Manager              │
│ Band E               │              │ Band E               │
└──────────────────────┘              └──────────────────────┘
```

```
┌──────────────────────┐   ┌──────────────────────┐        ┌──────────────────────┐
│ 1.5.3.1.2 Review     │   │ 1.5.3.1.1 Helpdesk   │        │ 1.5.3.2.1 Case       │
│ Officer              │   │ Officer              │        │ Officer              │
│ Band D               │   │ Band D               │        │ Band C               │
└──────────────────────┘   └──────────────────────┘        └──────────────────────┘
```

```
                           ┌──────────────────────────┐      ┌──────────────────────┐
                           │ 1.5.3.1.1.1 Helpdesk     │      │ 1.5.3.2.2 Case       │
                           │ Coordinator (0.4 FTE)    │      │ Officer              │
                           │ Band C                   │      │ Band C               │
                           └──────────────────────────┘      └──────────────────────┘
```

```
                           ┌──────────────────────────┐      ┌──────────────────────┐
                           │ 1.5.3.1.1.2 Helpdesk     │      │ 1.5.3.2.3 Case       │
                           │ Coordinator              │      │ Officer              │
                           │ Band C                   │      │ Band D               │
                           └──────────────────────────┘      └──────────────────────┘
```

```
                           ┌──────────────────────────┐
                           │ 1.5.3.1.1.3 Helpdesk     │
                           │ Coordinator (0.6 FTE)    │
                           │ Band C                   │
                           └──────────────────────────┘
```
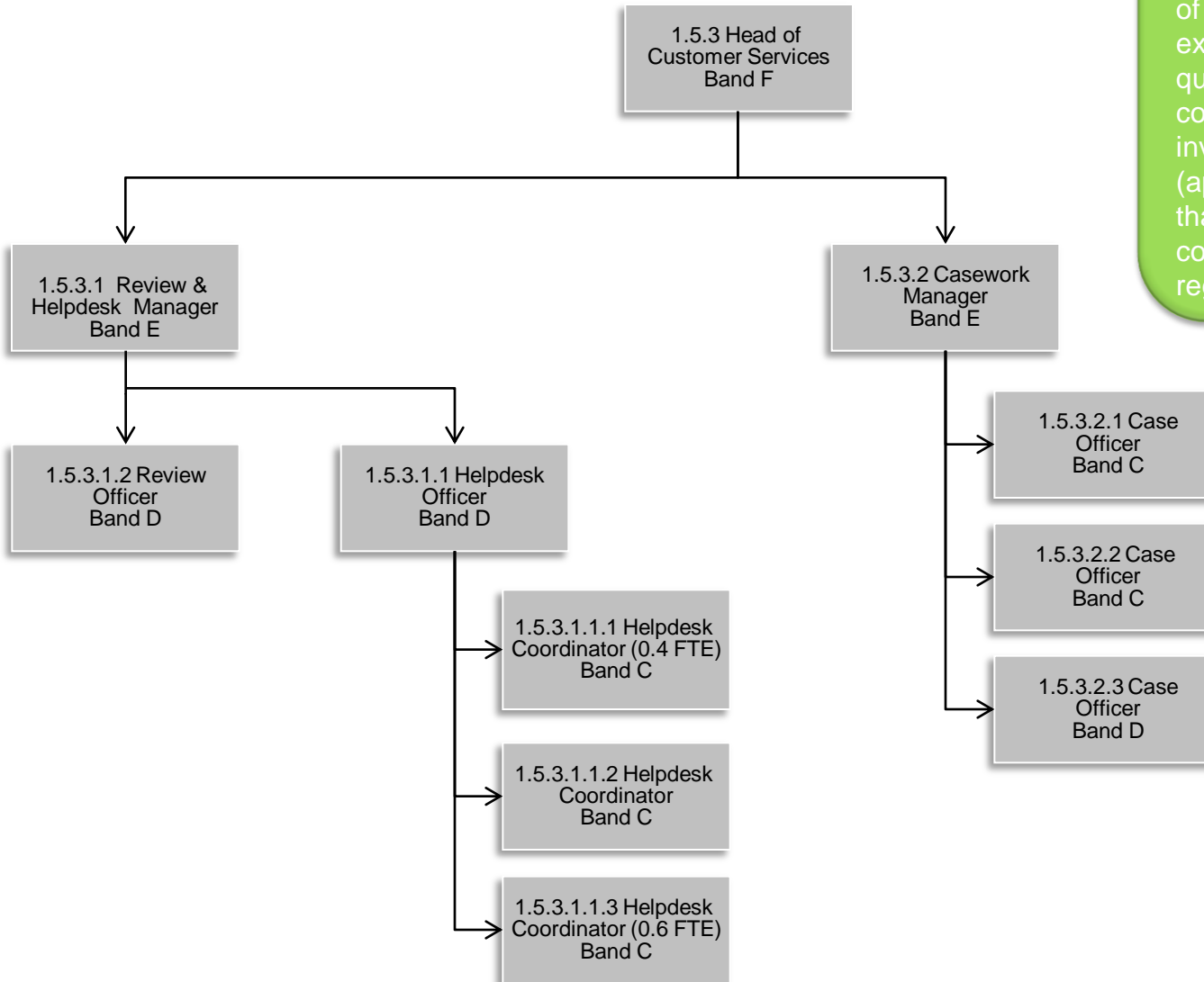
7th August 2013

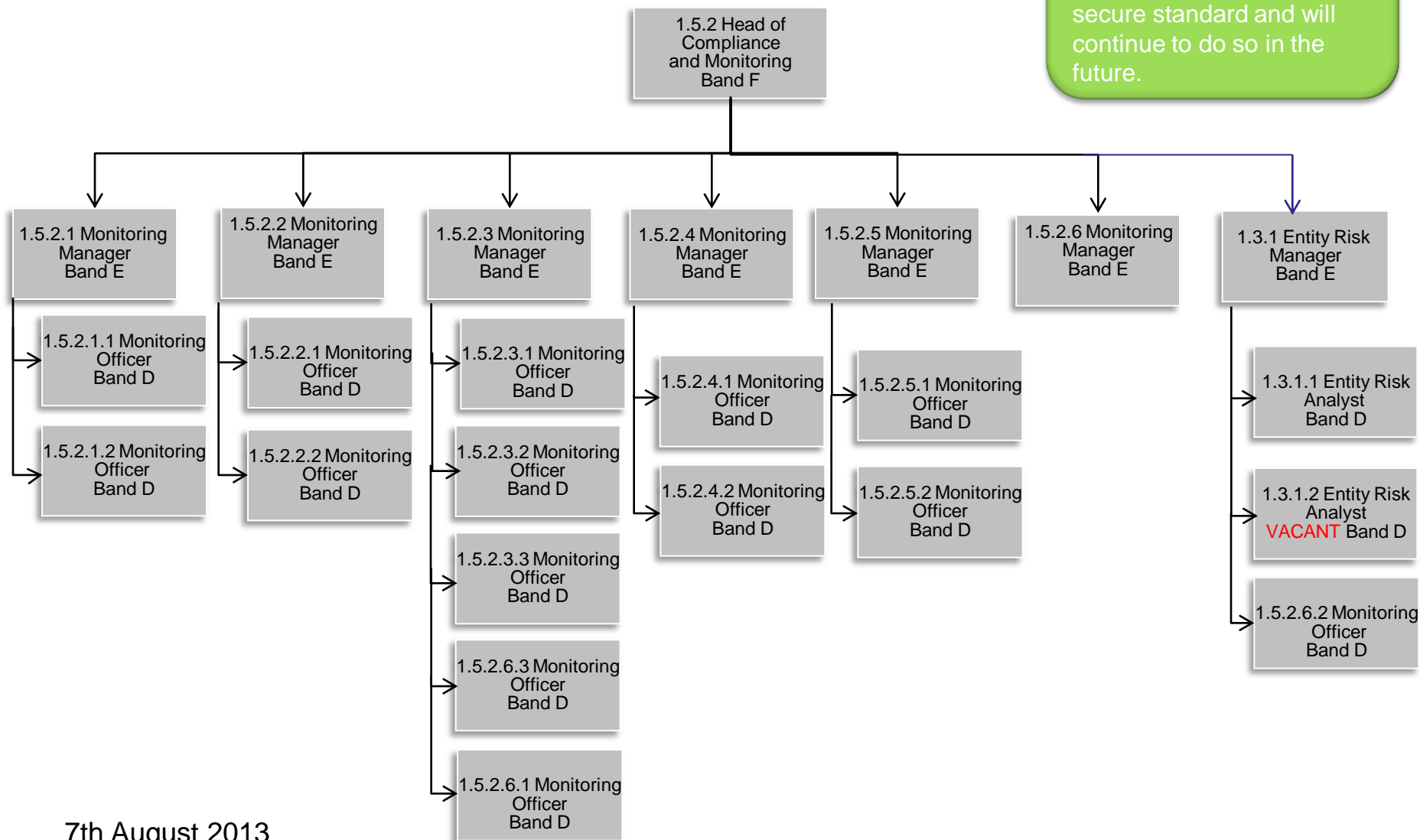# Regulation – Northern Ireland

Purpose:
To provide a secure gate-keeping function so that only those organisations and qualifications which meet the regulatory requirements become or stay regulated.

```
1.5.1.4 Head of
Operations
Northern Ireland
Band F
```

```
1.5.1.4.1 Entry,
Exit and Enforcement
Officer
(Northern Ireland)
Band D
```

```
1.5.1.4.2 Entry,
Exit and Enforcement
Officer
(Northern Ireland)
Band D
```

```
1.5.1.4.3 Delivery
Coordinator
(Northern Ireland)
Band C
```
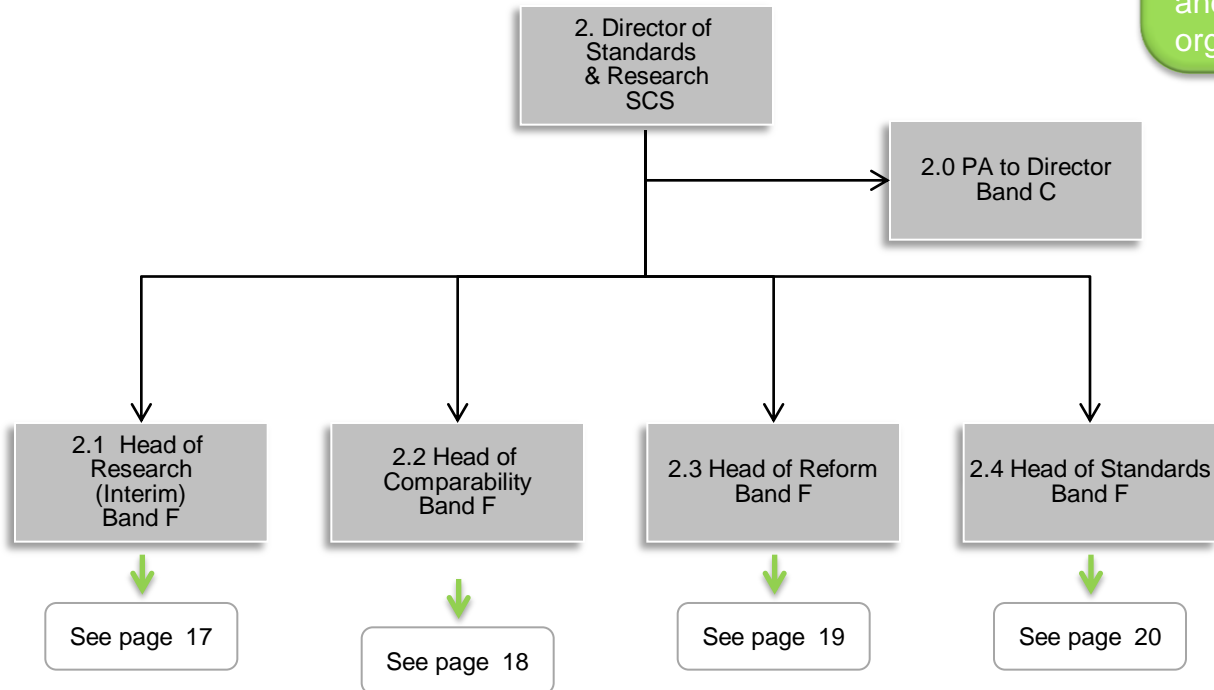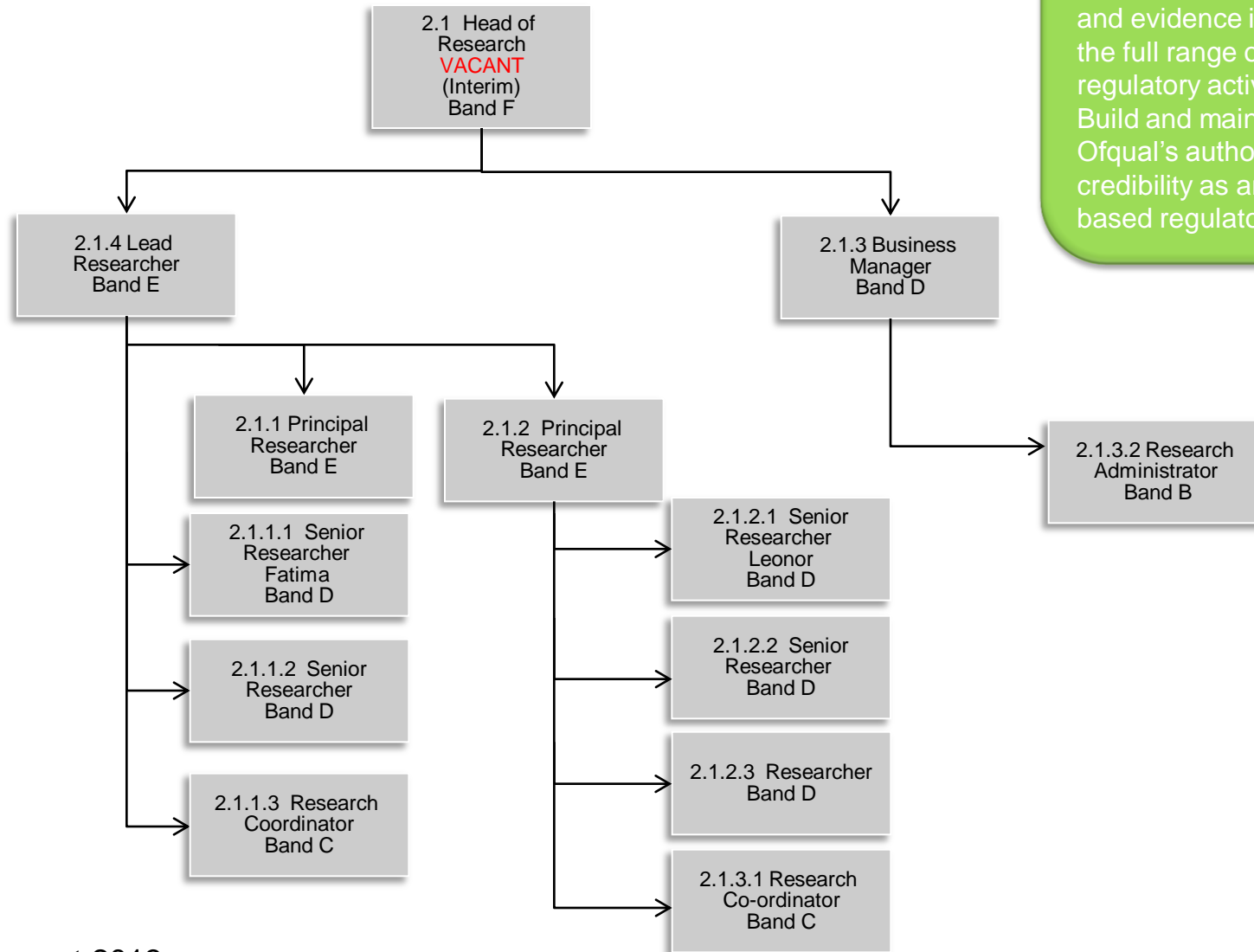
7th August 2013

Standards and Research

Standards and Research

Purpose:
To secure the standards of qualifications and assessments, and to provide research evidence and insight to support the organisation.

```
                              2. Director of
                                Standards
                               & Research
                                  SCS
                                    |
                                    |------------------>  2.0 PA to Director
                                    |                         Band C
                                    |
        ----------------------------+----------------------------
        |                |                    |                   |
        v                v                    v                   v
  2.1  Head of     2.2 Head of         2.3 Head of Reform   2.4 Head of Standards
   Research        Comparability           Band F                Band F
   (Interim)         Band F
    Band F
        |                |                    |                   |
        v                v                    v                   v
  See page  17     See page  18        See page  19         See page  20
```

Standards and Research - Research

```
                        2.1  Head of
                          Research
                           VACANT
                          (Interim)
                           Band F
                              |
          +-------------------+-------------------+
          |                                       |
   2.1.4 Lead                               2.1.3 Business
    Researcher                                 Manager
     Band E                                     Band D
       |                                           |
   +---+---------------+                           |
   |                   |                           |
2.1.1 Principal   2.1.2 Principal          2.1.3.2 Research
 Researcher        Researcher                Administrator
  Band E            Band E                      Band B
   |                   |
2.1.1.1 Senior    2.1.2.1 Senior
Researcher         Researcher
 Fatima             Leonor
 Band D             Band D

2.1.1.2 Senior    2.1.2.2 Senior
Researcher         Researcher
 Band D             Band D

2.1.1.3 Research  2.1.2.3 Researcher
Coordinator        Band D
 Band C
                  2.1.3.1 Research
                  Co-ordinator
                   Band C
```

7th August 2013

Standards and Research - Comparability

Purpose:
To compare and report on qualification and assessment standards in the UK and internationally.

2.2 Head of
Comparability
Band F

2.2.1 International
Comparability
Manager
Band E

2.2.2 Comparability
Manager
Band E

2.2.1.1 International
Comparability
Officer
Band D

2.2.1.2 International
Comparability
Officer
Band D

2.2.1.3 International
Comparability
Officer
Band D

2.2.1.3.1 International
Comparability
Coordinator
Band C

2.2.1.3.2 International
Comparability
Coordinator
VACANT Band C

2.2.2.1 Comparability
Reviews Officer
Band D

2.2.2.2 Comparability
Investigations
Officer
Band D

2.2.2.3 Comparability
Coordinator
Band C

2.2.2.4 Comparability
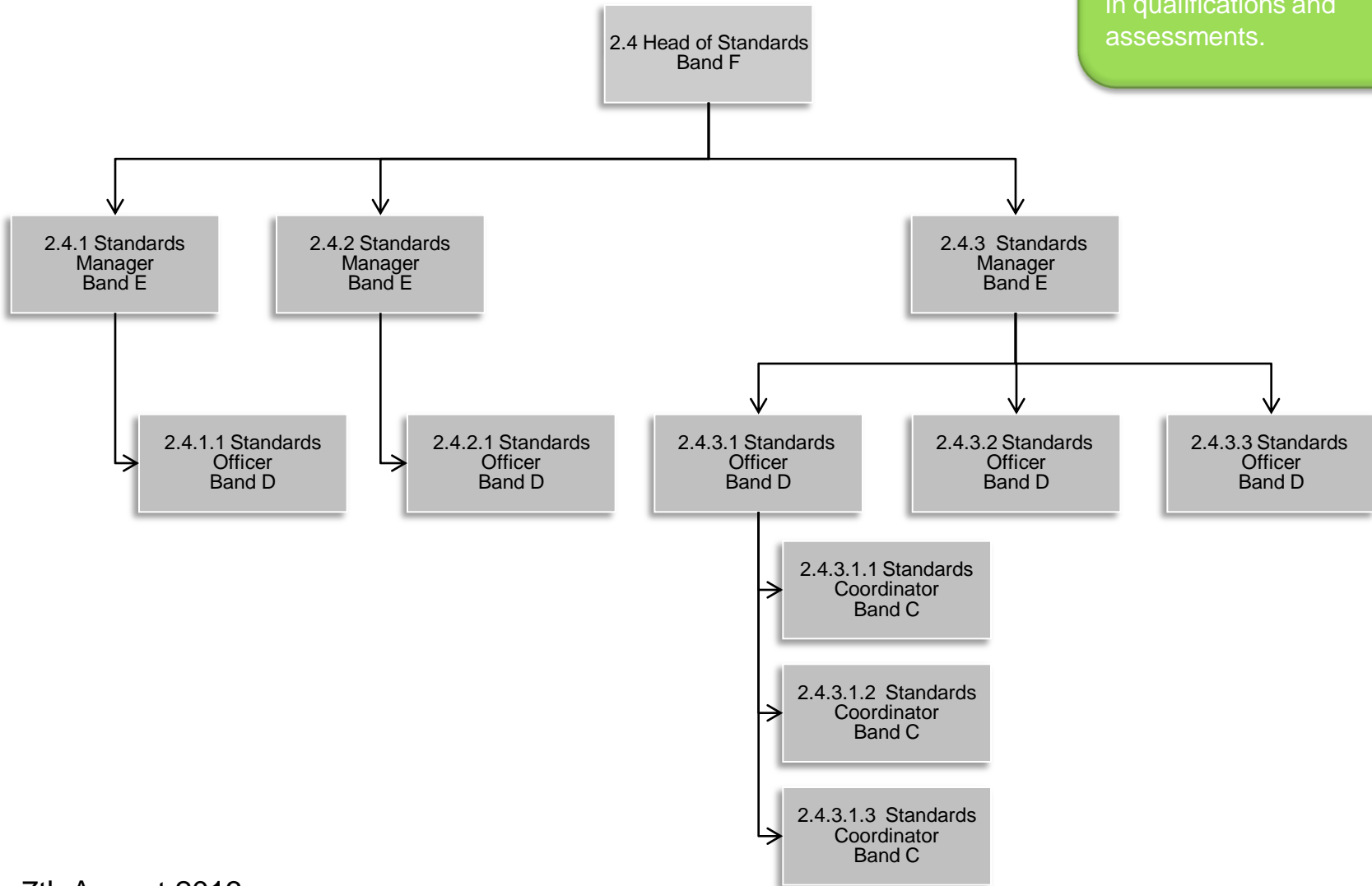Coordinator
Band C

7th August 2013

Standards and Research - Reform

Purpose:
To provide expertise and evidence to inform, manage and implement Ofqual's strategies on qualification and assessment reform.

```
                        ┌─────────────────────┐
                        │ 2.3 Head of Reform  │
                        │      Band F         │
                        └─────────────────────┘
```

2.3.1 Reform Manager Band E		2.3.2 Reform Manager Band E
2.3.1.1 Reform Officer Band D		2.3.2.1 Reform Officer Band D
2.3.1.2 Reform Officer Band D		2.3.2.2 Reform Officer Band D
2.3.1.3 Reform Coordinator Band C		2.3.2.3 Reform Coordinator Band C
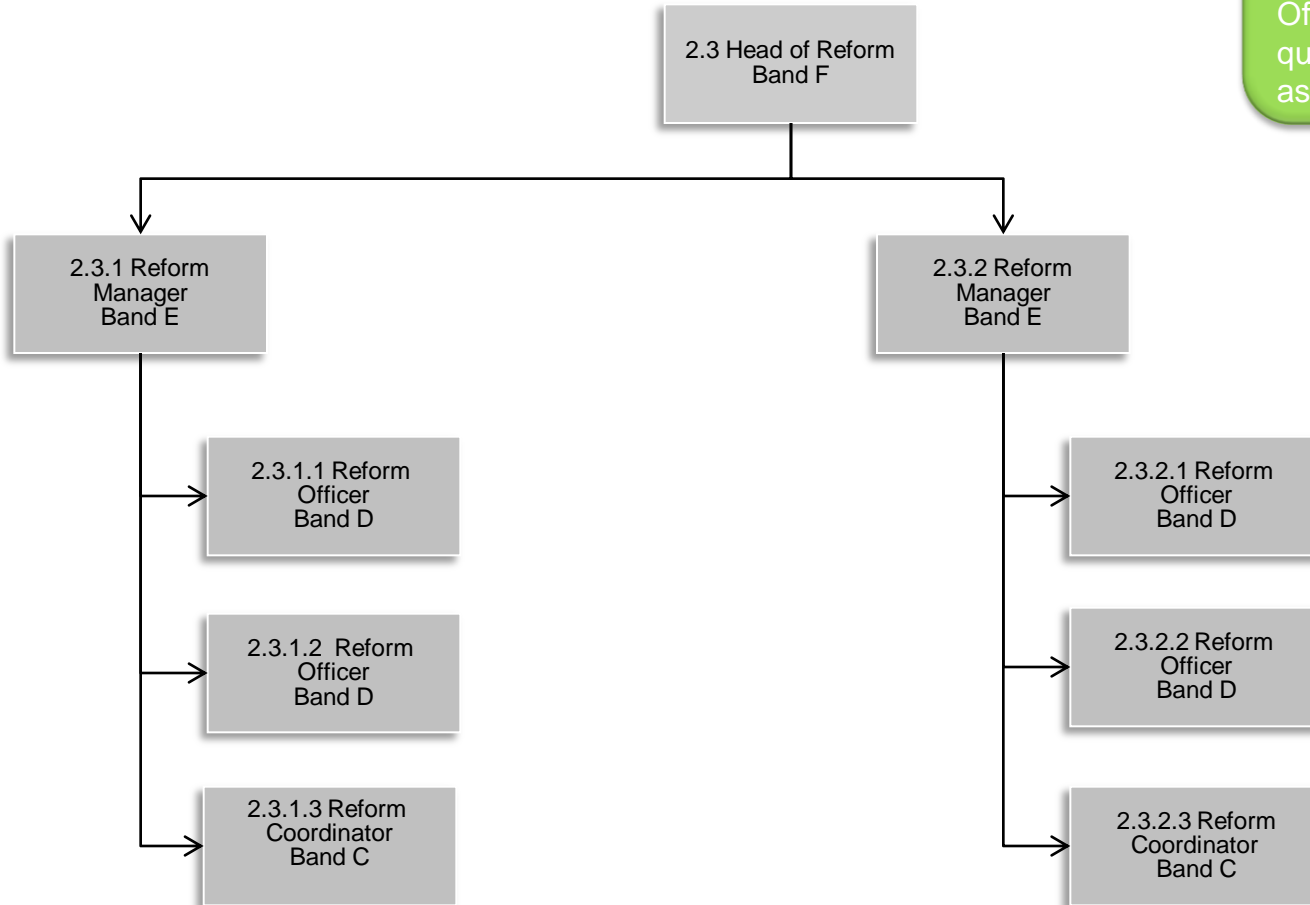
Standards and Research - Standards

Purpose:
To lead thinking and take action to secure standards in qualifications and assessments.

Ofqual


```
                        ┌─────────────────────┐
                        │ 2.4 Head of Standards│
                        │ Band F              │
                        └─────────────────────┘
```

- 2.4 Head of Standards — Band F
  - 2.4.1 Standards Manager — Band E
    - 2.4.1.1 Standards Officer — Band D
  - 2.4.2 Standards Manager — Band E
    - 2.4.2.1 Standards Officer — Band D
  - 2.4.3 Standards Manager — Band E
    - 2.4.3.1 Standards Officer — Band D
      - 2.4.3.1.1 Standards Coordinator — Band C
      - 2.4.3.1.2 Standards Coordinator — Band C
      - 2.4.3.1.3 Standards Coordinator — Band C
    - 2.4.3.2 Standards Officer — Band D
    - 2.4.3.3 Standards Officer — Band D

7th August 2013

Risk and Markets

Risk and Markets

3.Director
of Risk & Markets
SCS

4.0 PA to Director
(shared with Policy
and Engagement)
VACANT Band C

3.1 Head Strategic
Risk
Band F

3.2 Head of Markets
Band F

See page 23

See page 24

7th August 2013
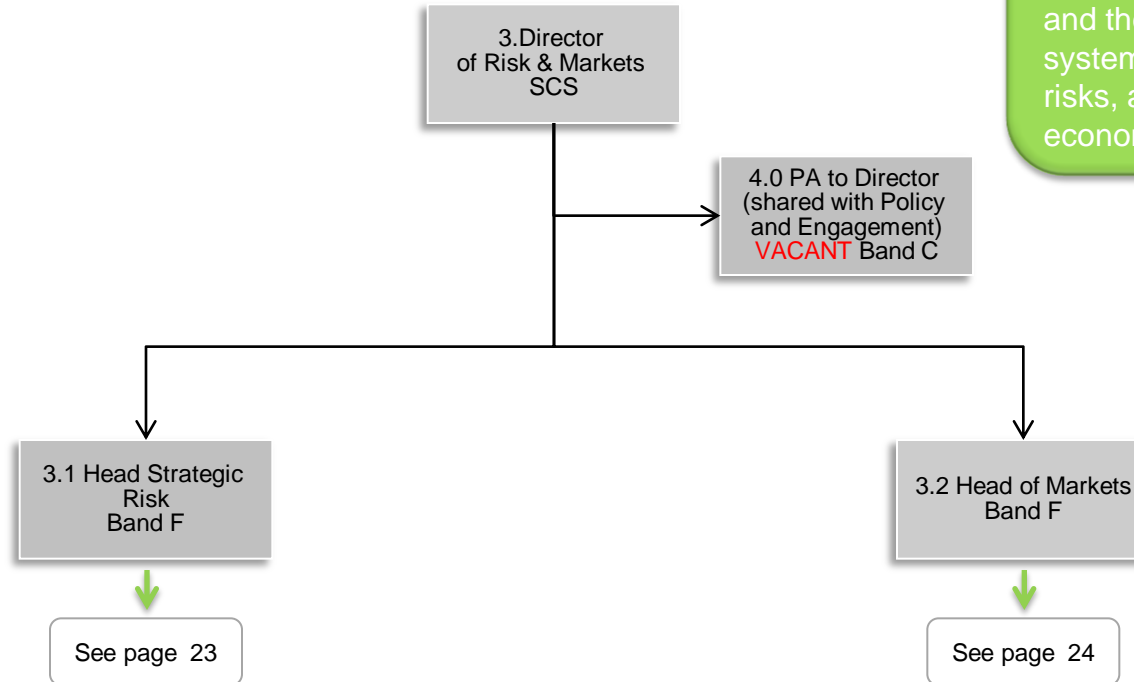
Risk and Markets - Risk and Systems Mapping

Purpose:
To lead the assessment, management and monitoring of risk. including an organisation wide data function.

```
                          3.1 Head of
                          Strategic Risk
                          Bryan Horne


        3.1.1 Systems Risk      3.1.2 Systemic Analyst      3.1.3 Data and
        Manager                 Manager                     Statistics
        Band E                  VACANT Band E               Manager
                                                            Band E


3.1.1.1 Risk Officer                                        3.1.3.1 Data and
VACANT Band D                                               Statistics Officer
                                                            Band D


3.1.1.2  Risk Officer                                       3.1.3.2 Data and
VACANT Band D                                               Statistics Officer
                                                            Band D


3.1.1.3 Systemic                                            3.1.3.3 Data and
Analyst Officer                                             Statistics Officer
VACANT Band D                                               Band D


                                                            3.1.3.4 Data and
                                                            Statistics Officer
                                                            Band D
```
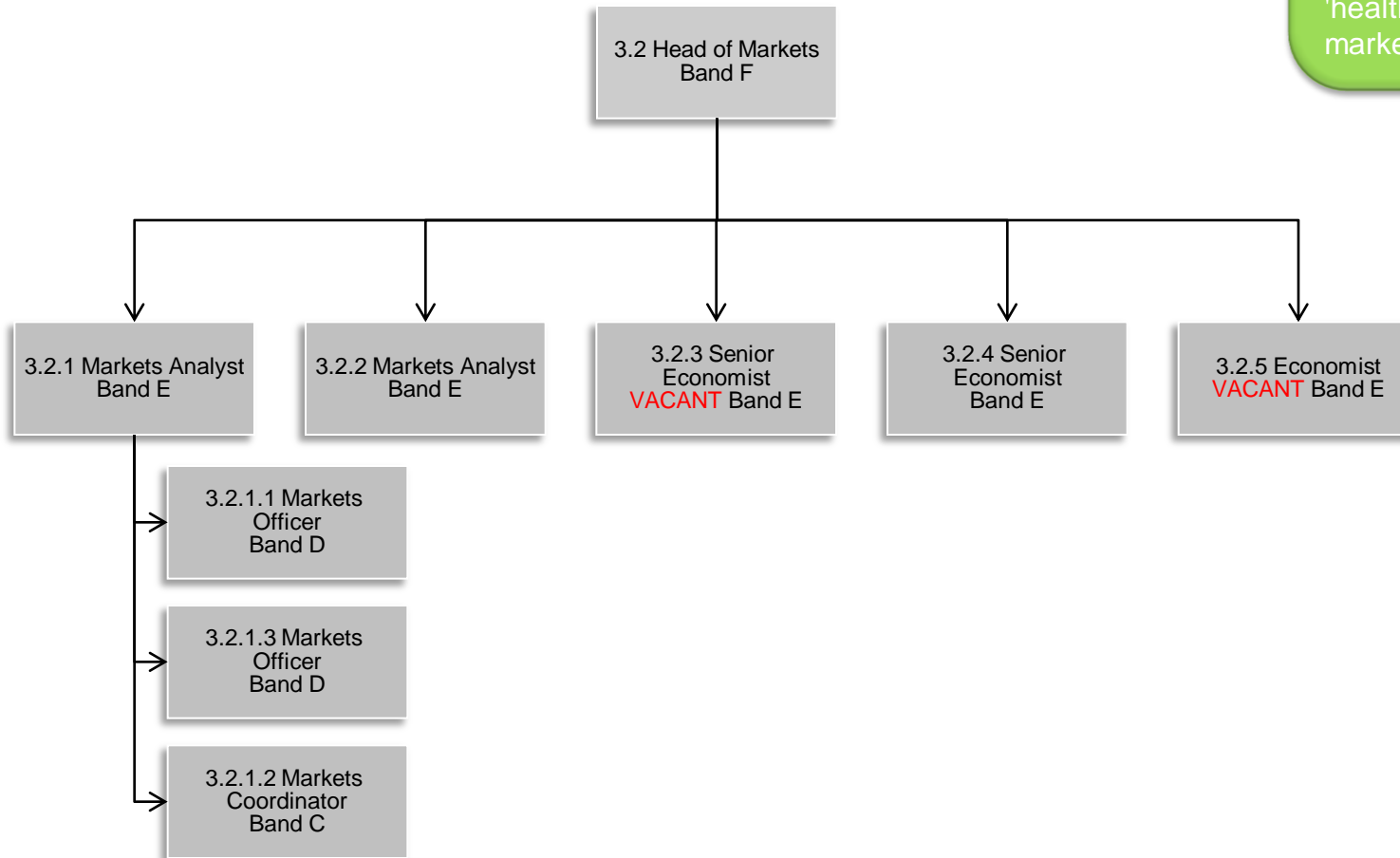
7th August 2013

Risk and Markets – Markets

Purpose:
To develop and implement a framework for evaluating market performance.
To design and implement incentives to encourage 'healthy' behaviours from market participants.

3.2 Head of Markets
Band F

3.2.1 Markets Analyst
Band E

3.2.2 Markets Analyst
Band E

3.2.3 Senior
Economist
VACANT Band E

3.2.4 Senior
Economist
Band E

3.2.5 Economist
VACANT Band E

3.2.1.1 Markets
Officer
Band D

3.2.1.3 Markets
Officer
Band D

3.2.1.2 Markets
Coordinator
Band C
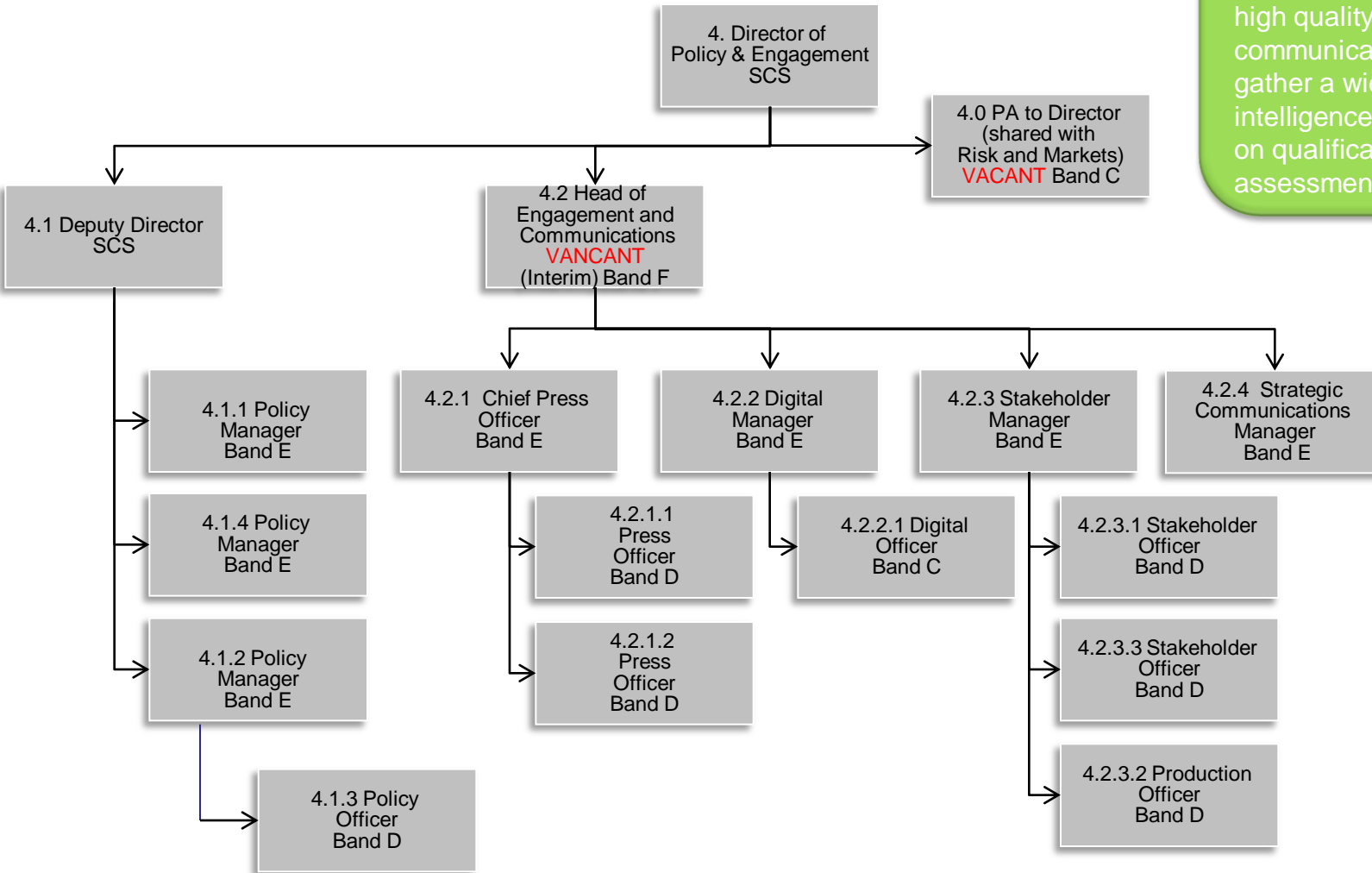
7th August 2013

Policy and Engagement

Policy and Engagement

Purpose:
To engage with and influence policy-makers and stakeholders, manage high quality communications, and gather a wide range of intelligence and feedback on qualifications and assessments.

4. Director of Policy & Engagement SCS

4.0 PA to Director (shared with Risk and Markets) VACANT Band C

4.1 Deputy Director SCS

4.2 Head of Engagement and Communications VANCANT (Interim) Band F

4.1.1 Policy Manager Band E

4.2.1 Chief Press Officer Band E

4.2.2 Digital Manager Band E

4.2.3 Stakeholder Manager Band E

4.2.4 Strategic Communications Manager Band E

4.1.4 Policy Manager Band E

4.2.1.1 Press Officer Band D

4.2.2.1 Digital Officer Band C

4.2.3.1 Stakeholder Officer Band D

4.1.2 Policy Manager Band E

4.2.1.2 Press Officer Band D

4.2.3.3 Stakeholder Officer Band D

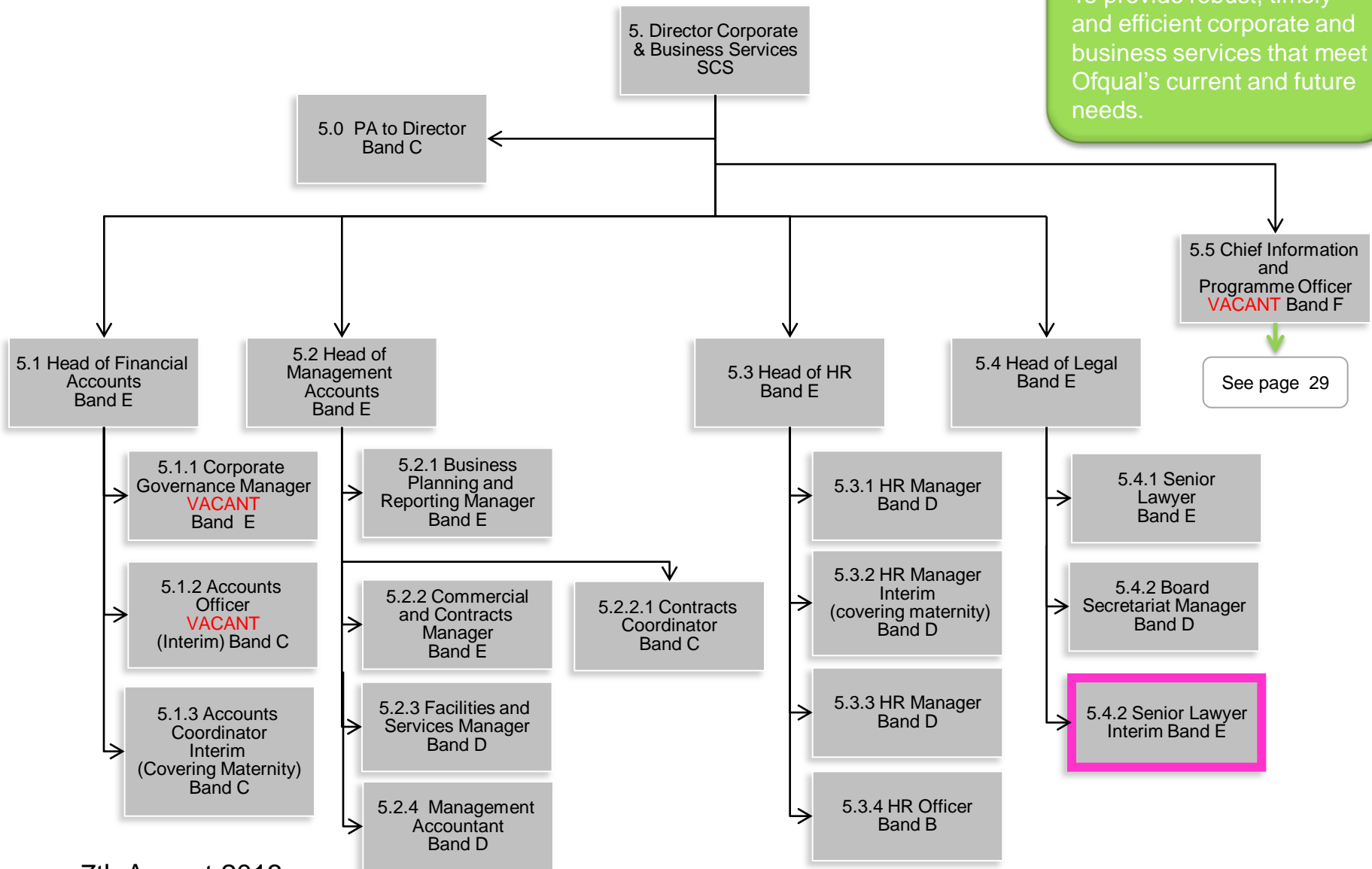4.2.3.2 Production Officer Band D

4.1.3 Policy Officer Band D

7th August 2013

Corporate and Business Services

Corporate and Business Services

Purpose:
To provide robust, timely and efficient corporate and business services that meet Ofqual's current and future needs.

5. Director Corporate & Business Services SCS

5.0 PA to Director Band C

5.5 Chief Information and Programme Officer VACANT Band F

See page 29

5.1 Head of Financial Accounts Band E

- **5.1.1 Corporate Governance Manager VACANT Band E**
- **5.1.2 Accounts Officer VACANT (Interim) Band C**
- **5.1.3 Accounts Coordinator Interim (Covering Maternity) Band C**

5.2 Head of Management Accounts Band E

- **5.2.1 Business Planning and Reporting Manager Band E**
- **5.2.2 Commercial and Contracts Manager Band E**
  - **5.2.2.1 Contracts Coordinator Band C**
- **5.2.3 Facilities and Services Manager Band D**
- **5.2.4 Management Accountant Band D**

5.3 Head of HR Band E

- **5.3.1 HR Manager Band D**
- **5.3.2 HR Manager Interim (covering maternity) Band D**
- **5.3.3 HR Manager Band D**
- **5.3.4 HR Officer Band B**

5.4 Head of Legal Band E

- **5.4.1 Senior Lawyer Band E**
- **5.4.2 Board Secretariat Manager Band D**
- **5.4.2 Senior Lawyer Interim Band E**

7th August 2013

Corporate and Business Services

Purpose:
To provide robust, timely and efficient corporate and business services that meet Ofqual's current and future needs.

5.5 Chief Information and Programme Officer
VACANT Band E

5.5.1 Head of IMT (Information Management and Technology) Band E

5.5.2 Head of Project and Programme Management Band E

5.5.1.3 IT Services Manager Band D

5.5.1.2 Information Compliance Manager Band D

5.5.1.1 Strategic Systems Service Manager Band D

5.5.2.1 Business Development Change Manager Band D

5.5.2.2 Business Analyst Manager Band D

5.5.2.3 Portfolio Analyst Officer Band D

5.5.1.3.2 IT Lead Systems Support Officer Band C

5.5.1.3.1 IT Systems Support Officer Band C

5.5.1.1.1 Systems Configuration Analyst Band D

5.5.1.1.2 Systems Configuration Analyst Band D

7th August 2013