FIRE & Society Associal JB

Privacy and security: an impossible dream?

Jonathan Bamford Head of Strategic Listson



The role of the ICO

- · Enforce and regulate
 - Freedom of Information Act
 - Data Printection Act
 - Environmental Information Regulations
 - Privacy and Electronic Communications Regulations
- · Provide information to individuals and organisations
- · Adjudicate on complaints
- · Promote good practice

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Public social concerns

Preventing crime	36 Mg
Protecting personal information	54%
Unemployment	93%
The fires	90%
National security	90%
Environmental insures	30%
Equal rights for everyone	89%
Improving education standards	.89%

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What lies ahead?

- Government's programme on openness, transparency.
- privacy and information rights.
 Transposition of a Privacy directive into UK law
- · Information sparing code of practice
- Report to Pertlainent on the state of surveillance
- Report to Parliament on operation of ELMER suspect Snancial transaction database
- Develop the Personal Information Promise
- Possible amendment to DP legislative framework in UK and

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HOSSB- to conte No single silver bullet! regulation Orys need to · Understanding responsibilities · Practical tools to help compliance · Effective enforcement · Privacy by Design Governance · Organisational culture EN HARR ico. ICO Privacy by Design initiative · Increasing amounts of personal information, increasing risks to individuals Technology used in innovative ways to exploit personal information but not always to protect it Technological and procedural safeguards have lagged behind

Better to build in protection rather than bolt on dias ico. ICO Privacy By Design work Building in not bolting on
 Tools to help: - Privacy Impact Assessment Handbook - Promoting privacy enhancing technologies - Codes of practice/guidance - Information governance Business case for investing in privacy protection: "the privacy dividend" ico.

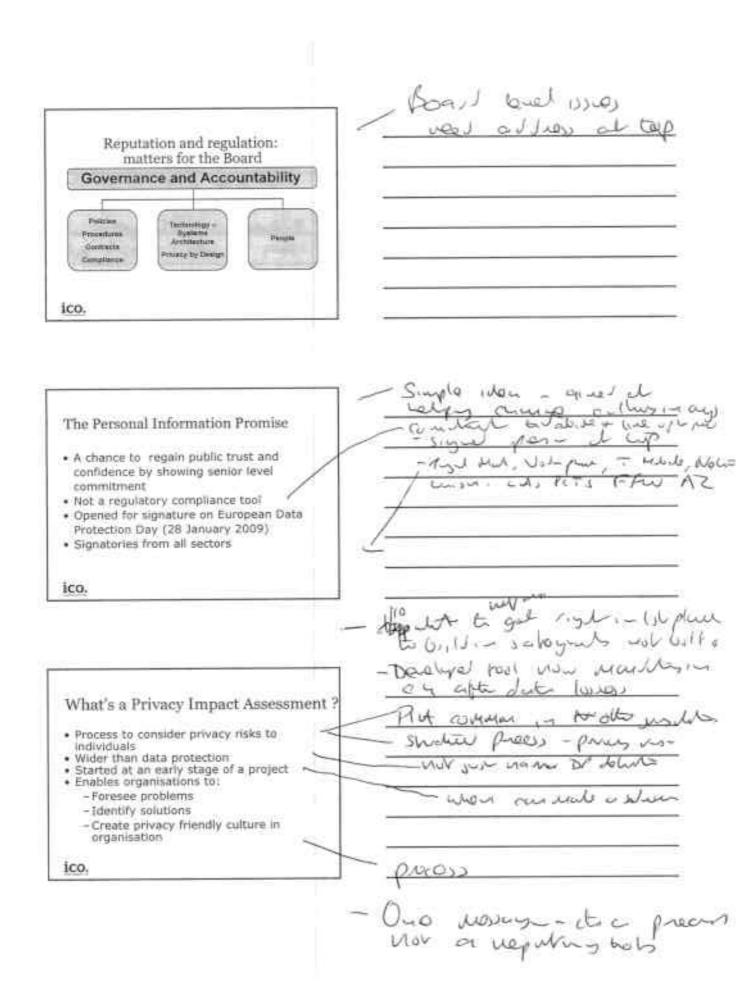
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Amount of Penalty · Nature of contravention · Effect of contravention Behaviour of Data Controller Moltinum · Impact on Data Controller . Other Considerations range altri ico. How power too Assessment Notices · Coroners and Justice Act 2009 · Power of audit in the absence of consent · Government Departments - but could be extended to other public bodies and private sector - eg NHS Trusts ico. Challenges ahead rayor lordon mary Bu · Government's programme on openness, transparency, privacy and information rights · Implementation of INSPIRE regulations Transposition of e-Privacy directive into UK law · Information sharing code of practice · Report to Parliament on the state of surveillance · Develop the Personal Information Promise · Possible amendment to DP legislative framework in UK and EU

Why do a PIA?	- yet relevel puts to yel
Identify and mitigate risks Reputation Public trust and confidence Avoid expensive "bolt on" solutions Enlightened self-interest	- Abo out offelio
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	VfM.
How to do a PIA? ICO handbook Initial assessment Full scale or small scale PIA? Legal compliance check Privacy law Statutory powers and prohibitions Data protection compliance check	Harlook 12 (at for per Do need to do one) — Scale able — und loyal again do — + DP carpline check
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	OF - Part runae you
Monetary penalties	- here, anoth moult
Introduced in April 2010 Criminal Justice and Immigration Act 2008 Penalty of up to £500,000 for serious breaches of DP Principles, committed knowingly/recklessly ICO statutory guidance	
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Transparency through Ease of **Providing Greater** Information Sharing

Information Sharing and Data Protection Conference - 14 October Deputy Commissioner Graham Smith



Context

- Information as a key asset
- Growing expectations of transparency
- Consumer, societal and political demands
- Subjective individual views on privacy
- Concerns about security breaches



Information Sharing

- Acceptance of legitimate data sharing
- Public administration and citizen benefit
- Balancing advantages with privacy risk
- Importance of transparency
- Confidence and compliance



Transparency Agenda

- A new right to data?
- Release of datasets in re-usable formats
- Release of senior civil servants' salaries
- Central and local government expenditure
- Extending FOI beyond the public sector



Reform of FOI?

- Reducing the burden of FOI requests
- Addressing "unintended consequences"
- Communications with the Royal Family
- Government policy and Cabinet minutes
- International obligations EIR, "Inspire" and Re-



ICO Approach

- 5 years on FOI works and is a success
- Transparency supports data sharing and individual rights
- More proactive disclosure publication schemes
- Importance of timeliness and positive engagement
- Tougher approach to enforcement



The Shifting Scene

- FOI has changed the landscape
- Public accountability or personal privacy
- Individual and societal expectations
- Proactive or reactive
- Trust or resignation? Maintaining confidence



Looking Ahead

- Legislative change?
- Review of Directive
- Social norms and individual behaviour
- Technology where next?
- Maximum transparency? Not dependent on FOI



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Enforcing Information Rights

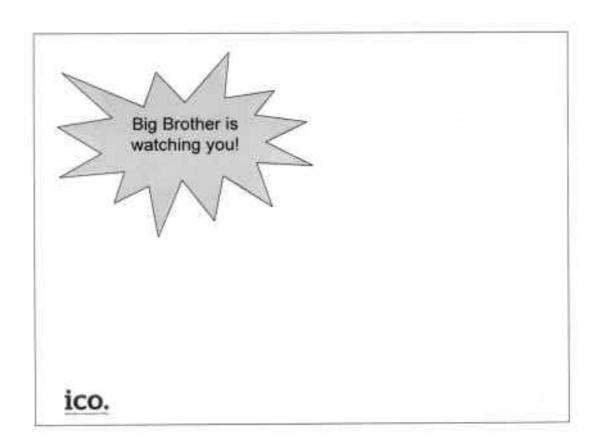
Christopher Graham, Information Commissioner

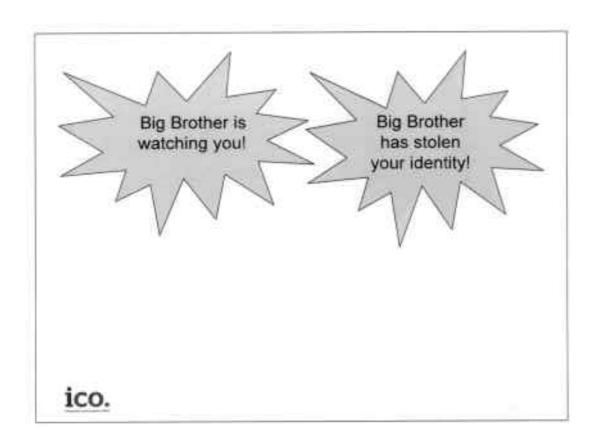


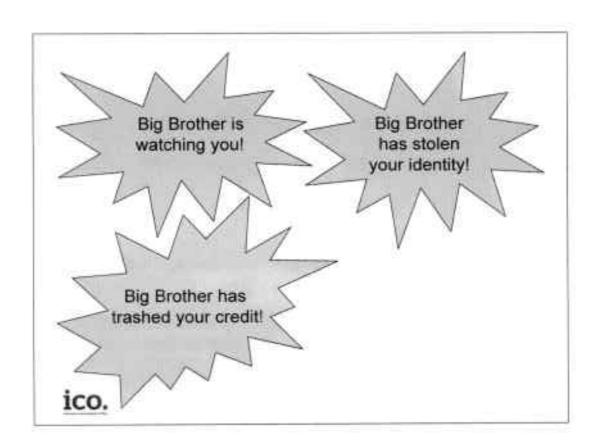
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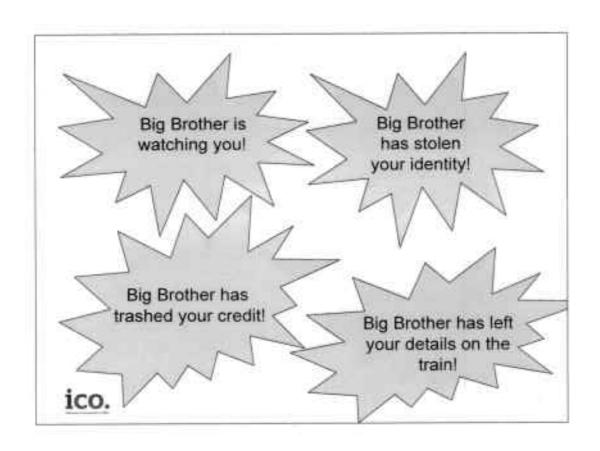
The view from the Commissioner

- ICO
 - Mission
 - Vision
 - Values
- · Transparency and accountability
- Better regulation
 - Powers
 - Penalties
 - People
- · Revising the Directive







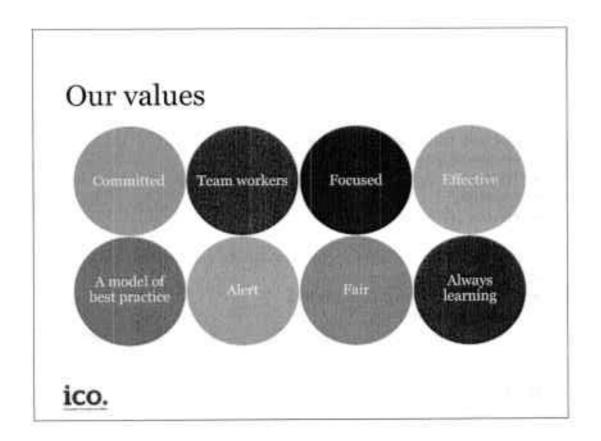


Our Mission

The ICO's mission is to uphold information rights in the public interest, promoting openness by public bodies and data privacy for individuals.

Our Vision

By 2012, we will be recognised by our stakeholders as the authoritative arbiter of information rights, delivering high-quality, relevant and timely outcomes, responsive and outward-looking in our approach, and with committed and high-performing staff - a model of good regulation, and a great place to work and develop.



We are:

Committed

We care about upholding information rights

Team workers

We work together as one ICO team, sharing information and expertise

Focused

We give priority to activities that make the biggest contribution to achieving our mission

Effective

We work productively and efficiently to produce high quality and timely outcomes, offering best value for customers and citizens

A model of best practice

We do not ask others to do what we are not prepared to do ourselves

Alert

We are alert to the perspectives and needs of all our stakeholders - and to the potential impact of new developments in our business

Fair.

We treat everybody we deal with fairly and with integrity and respect. We are inclusive in our approach

Always learning

We are always learning and developing professionally

Coalition priorities

What we do is where it's at

- Accountability
- Transparency
- Freedom
- Privacy

Efficiency

- Accountability drives savings
- Transparency identifies waste
- · Right first time
 - Proactive disclosure and 'privacy by design' are cheaper
- · Data Sharing Code of Practice

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PRESS NOTICE

Data sharing COP consultation would be good I think – it launches this Friday and your talk would be a chance to show we're keen to take a pragmatic approach, to position ourselves as the authority and that we're taking the lead. It's useful to show we're not a hindrance to sensible data sharing.

Better regulation

- Better regulation
 - Enabling
 - Education
 - Enforcement
- Enabling = everybody
- Education = many
- Enforcement = few

e.g. Assessments

- · An audit tool to help, not a process to be managed
- Compulsory Assessments?

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Emphasising that audit isn't something to be "managed", it's a tool to help you improve your information rights handling would be useful.

Possibly talking about the DP complaints handling process and the fact that we will expect more from data controllers when they are addressing requests for information.

Monetary penalties are coming. Soon.

Powers

- · New powers introduced April 2010
 - Power of audit in the absence of consent
 - Government departments but could be extended to other public bodies and private sector
 - Code of Practice published
- · More on the way?
 - Implementing PECR
 - Compulsory breach notification

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There's not a lot that I can add to the suggestions of others. The only thing is that you might follow on from cookies and consent to say something more about the BIS consultation and in particular that the first compulsory breach notification is on the way, albeit confined to communication service providers. Also that implementation of the PECR Directive will require some increase in our powers (we think) but just how much is still under discussion.

Penalties

- Civil Monetary Penalties introduced April 2010
- Penalty of up to £500,000 for serious breaches committed knowingly/negligently
- · ICO statutory guidance available
- Amount depends on nature/effect of contravention, behaviour of/impact on data controller
- · Watch this space

People

- Human factor
- Policies → Processes → People
- Human error
- Rogue activity
- S.55 offences

International Transfers

- · Growth of BCR
- · Mutual recognition requirement
- · Improving the current procedures
- More realism needed in legal framework
- · MoJ call for evidence

Look ahead: Revising The Directive

- · Current principles are sound
- Rights and protections should be strengthened
- · Greater clarity/reduction of burdens needed
- Privacy by design should be incorporated
- · International transfer rules must be realistic
- · Is there scope for an accountability requirement?

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Smart Metering : the ICO Perspective

Data Privacy and The Smart Metering Implementation Programme Prospectus



14th OCK 2010

The ICO

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The role of the ICO

- · Enforce and regulate the
 - Data Protection Act
 - Freedom of Information Act
 - Environmental Information Regulations
 - Privacy and Electronic Communications Regulations
- · Provide information to individuals and organisations
- · Adjudicate on complaints
- Promote good practice

Data Protection Principles

- 1. Fair and lawful processing
- 2. Processing for limited and specified processes
- 3. Adequate, relevant and not excessive
- 4. Accurate and up-to-date
- 5. Not kept for longer than necessary
- 6. Processed in line with individuals' rights
- 7. Kept securely
- Not transferred beyond the EEA without adequate protection

Personal Data

- The Data Protection Act states that "personal data" means data which
 relates to a living individual who can be identified from that data or from
 that data which is in the possession of, or likely to come into the
 possession of, the data controller.
- So...consumption data is Personal Data because it can be tracked back to an individual and if the intent is to use it for billing purposes or value added services.
- Even if data is aggregated and apparently anonymous and does not contain any direct references to an individual, it could still fall into the definition of personal data if it is being used in order to make decisions about, or will affect the treatment of, an individual.
- Just because data is personal data doesn't mean that it can't be processed, it just needs to be processed according to the principles.

Privacy by Design

- This approach encourages organisations to give due consideration to privacy needs prior to the development of any new system or process, and to maintain that control through the entire life cycle of the system.
- Privacy Impact Assessments contribute to this process.
- · Think through the data flows.
- Make privacy the default: customers should only see data relating to own consumption, suppliers should only see data relating to own customers and so on.
- The novelty of smart metering could lead to unforeseen threats. Think of worst case scenarios.
- If rollout is accelerated, then this shouldn't be at the expense of privacy planning.
- Deliver training to underpin ownership and awareness throughout the organisation.

Individual Rights

- Close inter-relationship between fair processing of personal data and safeguarding consumer interests.
- Sensitivity to potential privacy intrusion, which could make or break acceptance of smart metering.
- Frequent meter readings have the potential to reveal insights into personal lives, eg number of showers, what time you got up.
- Mandated smart metering rollout in the Netherlands was delayed because of the strength of opposition.
- In the Prospectus the consumer should choose in which way consumption data shall be used and by whom, with the exception of data required to fulfil regulated duties
- Choice is good, but it needs to be real, feasible, and expectations should be managed within the context of reassurances about safeguards.
- Consumers must have an informed view of the implications of releasing personal data.
- Need to be rigorous safeguards in place to protect the home area network.

Likely questions from consumers

· Think about what consumers will want to know, for example:

Who will be accessing my personal data?

How will I know?

How can I contact the organisations who are processing my personal data?

Are they sharing it with anyone else?

What are they dong to make sure my personal data is safe?

What might happen to my data in the future?

Will my fridge be turned off?

 Make sure that information is communicated to consumers, without them having to go out of their way to find out!

Privacy Policy

- . One of the questions from the Prospectus;
- · ICO would welcome this, or some type of Code;
- · Improved access, transparency, accountability;
- · Identity of data controller;
- · Purpose(s) for processing data.

Data Controllers and Responsibilities

- The Data Protection Act states that a data controller is a person who determines the purposes for which and the manner in which any personal data are, or are to be, processed.
- Not yet properly addressed as many decisions not yet made.
- Crucial importance of establishing responsibility needs to be embedded early on.
- DataCommsCo necessary to consider the relationship between this and suppliers and third parties.

Security

- · There must be absolute certainty.
- There must be protection against unlawful processing: the key risks are unauthorised access to personal data and the unauthorised use of remote disconnection.
- Digital smart metering data is vulnerable to accessing, copying, matching, disseminating.
- Each device connected to the HAN will be a potential interface to the overall smart metering system.
- Consider all links in the chain HAN WAN DCC (+ third parties).

Future Considerations

- The Smart Grid has been likened to the advent of the internet, which was built without privacy in mind; unlike the internet, consumers cannot opt out of the smart grid (Cavoukian).
- The escalation in the volume of personal data, presents new opportunities and challenges.
- Just as relevant to foresee privacy implications as technical and commercial ones.

Key Points

- · How personal data is defined;
- · Embedding privacy into system design;
- · The rights of consumers;
- · What consumers will want to know;
- Assigning data controllers and clearly defined responsibilities.

Liaison with the ICO

- Maintain dialogue;
- Develop mutual understanding of the wider picture;
- · Use our resources and ask us for advice.

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A view from the Commissioners' offices: ICO

Christopher Graham, UK Information Commissioner

First-Tier Tribunal (Information Rights) Annual Conference 13 October 2010



A year of big changes at the ICO



Progress to date

More efficient complaints handling

- FoI backlog being successfully tackled
- Tougher approach to public authorities
- Speeding up all round

Management restructure

- Greater integration of FoI and DP activities
- Policy and strategy
- End-to-end operational process
- Reviewing processes to speed outcomes

New Data Protection powers and penalties

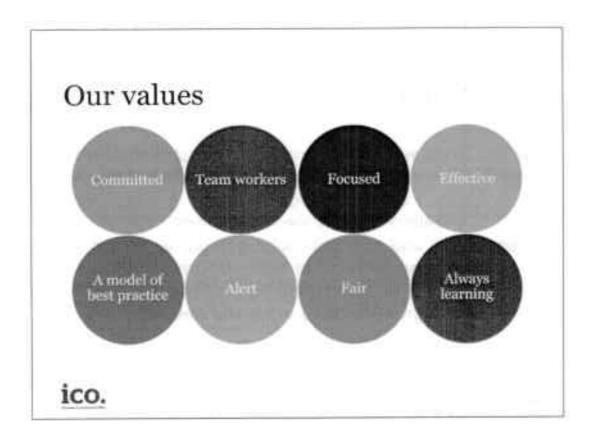
- Audit assessments
- Civil Monetary Penalties

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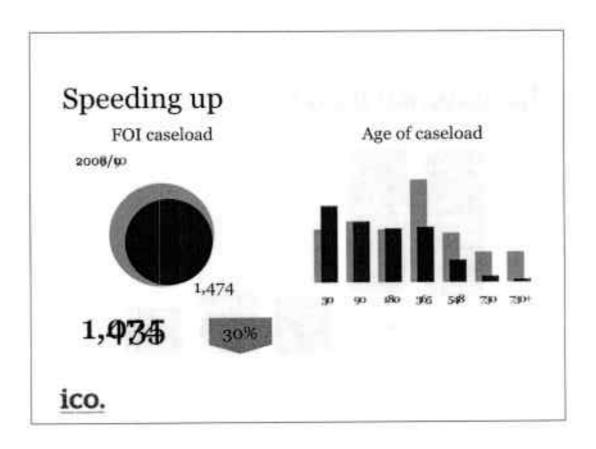
We are alert to the perspectives and needs of all our stakeholders and to the potential impact of new developments in our business

Fair

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Always learning

We are always learning and developing professionally



Significant reduction in the FOI backlog

FOI cases ongoing on 31 March 2009 were 1,474

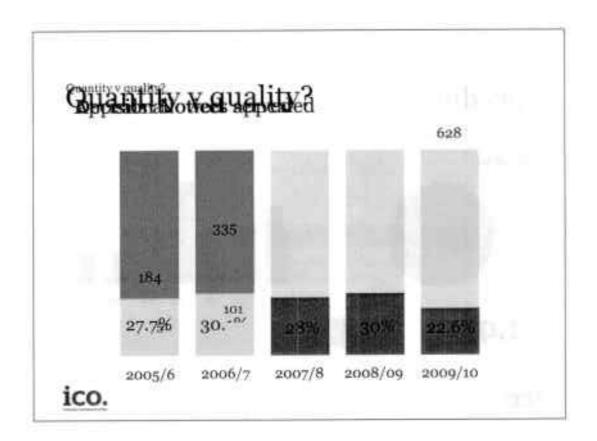
One year later the figure was 1,035

A reduction of 30% - despite the fact that cases incoming were - and are - at record levels

The profile of cases tells the story.

In 2009, a significant share of the caseload had been with the ICO for more than a year, two years, three years, even four years.

A year later that picture has been transformed, with the bulk of cases taking between one month and one year to resolve.



Has the ICO sacrificed quality to quantity. You will be the judges of that.

Here is the record total of ICO Decision Notices – a record 628 in 2009/10.

Some of these are coming your way on appeal.

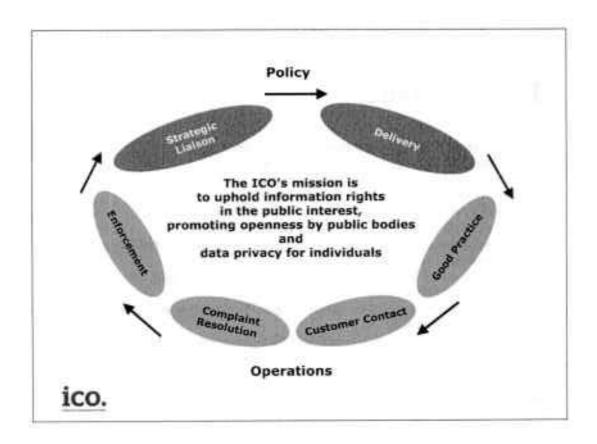
But is there any evidence that you are being asked to look at a bigger proportion of DNs?

The evidence is that however many DNs the ICO processes, about 30% will always be appealed.

Is there a change in the proportion of appeals upheld or upheld in part by the Tribunal? 27%, 30%, 22.6%

My impression is that that sort of proportion is continuing in 2010.

And of course much of the 2009/10 and 2010/11 bulge has yet to reach you.



Looking at our DP and FOI responsibilities, we want to achieve a clearer and more logical distinction between Policy and Operations. Policy deals in the general – new developments, lines to take, approaches to new problems, consistency, and high level liaison with Whitehall, Brussels and beyond. Operations deals with the specifics – this enquiry, that complaint, this data controller, that public authority. We want to build an end-to-end operational process – so that guidance, enquiries, complaints resolution and enforcement are all of a piece. But we want Operations to work within a clear framework of policy. And we want FOI and DP to be increasingly integrated.

All this means that some activities that are currently seen as just DP or just FOI will work together. And some activities that have not thought of themselves as operational will be organised as part of the end-to-end operational process – for example, parts of FOI Good Practice and Enforcement or the audit function of RAD.

ICO approach

- Less detailed decision notices in certain circumstances, maintaining appropriate levels of investigation
- · Referencing earlier Decisions, Guidance
- Making best use of limited lawyer resource
- ICO may not wish to address the Tribunal in case of
 - New exemptions relied on by public authority
 - Exemptions not considered because information otherwise exempt
 - New information advanced by public authority post DN
- Written submissions by in house team where
 - Oral hearing required by complainant, but appears to ICO to be unwarranted
 - Public authority is a party and IC agrees info not held

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In light of the increasing number of appeals / financial restrictions -

-the Tribunal rules (as of Jan 2010) require there to be an oral hearing unless all the parties consent to the appeal being determined on the papers. There may be appeals that we consider should be dealt with on the papers, but where one of the parties (often a complainant) demands an oral hearing. In such cases, whilst it would appear that the Tribunal must grant that wish, we may in the future want to restrict ourselves to written submissions only.

•there may be some appeals brought by complainants and where the authority is also a party where we might want to confine ourselves to written submissions only, for example where we have agreed with a public authority that it doesn't hold the information requested.

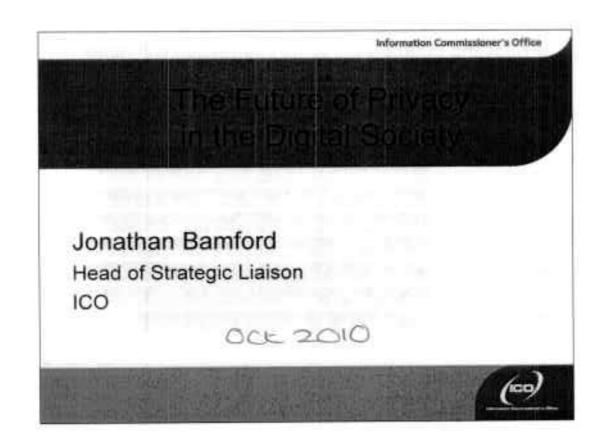
•we may not always wish to address the Tribunal in detail on issues that did not form part of our decision, i.e. on new exemptions relied upon by the public authority; exemptions relied upon before us but not considered by us because we found the information to be otherwise exempt; new information discovered by the authority post decision notice.

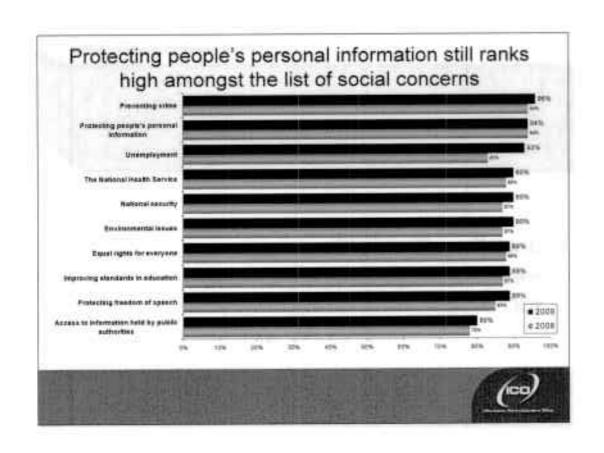
DP: Civil Monetary Penalties

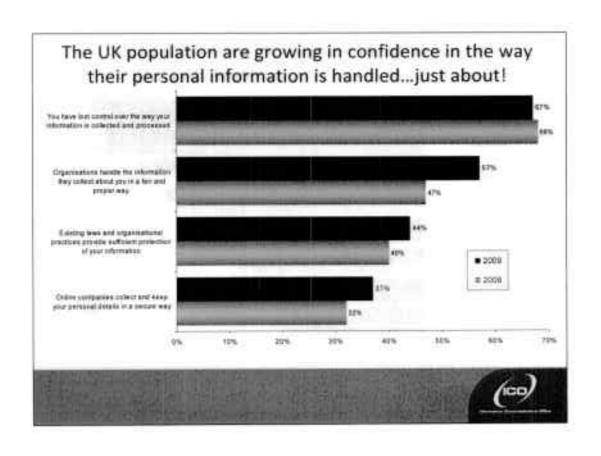
- Introduced April 2010
- Penalty of up to £500,000 for serious breaches committed knowingly/negligently
- ICO statutory guidance available
- Amount depends on nature/effect of contravention, behaviour of/impact on data controller
- Calibration
- · Watch this space

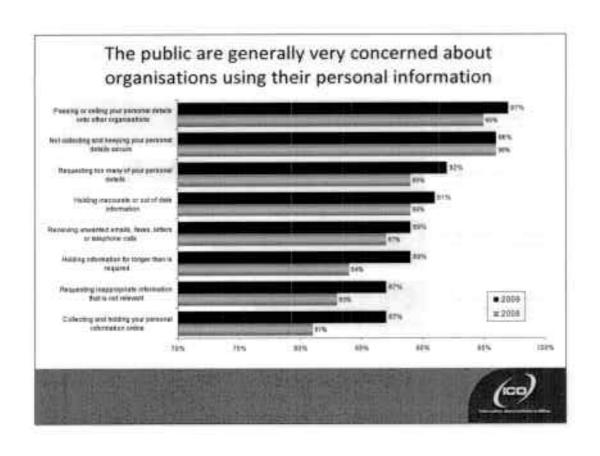
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What's your view?

- Over the next 5 years will the risks to our personal details that are held by others:
 - 1. Increase a little?
 - 2. Increase a lot?
 - 3. Decrease a little?
 - 4. Decrease a lot?
 - 5. Stay the same?



Lessons from research into public attitudes to surveillance

- ICO research in 2007
 - Widespread use of personal information now part of modern lives
 - Collection and use of personal information is of irritation and concern
 - Safeguards to protect them are expected
 - Problems should be foreseen and addressed
 - There is a guardian angel looking after them



Demos: Private Lives: a people's inquiry into personal information

People want:

- · greater transparency
- the capacity to control and mitigate possible and sometimes unforeseen harms
- more guarantees about security.

Findings suggest:

- organisations should presume that people want the means to make informed decisions,
- based on clear and easily understood information about the consequences,
- about when information about them is shared and how it is used.



ICO Privacy by Design Initiative

- Increasing amounts of personal information, increasing risks to individuals
- Technology used in innovative ways to exploit personal information but not always to protect it
- Technological and procedural safeguards have lagged behind
- Better to build in protection rather than bolt on



ICO Privacy by Design Work

- Privacy by Design report launched 2008
- · Tools to help:
 - Codes of practice/guidance
 - Privacy impact assessment handbook
 - The Privacy Dividend; the business case for investing in proactive privacy protection
 - Promoting privacy enhancing technologies
 - Information assurance
 - Information governance



What's your view?

- Should the ICO continue with its work encouraging 'Privacy by Design' or should we now leave it to others?
 - 1. Leave it to others
 - 2. Continue with the work
 - Privacy is dead. There is nothing further anyone can do, it's all a waste of time and effort!



If the 'carrots' don't work, there are new 'sticks'

- Monetary Penalties introduced in April 2010
 - Penalty of up to £500,000 for serious breaches, committed knowingly/recklessly
- Assessment Notices:
 - Power of audit in the absence of consent
 - Government Departments but could be extended to public bodies and private sector



What's your view?

- Is the £500,000 maximum penalty:
 - 1. Too high?
 - 2. Too low?
 - Just about right?



The future of privacy in the digital society

- The collection and use of personal information is expected as part of modern life
- The public are uneasy and understand the potential for intrusion/risk
- They expect safeguards to protect them if they are careless
- Protecting personal information can't be left to chance
-and if you do the 'sticks' just got bigger!



