

Data Protection Impact Assessment (DPIA) – Stage 1

This form is Stage 1 of the Data Protection Impact Assessment (DPIA) process. You are advised to refer to the guidance material before completing the form.

Data Protection Impact Assessment (DPIA)		
Please provide as much detail as possible, avoiding technical language and acronyms, explaining the proposal in a way that someone with no prior knowledge could easily understand.		
Section 1 - Governance		
Project Proposal Name:	Masters Research Forensic Psychology & Criminology	
Force SPOC:	[Redacted – S40]	
Information Asset Owner:	[Redacted – S40]	
DPIA Advisor:		
Date on which processing will commence:	30/09/2019	
Date submitted to Information Rights:	24/09/2019	
Note: DPIA Advisor will endeavour to give an initial response within 10 working days of receiving the completed form.		
Information Rights (IR) Assessment		
<u>***IR Use Only***</u>		
A. Stage 2 DPIA is not mandatory.	<input checked="" type="checkbox"/>	The data extracted will not identify any individuals and therefore will not be personal data. The research is using anonymised data and if it was requested under Freedom of Information Act would be disclosed.
B. Stage 2 DPIA is not required as long as the remedial action listed is carried out. If the remedial action is not carried out, Stage 2 will be mandatory.	<input type="checkbox"/>	Remedial Action Required
C. Stage 2 DPIA is mandatory.	<input type="checkbox"/>	

Section 2 - Purpose, Scope and Context

In this section you must explain what the processing is, who it will involve, and the intended impact. You must also demonstrate why the processing is necessary and proportionate, providing evidence to support your assessment.

- The processing must be **necessary** for the specific objective of the proposal.
- It must also be **proportionate**, meaning that the advantages resulting from the processing should not be outweighed by the disadvantages to individuals.

2.1 Please briefly explain the specific aim and purpose of the proposal in a way that someone with no prior knowledge could easily understand; avoid technical language and acronyms.

I am completing a research Thesis which will look at the governmental structures and psychological barriers that exist and contribute to delays in officer attendance at Domestic Abuse incidents. As an example FCR controllers state they find it harder to allocate a domestic incident than any other type of incident. The research I propose will firstly examine is that true, or is it perception, and if it is true why does it happen, is it structural or is it a psychological factor that contributes to resistance

2.2 What categories of personal data will be processed? Provide an overview of the categories of personal data that will be processed, for example: names, DOBs, addresses, health data, criminal records, or any other unique identifiers such as IP addresses, usernames, e-mail addresses.

The data I require is Performance data. I do not require personal information or any Bio-data other than the gender of the victim. CORA is Cambridgeshire constabularies performance software. This performance programme contains numerical and biodata to ensure that the force is compliant with Her Majesties Inspectorate of Constabularies Fire and Rescue Services.

1. CORA Data on dispatch and allocation times for DA incidents either prompt grade or Immediate Grade. The gender of the victim.
2. CORA Data on dispatch times and allocation times for similarly graded incidents such as Burglary, Violence, Collapsed behind closed doors, Hare coursing, Missing from homes.
3. Timings from the point of the call to officer dispatch to arrival to departure.

2.3 Will special category data be used in the proposal? (Select all that apply)

- | | |
|--|---|
| <input type="checkbox"/> Race | <input type="checkbox"/> Trade union membership |
| <input type="checkbox"/> Ethnic origin | <input type="checkbox"/> Genetic Data |
| <input type="checkbox"/> Political opinions | <input type="checkbox"/> Biometric Data |
| <input type="checkbox"/> Sex life | <input type="checkbox"/> Sexual orientation |
| <input type="checkbox"/> Religion | <input type="checkbox"/> Health |
| <input type="checkbox"/> Philosophical beliefs | <input checked="" type="checkbox"/> None |

2.4 How will the data be collected? Briefly outline how you will obtain the data, examples include: directly from data subjects, from another data set already in our possession, from a partner agency.

I will seek the assistance from Cambs Corporate performance team, and assistance from [Redacted – S40]

2.5 How will the data be used? Briefly describe how the data will be used, recorded, and stored and who it will be shared with.

The data will be uploaded onto analytical software called SPSS which will look for correlations and differences in officer attendance rates to identify whether there is indeed a difference. The data will then be used alongside literature reviews to help the BCH address any cultural, or structural barriers that influence why officers might be resistant to attending DA incidents. The data will be written into a

SELECT MARKING

research Thesis and shared with Essex University Criminology department and the BCH alliance and the College of Policing. The data will kept on a Cambridgeshire Police Laptop, and not transferred to a third party. SPSS is a product that is owned by Cambridgeshire Constabulary, however the licence on the latest version on my laptop is registered to [Redacted – S40].

2.6 How many individuals will the processing affect? (Please specify one answer below)

- ☐ Fewer than 100 data subjects
- ☐ 100 to 1000 data subjects
- ☐ 1000 to 5000 data subjects
- ☒ More than 5000 data subjects

2.7 What categories of data subject are involved? (Please select all applicable categories below)

- ☐ Persons suspected of having committed or being about to commit a criminal offence
- ☐ Persons convicted of a criminal offence
- ☐ Persons who are or may be victims of a criminal offence
- ☐ Witnesses or other persons with information about offences
- ☐ Children or vulnerable individuals
- ☐ Employees (current and former)
- ☒ Other

If other then please provide further details below:

Meta data on call types alone and Dispatch times, arrival times, and the mean averages between each call type

2.8 Will it involve the collection of new information about individuals? Will the Force collect data that it has not previously collected or had access to?

- ☐ Yes
- ☒ No

2.9 Data Sharing

Does the processing involve:

Select one option

2.10.1	Data being shared with third parties external to the Force or recipients that have not previously had routine access to the information?	<input checked="" type="checkbox"/> Yes – The data product ie the Thesis will be shared with Essex University <input type="checkbox"/> No
2.10.2	Transferring data outside the UK but within the EU?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.10.3	Transferring data outside the EU?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.10.4	Storing data using a cloud service provider?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.10.5	Will the sharing require the processing of personal data by third parties?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.10.6	Is there a data processing contract, or sharing agreement in place with all	<input type="checkbox"/> Yes – agreements in place <input type="checkbox"/> Not yet – agreements required

SELECT MARKING

	parties with whom data will be shared?	<input checked="" type="checkbox"/> No – none required
2.10.7	Will the sharing initiative require non-police employees to access police systems?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
2.10 Why it is necessary to use personal data to achieve the aim and why can't the aim be achieved by other means? For example, can the aim be achieved by using less data or different types of data? Are all categories of data necessary to achieve the aim?		
<p>Police Call logs and performance data are the only records that exist, this data cannot be replicated, and the Crime Survey of England and Wales(CSEW) and the Office of National Statistics (ONS) utilise the same data.</p> <p>The variable of interest in this research proposal are: (IV=Independent variable)</p> <ol style="list-style-type: none"> 1. Gender of the complainant (IV) 2. Type of call (DV) 3. Time of call receipt to time of allocation(IV) 4. Time of allocation to time of arrival (IV) 5. Time of arrival to the time of departure from the scene (IV) 		
2.11 Explain how the use of personal data is proportionate to the aim of the proposal. Weigh the advantages of achieving your purpose against disadvantages to data subjects.		
<p>Research indicates that women are killed by their partners at an ever-increasing rate. The same research also indicates that a 10 % increase in response rate by Police would save lives and improve outcomes for victims. The HMICFRS have also commented on their concerns that Police response to DA is declining. The data required is performance data extrapolated from incidents called in by the public. Research Proposal is attached for corporate memory.</p>		

Section 3 – Lawful Basis

3.1 Lawful Basis

To process personal data you must have a lawful basis. Please select the one appropriate lawful basis from the drop down list.

Lawful Basis for **Operational Data** (Personal data processed for law enforcement purposes):

Choose an item.

Lawful Basis for **Administrative Data** (Personal data processed for non-law enforcement purposes, e.g. for HR or Commercial purposes):

Necessary for the performance of a task carried out in the public interest or in the exercise of official authority

3.2 Further Special Category Lawful Basis

If processing special category data (section 2.3) you must have identified a further lawful condition

Operational Data:

The processing is strictly necessary (please tick to confirm) ☐

AND

SELECT MARKING

One of the following conditions applies (select from the list):

Choose an item.

Administrative Data

It is necessary for one of the following conditions (select from the list):

Archiving/Research/ Statistical

OR

It is in the substantial public interest (tick to confirm) ☐

AND for the following purpose:

Research etc.

Section 4 – Review, Retention and Disposal

4.1 Does the proposal have a review, retention and disposal process that complies with Force Policy? All records must have an initial retention period set by the owner of the information when first created or received; review and disposal criteria are defined within the [NPCC Retention Schedule](#).

☐ Yes

☒ No

SELECT MARKING

Section 5 – ICO: Additional Factors

The Information Commissioner's Office have published a number of factors that present a 'high risk' when processing personal data. Saying yes to one or more of the following may indicate that the processing is high risk and a Stage 2 DPIA is likely to be required.

Does the processing involve:	Please check either Yes or No	If 'Yes' then please provide further details
<p>5.1</p> <p>Systematic, extensive and large scale profiling and automated decision-making about people? <i>"Any systematic and extensive evaluation of personal aspects relating to natural persons which is based on automated processing, including profiling, and on which decisions are based that produce legal effects, or significantly affect the natural person"</i></p> <p>Profiling is any form of processing where personal data is used to evaluate certain personal aspects relating to an individual, including the analysis or prediction of an individual's performance.</p> <p>Automated decision-making involves making a decision that affects someone by technological means without human involvement, for example issuing speeding fines solely based on evidence captured from speed cameras.</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<p>5.2</p> <p>Large scale use of special category data or criminal offence data? <i>"Processing on a large scale of special categories of data, or personal data relating to criminal convictions and offences referred to in Article 10"</i></p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.
<p>5.3</p> <p>Public monitoring? <i>"Systematic monitoring of a publicly accessible area on a large scale"</i></p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.
<p>5.4</p> <p>New technologies or techniques? <i>"Processing involving the use of new technologies, or the novel application of existing technologies (including Artificial Intelligence)"</i></p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.

SELECT MARKING

5.5	Profiling, automated decision-making or special category data to help make decisions on someone's access to a service, opportunity or benefit? <i>"Decisions about an individual's access to a product, service, opportunity or benefit which is based to any extent on automated decision-making (including profiling) or involves the processing of special category data"</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.
5.6	Biometrics/genetic data? <i>"Any processing of biometric data" and/or "any processing of genetic data other than that processed by an individual GP or health professional, for the provision of health care direct to the data subject"</i> <i>Biometric data can include Facial Recognition technology, fingerprints and is defined as</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.
5.7	Data matching? <i>"Combining, comparing or matching personal data obtained from multiple sources"</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.
5.8	Invisible processing? <i>"Processing of personal data that has not been obtained direct from the data subject in circumstances where providing a Privacy Notice would prove impossible or involve disproportionate effort"</i> For example, when gathering data, without the knowledge of the data subject, in the course of a police investigation.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.
5.9	Tracking? <i>"Processing which involves tracking an individual's geolocation or behaviour, including but not limited to the online environment"</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.
5.10	Targeting of children or other vulnerable individuals? <i>"The use of the personal data of children or other vulnerable individuals for marketing purposes, profiling or other automated decision-making, or if you intend to offer online services directly to children"</i> For example, the use of personal data relating to children for the purposes of marketing their online safety products.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.

SELECT MARKING

5.11	<p>Risk of physical harm? <i>“Processing is of such a nature that a personal data breach could jeopardise the [physical] health or safety of individuals”.</i></p> <p>For example, if data relating to CSAE, HUMINT or protected persons data was compromised then it could jeopardise the safety of individuals.</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.
5.12	<p>Evaluation or scoring? <i>“Aspects concerning the data subject's performance at work, economic situation, health, personal preferences or interests, reliability or behaviour, location or movements” For example, as part of our recruitment process.</i></p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.
5.13	<p>Data processed on a large scale. <i>Considerations include:</i></p> <ul style="list-style-type: none"> • <i>The number of data subjects concerned</i> • <i>Volume of data and/or range of data items</i> • <i>Duration, or permanence, of the data processing</i> • <i>The geographical extent of data processing</i> 	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5.14	<p>Preventing data subjects from exercising a right? <i>The rights are:</i></p> <ul style="list-style-type: none"> • <i>The right to be informed</i> • <i>The right to access data</i> • <i>The right to rectification</i> • <i>The right to erasure</i> • <i>The right to restrict processing</i> • <i>The right to object</i> • <i>The right to portability</i> • <i>Rights relating to automated processing</i> 	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click here to enter text.

Please forward the completed form to dataprotection@bedfordshire.pnn.police.uk