

Response issued under the Freedom of Information Act 2000

Our Reference: CQC IAT 1920 0538

Date of Response: 8 October 2019

Information Requested:

"Please provide the minutes of the National Panel Review, held on or around 10 September 2019, specifically in relation to the rating of INS2-5578312381 (ECG On-Demand)."

The Information Access team has now coordinated a response to your request.

CQC has considered your request in accordance with the Freedom of Information Act 2000 (FOIA).

Our main obligation under the legislation is to confirm whether we do or do not hold the requested information.

In accordance with section 1(1) of FOIA we are able to confirm that CQC does hold recorded information in relation to this matter.

Please see attached the QA Tool for ECG Demand. This document is the tool we use for recording our notes on from the panel meeting

You will see that the names of some CQC employees have been removed to protect their confidentiality as they do not have a reasonable expectation that their names will be disclosed into the public domain. This information is exempt from the right to know in accordance with Sections 40(2) FOIA. A more detailed explanation of our reasons for withholding this information can be found below.

Exemptions from the right to know

Section 40 – Personal information

CQC consider the exemption from the right to know provided at section 40(2) of FOIA to be engaged.

Individuals, who can be identified in the recorded information, would have a reasonable expectation that a public authority, such as CQC, would not release information into the public domain under FOIA, which in turn could identify them.

The Data Protection Act regulates the use of "personal data" and the processing of that data. There are six Data Protection principles which are listed within article 5 of the General Data Protection Regulation.

We believe that releasing the information could allow for the identification and therefore potentially be a breach of principles a, b and f.

This exemption applies in any case where disclosure of the requested information into the public domain would be a breach of any of the principles. The first principle requires that disclosure of the information must be fair and lawful.

The purpose of the Data Protection Act is to protect people's private information and to ensure that it is handled properly.

CQC considers that it would be a breach of the principles of the Data Protection Act to disclose the redacted information because in the interests of fairness the individual(s) in question would not expect us to share their personal data with the wider public under FOIA.

No public interest test is required for this exemption.

The Freedom of Information Act 2000

The purpose of FOIA is to ensure transparency and accountability in the public sector. It seeks to achieve this by providing anyone, anywhere in the world, with the right to access recorded information held by, or on behalf of, a public authority.

Recorded information includes printed documents, computer files, letters, emails, photographs, and sound or video recordings.

Public authorities spend money collected from taxpayers and make decisions that can significantly affect many people's lives. Access to information helps the public make public authorities accountable for their actions and allows public debate to be better informed and more productive.

The main principle behind FOIA is that people have a right to know about the activities of public authorities, unless there is a good reason for them not to.

A disclosure under FOIA is described as "applicant blind" meaning that it is a disclosure into the public domain, not to any one individual.

This means that everyone has a right to access official information. Disclosure should be the default – in other words, information should be kept private only when there is a good reason and it is permitted by FOIA.

An applicant does not need to give a reason for wanting the information. On the contrary, the public authority must justify refusing the information.

Public authorities are required to treat all requests equally, except under some limited circumstances. The information someone can access under FOIA should not be affected by who they are, whether they are journalists, local residents, public authority employees, or foreign researchers.

FOIA also recognises that there may be valid reasons for withholding information by setting out several exemptions from the right to know, some of which are subject to a public interest test.

Exemptions exist to protect information that should not be disclosed into the public domain, for example because disclosing the information would be harmful to another person or it would be against the public interest.

A public authority must not disclose information in breach of any other law.

When a public authority, such as CQC, refuses to provide information, it must, in accordance with section 17 of FOIA, issue a refusal notice explaining why it is unable to provide the information.

Advice and assistance

Under section 16 of the Freedom of Information Act 2000 (and in accordance with the section 45 code of practice) we have a duty to provide you with reasonable advice and assistance.

If you need any independent advice about individual's rights under information legislation you can contact the Information Commissioner's Office (ICO).

The ICO is the UK's independent authority set up to uphold information rights in the public interest, promoting openness by public bodies and data privacy for individuals.

The contact details for the ICO are detailed below.

There is useful information on the ICO website explaining the rights of individuals:

www.ico.org.uk/your-data-matters

CQC Complaints and Internal Review procedure

If you are not satisfied with our handling of your request, then you may request an internal review.

Please clearly indicate that you wish for a review to be conducted and state the reason(s) for requesting the review.

Please note that it is usual practice to accept a request for an internal review within 40 working days from the date of this response. The <u>FOIA code of practice</u> advises that public authorities are not obliged to accept internal reviews after this date.

Please be aware that the review process will focus upon our handling of your request and whether CQC have complied with the requirements of the Freedom of Information Act 2000. The internal review process should not be used to raise concerns about the provision of care or the internal processes of other CQC functions.

If you are unhappy with other aspects of the CQC's actions, or of the actions of registered providers, please see our website for information on how to raise a concern or complaint:

www.cqc.org.uk/contact-us

To request a review please contact:

Information Access
Care Quality Commission
Citygate
Gallowgate
Newcastle upon Tyne
NE1 4PA

E-mail: information.access@cgc.org.uk

Further rights of appeal exist to the Information Commissioner's Office under section 50 of the Freedom of Information Act 2000 once the internal appeals process has been exhausted.

The contact details are:

Information Commissioner's Office

Wycliffe House Water Lane Wilmslow SK9 5AF

Telephone: 0303 123 1113 Website: www.ico.org.uk