

1 Victoria Street London SW1H 0ET T +44 (0) 20 7215 5000 D enquiries@bis.gsi.gov.uk

www.gov.uk/bis

Mr Stuart Lawson

Our ref Your ref FOI2015/08297

Email: request-266909-0271be54@whatdotheyknow.com

04 June 2015

Dear Mr Lawson

Thank you for email of 06 May 2015 where you requested the following information:

"I would like information regarding any meetings between BIS officials and representatives from academic publishers, from 1 January 2014 to date, to include:

- dates of meetings
- agendas / topics for discussion
- names of those present
- minutes / notes / records of the meetings

In order to focus your search and help you identify academic publishers in your records, the four largest commercial academic publishers are Elsevier (part of RELX Group, formerly Reed Elsevier), Wiley (Wiley-Blackwell), Springer (now Springer Nature, formerly Springer Science + Business Media), and Taylor & Francis".

Under the Freedom of Information Act 2000 ('the Act'), you have the right to:

- know whether we hold the information you require
- be provided with that information (subject to any exemptions under the Act which may apply).

A copy of the information which can be disclosed, is enclosed.

The remainder of the information that you requested is exempt under section 40 (Personal Information) of the Act and is therefore withheld. Additionally, some of the information the Department holds is already in the public domain and is therefore exempt under section 21 of the Act as we are not required to provide information which is already reasonably accessible to you. The requisite links are embedded within our response below.

Section 40 (Personal Information)

Some of the requested information has been withheld under Section 40 of the Act. Section 40(2)(a)(iii) and section 40(3)(a)(i) apply to information whose disclosure would contravene any of the data protection principles in the Data Protection Act 1998 (or certain other provisions of

the Data Protection Act 1998). This exempts information constituting the personal data of living individuals, the release of which would be in breach of the Department's obligations in respect of the Data Protection Principles as set out in the Data Protection Act. The definition of personal information to which section 40 applies is wide and can include references to identifiable individuals.

In this case, the Department considers that the names (in association with disclosed information) of junior officials and 3rd parties fall within the ambit of the first Data Protection Principle, that the Personal data must be processed fairly and lawfully and, in particular, must not be processed unless at least one of the conditions in Schedule 2 to the DPA is met. Our view is that release of this information would not be fair and that none of the conditions of Schedule 2 are met. The exemption from the duty to disclose personal data where to do so would breach a data protection principle is an absolute exemption; the public interest test in section 2 of the FOI Act does not apply.

Appeals Procedure

If you are dissatisfied with the handling of your request, you have the right to ask for an internal review. Internal review requests should be submitted within two months of the date of receipt of the response to your original letter and should be sent to the Information Rights Unit at:

Information Rights Unit
Department for Business, Innovation & Skills
1 Victoria Street
London
SW1H 0ET
E-mail: foi.requests@bis.gsi.gov.uk

Please remember to quote the reference number above in any future communications.

If you are not content with the outcome of the internal review, you have the right to apply directly to the Information Commissioner for a decision. The Information Commissioner can be contacted at: Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire, SK9 5AF.

Yours sincerely

BIS ESRC Sponsorship | Research Councils Unit

Date of	Publisher	Agenda / topics for	BIS staff	Notes or minutes
meeting		discussion	present	
10 th	Elsevier	Promotion of the	s40 of the	Telephone call, no formal note
January		International	FOI	kept.
2014		Comparative		
		Performance of the UK		
		Research Base		
27 th	Elsevier	Promotion of the	s40 of the	Telephone call, no formal note
January		International	FOI	kept
2014		Comparative		
		Performance of the UK		
		Research Base		
14 th	Elsevier	Promotion of the	s40 of the	Telephone call, no formal note
Februar		International	FOI	kept
y 2014		Comparative		
		Performance of the UK		
		Research Base		
14 Feb	Publishers	No information.	Ron	No formal note kept.
2014	Association.		Egginton	
24 th	Elsevier	Presentation of the	s40 of the	Presentation to BIS staff
Februar		report on the	FOI	
y 2014		promotion of the		
		International		
		Comparative		
		Performance of the UK		
+6		Research Base		
24 th	Elsevier	No information.	Ron	No formal note kept.
Februar			Egginton	
y 2014	et :	N	-	
13 May	Elsevier	No information.	Ron	No formal note kept.
2014	D. I.P.L.	Later de la companya d'an	Egginton	No ferror Leader Lead
21 July	Publishers	Introductory meeting	s40 of the	No formal note kept.
2014	Association	re Open Access policy	FOI	
		and implementation		
20 July	Elsevier	Introductory mosting	s40 of the	No formal note kept.
28 July 2014	Eiseviei	Introductory meeting re Open Access policy	FOI	No formar note kept.
2014		and implementation	FOI	
15	Wiley	Introductory meeting	s40 of the	No formal note kept.
August	vviiey	re Open Access policy	FOI	No formar note kept.
2014		and implementation	101	
2014		and implementation		
9-10				Notes of meeting and full
October				attendance is publicly available
2014				at
				http://www.researchinfonet.org
				/research-data/open-research-
				data-forum/
23				Notes of meeting and full
October				attendance is publicly available
	<u> </u>	1	I	the state of the s

Date of	Publisher	Agenda / topics for	BIS staff	Notes or minutes
meeting		discussion	present	
2014				at
				http://www.rcuk.ac.uk/research
				/openaccess/2014review/secon
				dpanelmeeting/
31				Details at
October				http://www.universitiesuk.ac.uk
2014				/aboutus/whatwedo/PolicyAnaly
				sis/ResearchInnovation/Pages/U
				<u>UKOpenAccessCoordinationGrou</u>
				<u>p.aspx</u>
4 Feb	Elsevier	Introductory meeting,	s40 of the	No formal note kept.
2015		open access to research	FOI	
2 March	Elsevier	Introductory meeting,	s40 of the	No formal note kept.
2015		open access to data	FOI	
9 March	Publishers	Introductory meeting,	s40 of the	No formal note kept.
2015	Association	open access to research	FOI	
22 April	Wiley	Introductory meeting,	s40 of the	No formal note kept.
2015		open access to research	FOI	
30 April	Elsevier	Meeting to discuss	s40 of the	No formal note kept.
2015		potential for broader	FOI	
		use of bibliometrics in		
		research impact policy		
		incl Elsevier report on		
		Amsterdam's		
		Competitive Advantage		