### The National Archives

all closed or retained records.

## Digital records transfer form and checklist

January 2013



This form must accompany digital records being transferred to The National Archives. You must complete sections A to F below. Notes on how to complete the form can be found at section G overleaf.

Note: Custody of the records remains with the transferring or parent body until formal confirmation of custody is received by email from The National Archives.

A. Authority to transfer  A1. Name of transferring body  The Leveson Inquiry: Culture, Practices and Ethics of the Press  A2. Name of creating body (if different from A1)	B. Description  B1. Department code  LEV  B3. Series title  The records of the Leveson Inquiry proceedings
A3. Name of parent or sponsoring Department (where applicable)  DCMS	B4. Number of files  B5. Data volume  MB
A4. Reference to Operational Selection Policy or other agreed records selection criteria  Records Collection Policy 3.1.2	B6. Volume labels 7
A5. Selection has been agreed by your IMC  A6. The records are public records  A7. The records are all Crown copyright	
C. Sensitivity review  C1. The transfer does not include records or metadata classified above RESTRICTED	D. Records handling and preparation  D1. Preparation (tick all that apply)
C2. Closure (tick all that apply)  The transfer includes closed metadata * The transfer includes closed records * The transfer includes redacted records * Some records have been retained *	The files are free of password-protection, encryption, DRM and similar restrictions (except encryption put in place for transfer).  The files have been virus-checked and are free of viruses, worms and other malware.
C3. An application for closure form is attached	The transfer includes fixity (checksum) data for verification of the records.
*An application for closure form is required for	

Continued overleaf...



#### E. Declaration

I confirm that the information given on this form is accurate.

E1. Name of DRO (or authorising officer)	
REDACTED - Section 40(2)	
E2. Date of transfer	
16/10/2013	

#### F. Checklist

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F1. Transfer schedule	
The National Archives have been notified	M
of the transfer and a transfer date has	
been agreed.	
F2. Metadata	
FZ. Metadata	

F2. Metadata	-
A sample metadata export has been	M
approved by The National Archives.	
A test transfer of records and metadata	
has been successfully completed.	

# A list of the file formats (and versions) in the transfer has been sent to The National Archives.

F4. Transfer forms	
Sections A to E of this digital transfer for	m 🖂
have been completed.	
A completed application for closure form	ı is
attached (if the transfer includes closed	or 🔼
retained records).	

Now send the completed form to digitalpreservation@nationalarchives.gov.uk

#### G. Notes

How to complete this form:

- A1. State the name of the public record body that is transferring the files. This may be different to the department that created the records. This could be a department, agency or other body.
- **A2**. State the name of the public record body that originally created the files. This may be a body that has now closed or changed its name.
- **A4**. State the Operational Selection Policy (or other criteria) under which the records have been selected for permanent preservation.
- A5. Information Management Consultant (IMC)
- A7. If the copyright is held by a body other than the Crown this must be included in the metadata.
- **B1, B2 and B3**. References and series titles are allocated by The National Archives when a transfer is initially scheduled. Consult your IMC if you do not have this information.
- **B6** List the hard drive volume(s), DVD label or range of IDs. IMC if you do not have this information.
- **c2**. The application for closure form details FOI exemptions, justifications for closure and release dates for closed and retained records. The form (and a completed example) are available from your IMC.
- **D1.** Any password protection, encryption and digital rights management must be removed from the files before transfer except for encryption applied purely to protect the files during transfer.
- F2. The minimum required metadata fields are Title, Identifier, Date, Checksum, Folder/File, Rights (Copyright), and Closure status.
- **F3**. DROID, a tool developed by The National Archives, can be used to generate a list of file formats and versions.