

Response to Request for Information

Reference FOI 003053
Date 17 December 2018

High Needs Element 3 Top Up Funding

Request:

In relation to high needs funding for the last 3 years:

Please provide answers to the following questions:

1. Do you use a banding/matrix system for ascertaining element 3 top-up funding? [Yes](#).
2. What 'categories' of needs do you associate with each band? [Please see https://search3.openobjects.com/mediamanager/wolverhampton/asch/files/banding.pdf](https://search3.openobjects.com/mediamanager/wolverhampton/asch/files/banding.pdf)
3. What are the financial figures/amounts (£) associated with each band? [2018-19 Matrix Values](#):

Special Schools		A	A	B	C	D	E
Band		Speech, Language & Communication Broadmeadow	Speech, Language & Communication Other	Cognition and Learning	BESD	Sensory	Physical (Including Residential)
2		£10,870	£7,120	£356	£6,176	£7,674	£9,891
3		£13,946	£10,195	£2,714	£8,137	£10,768	£12,878
4		£19,905	£16,155	£8,189	£9,852	£16,727	£15,995

Resource Bases		A	B	C	D	E
Band		Speech, Language & Communication	Cognition and Learning	BESD	Sensory	Physical
2		£2,323		£1,348	£2,499	£1,543
3		£5,383		£3,300	£5,577	£4,515
4		£11,312	£5,145	£5,005	£11,506	£7,616

4. If a pupil requires 1:1 support is this included within the band values or is there additional funding? If there is additional funding, what is the amount that is provided on top of the element 3 to provide 1:1 Support? [No this does not include 1:1 support. The amount funded would be dependent on individual circumstances. Although this would normally be the cost of a Level 2 TA \(approx. £16,000 per annum\).](#)
5. Are any other factors added on to top-up amount – site specific, etc. [No](#)
6. Last 3 years: Please provide the total amount of element 3 (top-up) funding paid out and the total number of HN pupils it was paid out to?

	2017/18	Early Years	Primary	Secondary	SEN/ Special Schools	AP/PRUs	Post School	Total
	HIGH NEEDS EXPENDITURE							
1.2.1	Top-up funding – maintained schools	267,887.03	615,959.05	133,555.06	4,857,579.72	1,195,292.00		7,070,272.86
1.2.2	Top-up funding – academies, free schools and colleges	0.00	408,861.97	367,132.39	2,887,608.56	256,608.00	47,417.13	3,967,628.05
1.2.3	Top-up and other funding – non-maintained and independent providers	0.00	0.00	0.00	3,279,240.81	0.00	1,108,149.58	4,387,390.39
	2016/17							
	HIGH NEEDS EXPENDITURE							
1.2.1	Top up funding - maintained schools	142,142.00	532,964.00	92,334.00	4,433,907.00	975,752.00		6,177,099.00
1.2.2	Top-up funding – academies, free schools and colleges	0.00	199,674.00	201,268.00	2,005,950.00	167,184.00	1,170,000.00	3,744,076.00
1.2.3	Top-up and other funding – non-maintained and independent providers	0.00	0.00	0.00	1,678,327.00	0.00	0.00	1,678,327.00
	2015/16							
	HIGH NEEDS EXPENDITURE							
1.2.1	Top up funding - maintained schools	4,701.00	878,015.00	110,537.00	7,110,828.00	1,074,617.00		9,178,698.00
1.2.2	Top-up funding – academies, free schools and colleges	0.00	120,712.00	502,010.00	550,903.00	0.00	0.00	1,173,625.00
1.2.3	Top-up and other funding – non-maintained and independent providers	58,018.00	203,063.00	29,009.00	843,395.00	0.00	1,586,734.00	2,720,219.00

Please note that the expenditure is publicly available information, and can be found at: <https://www.gov.uk/government/collections/section-251-materials> following the outturn links for each year.

In response to your question in regards to the total number of HN pupils it was paid out to, we can confirm your request for information has now been considered and the City of Wolverhampton Council is not obliged to supply the information you requested for the reasons set out below.

Section 17 of the Freedom of Information Act 2000 requires City of Wolverhampton Council, when refusing to provide such information (because the information is exempt) to provide you, the applicant with a notice which:

- (a) states the fact,
- (b) specifies the exemption in question and
- (c) states (if that would not otherwise be apparent) why the exemption applies:

In relation to your particular request, the following exemption applies:

Section 12 - Exemption where cost of compliance exceeds appropriate limit

We can confirm that the Council holds information falling within the description specified in your request. However, Section 12 of the Freedom of Information Act 2000 allows a public authority to refuse a request if the cost of providing the information to the applicant would exceed the 'appropriate limit' as defined by the Freedom of Information.

The Regulations provide that the appropriate limit to be applied to requests received by local authorities is £450 (equivalent to 18 hours of work). In estimating the cost of complying with a request for information, an authority can only take into account any reasonable costs incurred in:

- (a) Determining whether it holds the information,*
- (b) Locating the information, or a document which may contain the information,*
- (c) Retrieving the information, or a document which may contain the information,*
- and*
- (d) Extracting the information from a document containing it.*

For the purposes of the estimate the costs of performing these activities should be estimated at a rate of £25 per hour.

The information appertaining to your request is not easily accessible and as such this information is not held as a distinct set able to be retrieved or reported on. To get the information would require a full scale look into all individual records. This would be a manual exercise and as such we believe that the aggregated time it would take to collate the information would be in excess of 18 hours (equivalent to a notional cost of £450).