

Information Access Team



Edward Jones

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Our reference: 1626239

Your reference: request-961705-9f5408bc@whatdotheyknow.com

Date: 18 April 2023

Dear Mr Jones

**Freedom of Information Act 2000**

**Reference number: 1626239**

On 20th March 2023 we received the following request for information from you: -

**...I require data on each investment that was held by Hertfordshire Pension Fund on 31 March 2022, or the nearest possible date:**

- 1. Investment names.** Please provide the names of all investments held by the pension fund. For direct investments, please provide the names of individual investments such as specific stocks, bonds, and real estate units. For indirect investments (i.e. those held via a third party), please provide the names of investment vehicles, such as specific mutual funds, exchange traded funds (ETFs) and real estate investment trusts (REITs) and anything else. Note we do not need to know what each indirect investment vehicle invests in as we will determine this in the data analysis.
- 2. Investment identifiers.** For listed investments (i.e. those traded on public exchanges), such as bonds and equities, please provide the International Securities Identifier Number (ISIN). For any investments that do not have an ISIN number, such as some alternative assets, please provide the Legal Entity Identifier (LEI). If an investment does not have an identifier, please provide an explanation.
- 3. Asset types.** The asset type for each investment as reported by the pension fund, such as: real estate, cash, private equity, listed equity, sovereign bonds, corporate bonds etc.
- 4. Investment managers.** The name of the entity which is responsible for the day-to-day management of each investment. For example, this could be the name of the entity which manages a specific investment fund such as Baillie Gifford, Legal & General, UBS etc. Note we do not need to know who is responsible for the overall governance of the LGPS or any pools it might belong to.
- 5. Investment values.** The market value of each investment in pounds sterling (£) on 31 March 2022 or the nearest possible date. And please also give the total value of the pension fund.

**County of opportunity**

**We have created a read-only Google Sheet as a template ....**

**If possible, please use this Google Sheet when answering. Please note there are two tabs - 'summary' and 'data'. Please fill in both tabs. Using the template would help us accurately analyse the data provided.**

**I would like to receive this information in unlocked excel sheets without protected cells (.xls).**

**Our Response**

Your request for information has been considered under the Freedom of Information Act 2000 I can confirm that Hertfordshire County Council does hold most of the information you have requested, and can respond as follows: -

[Please see attached spreadsheet with summary and data. Please also see the tab "Further notes" for an explanation of what has been provided](#)

If you have any questions, please do not hesitate to contact me, quoting the reference above. To find out more about Freedom of Information, please visit <http://www.hertfordshire.gov.uk/your-council/work/foi/>

If you are unhappy with the way the County Council has handled your request for information you may request an internal review of the request. This will be carried out by a member of the County Council Legal Services Team, who has had no prior involvement with the request. Requests for an internal review should be sent to the Information Governance Unit at the address above.

If you are unhappy with the outcome of the internal review you are entitled to ask the Information Commissioner to investigate your complaint. You should write to: FOI/EIR Complaints Resolution, Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire, SK9 5AF.

Yours sincerely

Ingrid Howard  
Information Access Practitioner