

Information Rights Unit HM Treasury 1 Horse Guards Road London SW1A 2HO

Paula Castro

020 7270 5000 foirequests@hmtreasury.gov.uk www.gov.uk/hm-treasury

1 December 2022

Dear Paula Castro Ref: FOI2022/20064

Freedom of Information Act 2000

Thank you for your enquiry of 13 October 2022, which we have considered under the terms of the Freedom of Information Act 2000 (the FOI Act).

You asked for the following information:

"For the meeting held on 27/4/2022 between Rt Hon Rishi Sunak MP and Barclays, with purpose To discuss Financial Services in the UK.

Could you please provide the following information:

- * A full list of attendees, including full names and titles as well as who the attendee represents
- * A copy of the meeting agenda
- * Meeting notes/minutes taken during the meeting, as well as any briefing notes and papers
- * Any correspondence associated with the attendees, including debriefs of the meeting via email or other forms of communication."

We wrote to you on 10 November 2022 to explain that we held information in scope of your request and by virtue of section 10(3) of the FOI Act, we needed to give further consideration to the public interest with regard to the information under section 35(1)(a) of the FOI Act (formulation and development of Government policy) and section 43(2) (prejudice to commercial interests). We have now completed our assessment and this letter sets out our conclusions on your request.

Regarding your request for 'Any correspondence associated with the attendees', we have interpreted this as pertaining to correspondence between HMT and Barclays related to the meeting in question.

The information that can be released is set out below at Annex A.

In our response, we refer to Rishi Sunak MP as "CX" given his Ministerial role as Chancellor at the time.

Some information that you have requested engages the exemption at section 35(1)(a) (government policy) of the FOI Act. Section 35(1)(a) is a qualified exemption. The department is required to balance the public interest between disclosure and non-disclosure when considering your request.

HM Treasury recognises that there is an inherent public interest in transparency and accountability of public authorities. We also recognise the broad public interest in furthering public understanding of the issues with which public authorities deal. There is a public interest in the work of Government departments being transparent and open to scrutiny to increase diligence and understanding of the issues we deal with.

We note that the meeting was declared in HM Treasury's transparency data in the usual way:

 $\underline{https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/1108070/Meetings.csv/preview.}$

We must balance against this however, against the clear public interest in protecting the Government's ability to discuss and develop financial services policies and to reach well-formed conclusions and judgements.

Some of the information in scope pertains to financial services policies that is live and ongoing. It is vital for the functioning of good government to preserve a safe space to debate policy issues. Without this there would, in our view, be a chilling effect on free and frank debate, likely leading to less well-considered, less robust decisions or effective policies. There is a strong public interest in protecting against encroachment on the ability of ministers and/or officials to formulate and develop policy options freely and frankly.

On balance for the reasons set out above, we have concluded that the public interest lies in withholding the information that you have requested under section 35(1)(a).

Some of the information engages section 35(1)(d) of the FOI Act, relating as it does to administrative matters within the Minister's private office, namely the administration of the Minister's diary. Section 35(1)(d) is a qualified exemption and we have considered whether the balance of the public interest favours our release of this material.

As noted above, there is a general public interest in disclosure of transparency information and we recognise that openness in government may increase public trust in and engagement with the government. We recognise that there is a public interest in understanding how the ministerial private offices operate.

However, we consider that disclosure would prejudice the effective running of the ministerial private office. The generic public interest in transparency must be weighed against a stronger public interest that ministerial private office must be free to make arrangements for the minister's diary to facilitate the most efficient and effective carrying out of ministerial business without external interference and distraction. Ministers must be able to rely on these arrangements, and must be confident that private office staff remain independent, and do not allow extraneous considerations such as presentational concerns, or possible public perception of any diary arrangements, to affect their judgement in administering the private office. In addition, disclosure of the working patterns of individual ministers may undermine the security of ministers or officials, making diary arrangements more difficult.

Taking into account all the circumstances of this case, we consider that the balance of the public interest favours withholding this information.

We also consider that some information you have requested engages section 43(2) (commercial interests) of the FOI Act. Section 43(2) is a qualified exemption and we are therefore required to balance the public interest between disclosure and non-disclosure.

We recognise that there is a public interest in transparency regarding the accountability of public funds and the basis of the Government's dealings with Barclays and how those interactions take place. We are aware that such transparency can inform public debate on the issues dealt with by the Treasury.

Balanced against this, as an economics and finance ministry, the Treasury relies on information provided by a range of stakeholders, such as Barclays, to better understand the impact of economic policy proposals on different sectors, such as the financial services sector. Engagement and feedback with representatives of different industries is central to economic policy decision making. We consider that the disclosure of information that is likely to prejudice the commercial interests of Barclays would not be in the public interest. It would also be likely to inhibit their future engagement with the Treasury because we consider disclosure of this information would make it less likely that stakeholders would provide the department with commercially sensitive information in the future; and consequently undermine the ability of the Treasury to gather robust evidence for good decision making. It is important that the Government is able to discuss and develop policies and reach well-formed conclusions.

Given the public interest balance considerations set out above, we conclude that the public interest in withholding the information outweighs the public interest in disclosing it.

Some in-scope information is personal data. Section 40(2) of the FOI Act, by virtue of section 40(3A), which provides an absolute exemption for third party personal data, where disclosure would contravene any of the data protection principles set out in Article 5 of the UK General Data Protection Regulation (UK GDPR). The first data protection principle requires the disclosure of third party personal data to be lawful, fair and transparent. We believe that releasing the information would breach the first data protection principle, since it would be unlawful and unfair to release the information.

If you have any queries about this letter, please contact us. Please quote the reference number above in any future communications.

Yours sincerely

Information Rights Unit

Annex A

1. On 27/04/2021 - Bilateral with C.S. Venket.

Attendees from Barclays: C.S. Venkatakrishnan (CEO)

Attendees from HMT: Rishi Sunak, Gwyneth Nurse.

Briefing for bilateral with C.S. Venkatakrishnan ('Venkat') (CEO Barclays Group) 6 April 2022

Objectives

[Section 35 (1)(a)]

Speaking Points

[Section 35 (1)(a)]

Background

[Section 35 (1)(a)]

Barclays Group

• Barclays Group reported a statutory pre-tax profit in 2021 of £8.4bn, up from £3.1bn in the previous year. Strong profit has enabled Barclays to pay a final dividend of 6p per share and conduct a share buyback of £1bn. High quarterly profits were driven by the mortgage market and due to the improving economic outlook, meaning Barclays was able to release £31mn of loan loss provision set aside for pandemic-related defaults.

[Section 35 (1) (a)]

Biography

C.S. Venkat, CEO Barclays Group

C.S. Venkat took over as CEO of Barclays Group in November 2021 following the resignation of Jes Staley. Prior to his appointment as Group CEO, Venkat was Head of Global Markets, Co-President of Barclays Bank PLC, and a member of the Group Executive Committee of Barclays, based in New York. He has also served as Chief Risk Officer at Barclays. Prior to joining Barclays in 2016, he worked at JP Morgan Chase from 1994, holding senior roles in Asset Management where he was Chief Investment Officer. Venkat is the executive sponsor for Embrace, the global multi-cultural network at Barclays. Venkat holds S.B., S.M. and Ph.D. degrees from the Massachusetts Institute of Technology.

Meeting arrangement email chain HMT – Barclays

From: [section 40(2) - Barclays]

Sent on: Wednesday, March 30, 2022 8:48:30 AM

To: [Section 40 (2) - HMT]

CC: [Section 40 (2) - HMT [Section 40 (2) - HMT]

Subject: RE: Chancellor/C.S. Venkat Meeting

HI [Section 40(2) – HMT]

Just to confirm that we have nothing to add to this agenda. [Section 40 (2) - Barclays]. I will send a copy to you once sent. Looking forward to the meeting.

Kind regards,

[Section 40 (2) - Barclays]

[Section 40 (2) - Barclays]

Restricted - External

From: [Section 40 (2) - HMT] **Sent:** 25 March 2022 11:01 **To:** [section 40(2) - Barclays] **Cc:** [Section 40 (2) - HMT]

Subject: Chancellor/C.S. Venkat Meeting

Hi [Section 40 (2) - Barclays]

Hope you are well!

The Chancellor and Venkat are due to meet on the 6 April for their first 1-1 bilateral, however I believe that they have met informally before at other events.

Could I ask whether there is anything Venkat would like to specifically discuss with the Chancellor? [Section 35 (1)(a)]

Best,

[Section 40 (2) – HMT – Policy Adviser]

From: [section 40(2) - Barclays]

Sent on: Friday, March 25, 2022 4:36:53 PM

To: [Section 40 (2) - HMT]

CC: [Section 40 (2) - HMT] [Section 40 (2) - HMT]

Subject: RE: Chancellor/C.S. Venkat Meeting

[[Section 40 (2) – HMT – Policy Adviser]

[Section 35 (1)(a)] Kind regards,

[Section 40 (2) - Barclays]

[Section 40 (2) - Barclays]

Restricted - External

From: [Section 40 (2) - HMT] **Sent:** 25 March 2022 11:01 **To:** [section 40(2) - Barclays]

Cc: [Section 40 (2) - HMT] [Section 40 (2) - HMT]

Subject: Chancellor/C.S. Venkat Meeting

Hi [Section 40 (2) - Barclays]

Hope you are well!

The Chancellor and Venkat are due to meet on the 6 April for their first 1-1 bilateral, however I believe that they have met informally before at other events.

Could I ask whether there is anything Venkat would like to specifically discuss with the Chancellor? [Section 35 (1)(a)]

Best,

[Section 40 (2) – HMT – Policy Adviser]

Email – Gwyneth Nurse and Barclays

From: [Section 40 (2) - Barclays] **Sent:** 30 March 2022 10:01

To: Nurse, Gwyneth - HMT [section 40(2)]

Subject: [Section 35 (1) (a)]

Hi Gwyneth,

As follow-up to Venkat's meeting with Tom Scholar a few weeks ago and ahead of his meeting with the Chancellor on 6 April, [Section 40 (2) - Barclays].[Section 35 (1)(a)]

[Section 40 (2) - Barclays] [Section 43 (2)]

Please let me know if useful to discuss further.

Kind regards,

[Section 40 (2) - Barclays]

[Section 40 (2) – Barclays]

Readout email chain Barclays - CX

Sent on: Saturday, April 30, 2022 1:10:33 PM

To: [Section 40 (2) - HMT] [Section 40 (2) - HMT] [Section 40 (2) - HMT]

[Section 40 (2) - HMT] [Section 40 (2) - HMT] [Section 40 (2) - HMT]

[Section 40 (2) - HMT]

CC: Nurse, Gwyneth - HMT [section 40(2)]; Barber, Alanna - HMT [section 40(2)]

; Roxburgh, Charles - HMT [section 40(2)] ; Duffy, Philip - HMT [section 40(2)] ; Taylor, Joe -HMT [section 40(2)] ; Medland, Jonny - HMT [section 40(2)] ; [Section 40 (2) - HMT] [Section 40 (2) - HMT] [Section 40 (2) - HMT] [Section 40 (2) - HMT]

[Section 40 (2) - HMT] [Section 40 (2) - HMT] [Section 40 (2) - HMT]

[Section 40 (2) - HMT]

Subject: [READOUT] CX - Barclays [official - sensitive]

Attachments: [Briefing] CX & C.S Venkat.docx (102.34 KB), One-pager 09-12-2021.pdf

(451.02 KB)

Hi [Section 40 (2) – HMT – Policy Adviser]

Thank you very much for the briefing for this meeting. Highlights of CX's discussion with Venkat were:

<u>CX – CS Venkatakrishnan, CEO Barclays, 27.04.2022</u>

[Section 43 (2)]

Kind regards,

[Section 40(2) - HMT - CX APS]

From: [Section 40 (2) - HMT] **Sent:** 25 April 2022 14:41

To: [Section 40 (2) - HMT] [Section 40 (2) - HMT] Chancellor's Office - HMT]

[EST's Office - HMT]; Nurse, Gwyneth - HMT [section 40(2)]; Barber, Alanna - HMT [section 40(2)]; Roxburgh, Charles - HMT [section 40(2)]; Duffy, Philip - HMT [section 40(2)]; Taylor, Joe -HMT [section 40(2)]; Medland, Jonny - HMT [section 40(2)]; [Section 40 (2) - HMT]

[Section 40 (2) - HMT] [Section 40 (2) - HMT] [Section 40 (2) - HMT]

Subject: RE: [For Info] [H3] Market overview From: C.S. Venkatakrishnan, Group Chief Executive

Barclays To: Rishi Sunak 14/01/22

OFFICIAL - SENSITIVE

Hi [Section 40 (2) - HMT CX APS] -

See attached for an updated brief for this meeting. We've only made slight tweaks to bring it up to date.

Thanks,

[Section 40 (2) – HMT – Policy Adviser]

OFFICIAL - SENSITIVE

From: [Section 40(2) - HMT]

Sent: 19 April 2022 10:59

To: [Section 40(2) - HMT] [Chancellor's Office - HMT]

Subject: RE: [For Info] [H3] Market overview From: C.S. Venkatakrishnan, Group Chief Executive Barclays To: Rishi Sunak 14/01/22

Hi [Section 40 (2) – HMT – Policy Adviser] all,

Thank you for this briefing. For the benefit of the chain, this meeting was postponed to 27th April.

Would it be possible to have an updated final briefing for 2pm on Monday 25th?

Gwyneth, are you free to join? It's scheduled for 9.15 - 9.45 on 27th.

Kind regards,

[Section 40(2) - HMT - CX APS]

From: [Section 40 (2) - HMT]

Sent: 01 April 2022 12:15

To: [Section 40 (2) - HMT] [Chancellor's Office - HMT]

Cc: [Section 40 (2) - HMT] [Economic Secretary's Office - HMT]; Nurse, Gwyneth [Section 40 (2) - HMT] Barber, Alanna [Section 40 (2) - HMT] [Section 40 (2) - HMT]; Roxburgh, Charles - HMT [section 40(2)] ; Duffy, Philip - HMT [section 40(2)] ; Taylor, Joe -HMT [section 40(2)] ; Medland, Jonny - HMT [section 40(2)] ;

Subject: RE: [For Info] [H3] Market overview From: C.S. Venkatakrishnan, Group Chief Executive Barclays To: Rishi Sunak 14/01/22

Hi [Section 40 (2) – HMT CX ASP]-

With thanks to [Section 40(2) - HMT] and to leads across FS for feeding in, please see attached a briefing for CX's meeting next week.

Let us know if you need anything further.

Thanks,

[Section 40 (2) – HMT- Policy Adviser]

From: [Section 40 (2) - HMT] **Sent:** 01 February 2022 16:09

To:[Section 40 (2) - HMT][Section 40 (2) - HMT]

 $\begin{tabular}{ll} \textbf{Cc:} [Economic Secretary's Office - HMT] ; Nurse, Gwyneth [Section 40 (2) - HMT] ; Barber, Alanna [Section 40 (2) - HMT] ; Roxburgh, Charles - HMT [section 40(2)] ; Duffy, Philip - HMT [section 40(2)] ; Taylor, Joe - HMT [section 40(2)] ; Medland, Jonny - HMT [section 40(2)] ; \\ \textbf{Subject:} \ RE: [For Info] [H3] \ Market overview From: C.S. Venkatakrishnan, Group Chief Executive Barclays To: Rishi Sunak 14/01/22 \\ \end{tabular}$

Hi [Section 40 (2) – HMT – Policy Adviser]

Grateful if you could please provide a brief (with bio) by 2pm on Friday 1 April.

Thanks,

[Section 40 (2) – HMT – Policy Adviser]

From: [Section 40 (2) - HMT] **Sent:** 01 February 2022 15:27 **To:** [Section 40 (2) - HMT]

 $\begin{array}{lll} \textbf{Cc:} & [Section \ 40 \ (2) \ - \ HMT]; \ [Economic \ Secretary's \ Office \ - \ HMT]; \ Nurse, \ Gwyneth \ [Section \ 40 \ (2) \ - \ HMT]; \ Philip \ - \ HMT \ [Section \ 40 \ (2) \ - \ HMT]; \ Philip \ - \ HMT \ [Section \ 40 \ (2)]; \ Taylor, \ Joe \ - HMT \ [Section \ 40 \ (2)]; \ Medland, \ Jonny \ - \ HMT \ [Section \ 40 \ (2)]; \end{aligned}$

Subject: RE: [For Info] [H3] Market overview From: C.S. Venkatakrishnan, Group Chief Executive Barclays To: Rishi Sunak 14/01/22

Hi [Section 40 (2) – HMT – Policy Adviser]

The Chancellor has agreed to meet with C.S. Venkatakrishnan after the Spring Statement from 9:00 to 9:30am on Wednesday the 6^{th} of April. I will respond to his email with an meeting invite shortly.

Regards,

[Section 40(2) - HMT - CX APS]

From: [Section 40 (2) - HMT] Sent: 20 January 2022 11:31 To: [Chancellor's Office - HMT]

Cc: [Section 40 (2) - HMT]; [Economic Secretary's Office - HMT]; Nurse, Gwyneth - HMT [section 40(2)]; Barber, Alanna - HMT [section 40(2)]; Roxburgh, Charles -

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HMT [section 40(2)] ; Duffy, Philip - HMT [section 40(2)] ; Taylor, Joe -HMT [section 40(2)] ; Medland, Jonny - HMT [section 40(2)] ;
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Subject: RE: [For Info] [H3] Market overview From: C.S. Venkatakrishnan, Group Chief Executive Barclays To: Rishi Sunak 14/01/22

Hi [Section 40(2) - HMT - CX APS]

As discussed over Teams please see a paragraph of advice for the CX specifically on the opportunity to meet with Venkat.

Do let me know if you have any questions.

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Best,
[Section 40 (2) – HMT – Policy Adviser]
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[CX Advice] Invitation to meet C.S. Venkatakrishnan, CEO Barclays Group.

• C.S. Venkatakrishnan known as Venkat, the CEO of Barclays Group, has asked to meet with you. Venkat took over as Group CEO in November 2021 following the departure of Jes Staley. He was previously Head of Global Markets at Barclays.

[Section 35(1) (a)]

From: [Chancellor's Office - HMT]
Sent: 14 January 2022 09:30
To: [Section 40 (2) - HMT]

Cc: [Economic Secretary's Office - HMT] ; [Section 40(2) - HMT]; [Chancellor's Office - HMT] Subject: [For Info] [H3] Market overview From: C.S. Venkatakrishnan, Group Chief Executive Barclays To: Rishi Sunak 14/01/22

Dear all,

For your information, please see below correspondence from C.S. Venkatakrishnan, Group Chief Executive of Barclays.

If necessary, please provide a paragraph of advice on anything CX should be made aware of.

Kind regards, [Section 40 (2) – HMT- CX APS]

From: C.S. Venkat [Section 43 - HMT]

Sent: 14 January 2022 08:25 **To:** [Chancellor's Office - HMT]

Cc: Roxburgh, Charles [Section 40 (2) - HMT] [Section 40 (2) - Barclays] Subject: Market

overview

Dear Chancellor,

Firstly, happy new year. I hope you were able to enjoy a break over the festive period ahead of what will be a busy year. I hope that we will have the opportunity to meet early this year and that we are able to do that in person.

[Section 43 (2)]

As always, please do let me know if you have any questions.

Regards, Venkat

C.S. Venkatakrishnan | Group Chief Executive

UK Office: Barclays, Level 31, 1 Churchill Place, Canary Wharf, London, E14 5HP

Tel:

US Office: Barclays, Level 31, 745 Seventh Avenue, New York, NY 10019

AN ISLAND OF INNOVATION

A leading digital economy



London is home to over 100 unicorns, and fourth for tech VC investment globally, behind New York, Beijing and San Francisco.

London HQ for Seguoia, General Catalyst, Lightspeed and many more.





The UK is the best place in Europe to raise capital. £11.9bn was invested in UK tech in 2020, more than France and Germany combined. In 2020, 63% of UK tech investment came from overseas.

The UK has the greatest digital reach in Europe with...

One of the highest average daily minutes of internet use per individual, 16%, 79% and 147% higher than the US. Germany and France.

Over 80% of consumers bought something online in 2019, higher than the US, Germany, France and the EU average.

5pp higher ecommerce penetration than the US, even before the surge during Covid-19.



FinTech

Second best country in the world for fintech, with the UK representing 11% of the global market share. Home to half (21) of Europe's fintech unicorns.



Life Sciences

£5bn investment in health R&D and home of the UK Biobank.

Cambridge is home to 430 life sciences companies, including Horizon Discovery, Abcam, and AstraZeneca's HQ, which houses the new £1bn 'Discovery Centre' with the most advanced robotics, screening and Al-driven technologies.

The top 25 global biopharmaceutical and top 30 global med-tech organisations operate in the UK.



12% of global cell and gene therapy clinical trials are in the UK, including world leading Covid RECOVERY trials.

The NHS has one of the richest sets of health data in the world.

Innovative ecosystem

Close collaboration between government, academia, and industry across sectors.

Research

The UK is home to 4 of the top 20 global universities - second highest in the world - and 3 of the top 10 computer science departments internationally.





The UK is 3rd in the world for number of Hardware research publications in the last 25 years, 3rd in Aerospace, 4th for Bioengineering and 5th for Al.

Homegrown Talent

Higher percentage of STEM graduates (26%) at tertiary level compared to the US (19%).





14% of graduates in 2016 obtained a life science degree - the UK ranked 2nd compared to the US ranking 8th.

The UK will offer around 200,000 bootcamp places over the next three years.



Agile Regulation

More agile legislative processes than the US and EU with a strong feedback loop between business investment and the quality of the regulatory environment.

Listings reform to improve the global competitiveness of the UK, including rule changes on SPACs, prospectuses, dual class share structures and free float requirements.





First country to introduce a Regulatory Sandbox to support innovative fintech solutions.

First country to develop Open Banking standards, 12 million Open Banking payments were made in the UK between January and March this year. This has tripled year-on-year.

World-leading regulators- the UK was the first to approve Pfizer and Astra Zeneca Covid vaccines, with flexibility to optimise regulation even further outside the EU.

MHRA to deliver world-leading regulatory model for Digital Health products, a key driver of innovation in the next decade.

Supportive policy

Tax

An internationally competitive tax system for both residents and non-domiciles, designed to facilitate inward investment.



25% corporation tax rate: lowest in G7, joint 5th lowest in G20.

Lower UK Capital Gains Tax Rate than US, France, Germany.

World's most generous personal tax relief for high-net worth individuals to invest in start-ups.

Broadening R&D tax reliefs to cover cloud computing and data assets.



Enterprise Management Incentives (EMI) help UK companies recruit and retain higher calibre employees by allowing tax-advantaged share options.

Public Investment

Public R&D investment increasing to £20bn per year by 2024/25.

Government fiscal support for R&D as % GDP to increase from 0.7% in 2018 to 1.1% in 2024. This is significantly above the 2018 OECD average of 0.7%, and the US, Germany and France.

As of September 2021, the British Business Bank is supporting over £10.3bn of debt and equity finance to over 92,000 smaller businesses.

International Talent

New and improved globally competitive visa offers and proactive outreach strategy to attract top talent including...

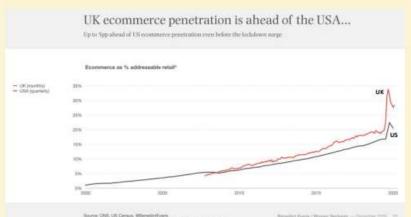
Visas for high potential graduates from top global universities.

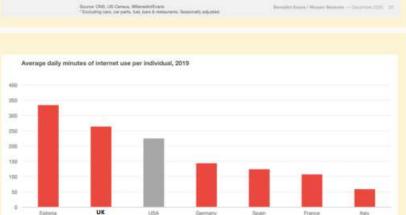
Visas for fast growing and innovative business, including scale-ups, matching similar offers in France and Singapore, and an improved offer for innovators.

The Global Talent Network to attract top Science and Technology talent.



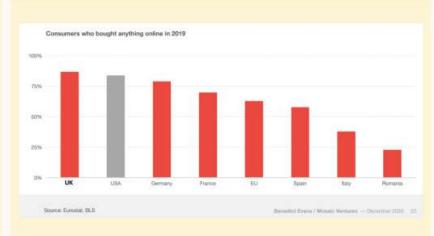
Annex

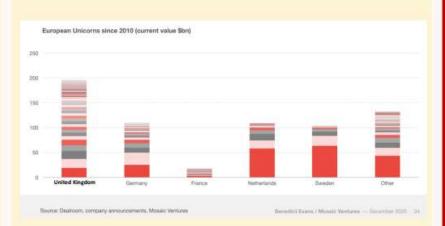




Benedict Sonn / Mosaid Yesters — December 2021 - 20

Source: Zerth





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Your right to complain under the Freedom of Information Act 2000

If you are not happy with this reply, you can request a review by writing to HM Treasury, Information Rights Unit, 1 Horse Guards Road, London SW1A 2HQ or by emailing us at the address below. Any review request must be made within 40 working days of the date of this letter.

Email: foirequests@hmtreasury.gov.uk

It would assist our review if you set out which aspects of the reply concern you and why you are dissatisfied.

If you are not content with the outcome of the review, you may apply directly to the Information Commissioner for a decision. Generally, the Commissioner will not make a decision unless you have exhausted the complaints procedure provided by HM Treasury which is outlined above.

The Information Commissioner can be contacted at: The Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF (or via their website at: https://ico.org.uk).