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Bwrdd Iechyd Prifysgol  
Aneurin Bevan  
University Health Board

Our ref: **FOI 23-595**

Friday, 08 December 2023

Paul Welch

Sent by email to: [request-1048134-9a073d8e@whatdotheyknow.com](mailto:request-1048134-9a073d8e@whatdotheyknow.com)

Dear Paul Welch

Thank you for your request to Aneurin Bevan University Health Board for information under the Freedom of Information Act (2000) received on 14 November 2023.

### Your request

You requested the following:

The Welsh Parliament Equality and Social Justice Committee, Use of Personal Data in the Welsh NHS recording refers to the sharing of patient data and the controls required to ensure privacy and confidentiality of the record.

1. Who is your appointed Caldicott Guardian and Senior Information Risk Owner?
2. Made reference in the above Committee - Do you have access to the National Integrated Audit System? If so, how many times do you utilise on a weekly basis over the past 12 months.
3. In the past 2 years how many employees have been disciplined for inappropriate access to patient data (broken down by digital and paper records).
4. What percentage of your total employed or contracted staff are compliant with any nationally available mandated Data Protection training?
5. How many employees do you have that are directly responsible for providing advice on matters of Data Protection and Confidentiality.
6. How many Subject Access Requests have you received from patients over the past 12 months.

### Our response

1. The Caldicott Guardian is: Dr James Calvert, Medical Director and the Senior Information Risk Owner (SIRO) is: Paul Solloway, Director of Digital
2. Yes, we do have access to the National Integrated Audit System, and this system is utilised daily.
3. 12 employees have been disciplined for inappropriate access to patient data, all of which refer to digital access.
4. 76%
5. 9 employees

6. We have received 2,037 subject access requests (SAR) from patients between October 2022 and October 2023.

### **Next steps**

If you are not satisfied that the information you have requested has been provided, or because of the way your request has been handled, you have the right to request a review. This would be looked into in accordance with the Information Commissioner's Office (ICO) guidelines, which state that a request for review should be received within 40 days of you receiving the Health Board's response to your request for information.

Please contact Rani Dash, Director of Corporate Governance, Aneurin Bevan University Health Board, Headquarters, St Cadoc's Hospital, Lodge Road, Caerleon, Newport, NP18 3XQ, email: [ABB.BoardSecretary@wales.nhs.uk](mailto:ABB.BoardSecretary@wales.nhs.uk) in the first instance if you wish to request a review.

If you are still dissatisfied at the end of the review, you have the right to take your complaint directly to the Information Commissioner, who can be contacted at the following address:

Information Commissioner's Office - Wales  
2<sup>nd</sup> Floor  
Churchill House  
Churchill Way  
Cardiff  
CF10 2HH

Telephone: 0330 414 6421

Email: [wales@ico.org.uk](mailto:wales@ico.org.uk)

Yours sincerely



**Nicola Prygodzicz**  
**Prif Weithredwr | Chief Executive**