

## Appendix 3 - Local Pension Partnership - Policies

Policy
<b>Investment related policies</b>
Accounting Policy
Allocation and Aggregation Policy (including treating customers fairly)
Best Execution Policy
Delegated Authorities Policy (External)
Internal Trading Limits
Derivative Risk Management
Distribution Policy
ICAAP (regulatory capital) document
Investment due diligence process
Investment Operations Manual
Leverage Policy
Liquidity Policy (Part of Risk, Liquidity and Treasury policy)
Oversight of delegates
Risk Management (Investment) (Part of Risk, Liquidity and Treasury policy)
Suspension of ACS
Valuation
<b>Compliance related policies</b>
Chinese Walls Policy
3 * Complaints Policy (LPP, LPPI, LPPA)
Compliance manual
Compliance monitoring programme (Investments & Regulatory)
External business interests (part of conflicts of interest)
Gifts and Hospitality
Personal Account dealing
Whistleblowing
<b>Company related policies</b>
Business Continuity Plan / Policy
Communication policy
Computer use policy
Conflict of Interests (All)

Policy
Confidentiality and Data Protection
ICT Disaster Recovery Plan
Group Code of Conduct for Staff (Employees and Non-Executives)
Group Code of Conduct for Board Members
Health & Safety
ICT Strategy
Information Security
Malpractice Policy
Remuneration / Pay Policy
Retention and Disposal of Records
Risk Management - General (including risk register) (Part of Risk, Liquidity and Treasury policy)
User Access Management