



HM TREASURY

1 Horse Guards Road  
London  
SW1A 2HQ

4<sup>th</sup> September 2013

Information Rights Unit

Tel: 0207 270 5000

[www.hm-treasury.gov.uk](http://www.hm-treasury.gov.uk)  
[FOI.responses@hmtreasury.gsi.gov.uk](mailto:FOI.responses@hmtreasury.gsi.gov.uk)

Ref: 13/790 -794

E Ezekiel

**FREEDOM OF INFORMATION ACT 2000: Financial Sanctions**

Thank you for your Freedom of Information enquires of 8<sup>th</sup> August 2013.

You asked for information covering –


- *how many requests for authorisation and/or notification in relation to a transfer of funds have been received by HM Treasury pursuant to Article 30 and/or Article 30A of EU Council Regulation 1263/2012 and/or pursuant to the Iran (European Union Financial Sanctions) Regulations 2012 during the period 1 January 2013 – 31st July 2013. Please also state the number of requests which have been made for authorisation and the number of requests where a mere notification has been made*
- *the cumulative value of the transfer requests for authorisation or notification which have been received by HM Treasury pursuant to EU Council Regulation 1263/2012 Article 30 and 30A and/or Sections 10 or 11 of The Iran (European Union Financial Sanctions) Regulations 2012 during the period 1 January 2013 – 31st July 2013.*
- *whether, and if so how many times HM Treasury has rejected a request for authorisation for payment transfers pursuant to Articles 30 and 30A of EU Council Regulation 1263/2012 and/or pursuant to sections 10 and 11 of The Iran (European Union Financial sanctions) Regulations 2012 during the period 1 January 2013 – 31st July 2013.*
- *whether (and if so how many times) any prosecution or proceedings have been brought in relation to a failure to seek authorisation or notify HM Treasury with respect to fund transfers as required under Article 30 and 30A of EU Council Regulation 1263/2012 or under Article 30 of EU Council Regulation 267/2012 or pursuant to The Iran (European Financial sanctions) 2012 Regulations.*
- *whether any prosecution or proceedings have been brought under Part 4 of the Iran (European Financial sanctions) 2012 Regulations.*

We estimate that the cost of complying with these requests together would exceed the statutory limit of £600, or three and a half working days, in locating retrieving and extracting the information. Regulations made under section 12(4) provide that, where authorities are addressing a number of related requests as in this case, they should aggregate them to assess whether the

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cost limit is exceeded. Section 12 generally provides that authorities are not obliged to comply with requests that exceed the cost limit.

If you have any queries about this letter, please contact me. It will be helpful to us if you remember to quote the reference number above in any future communications.

PP 

Wendy Rendall  
Information Rights Unit

Your right to complain under the Freedom of Information Act 2000

If you are not happy with this reply, you may request a review by writing to HM Treasury, Information Rights Unit, 2/W1, 1 Horse Guards Road, London SW1A 2HQ.

Email [FOI.responses@hmtreasury.gsi.gov.uk](mailto:FOI.responses@hmtreasury.gsi.gov.uk)

Any review request must be made within 2 months of the date of this letter.

It would assist our review if you set out which aspects of the reply concern you and why you are dissatisfied.

If you are not content with the outcome of your complaint, you may apply directly to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the complaints procedure provided by HM Treasury. The Information Commissioner can be contacted at: The Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF.