

Dear Mr Benjamin

**Freedom of Information request: 394579.**

Thank you for your request for information held by London Borough of Lewisham, copied below for ease-

***Please provide un-redacted copies of the tender specifications, assessment notes and awarded TMA contracts for 'Treasury Management Advice' (TMA) services, tendered by council between 2002-2015.***

***Additionally, please provide payment records, notes and receipts for TMA annual fees, and LOBO loan brokerage commission and/or applicable restructuring fees over this period.***

***Finally, please confirm (providing records) of each occasion Councils Treasury Management Advisor(s) sought and received formal approval from the council, to vary brokerage commission fees from the flat rate set out in the TMA contract and standard "terms of business."***

Firstly I would like to apologise for the delay in responding to your request. The team has recently been restructured and this included staff changes, resulting in cases unfortunately being responded to late. I am sorry for any inconvenience this may have caused you.

In response to your request, we can advise as follows-

***Q- Please provide un-redacted copies of the tender specifications, assessment notes and awarded TMA contracts for 'Treasury Management Advice' (TMA) services, tendered by council between 2002-2015.***

The Council's most recently contracted provider for TM services was Sector. The contract and specification relating to this tender are attached, as is a set of clarification interview questions.

***Q- Additionally, please provide payment records, notes and receipts for TMA annual fees.***

We apply Section 21 of the Freedom of Information Act 2000, 'Information reasonably accessible to the applicant by other means', to this part of your request.

Section 21 states:

(1) Information which is readily available to the applicant otherwise than under section 1 is exempt information.

(2) For the purposes of subsection (1)-

(a) information may be reasonably accessible to the applicant even though it is accessible only on payment, and

(b) information is to be taken to be reasonably accessible to the applicant if it is information which the public authority or any other person is obliged by or under any enactment to communicate (otherwise than by making the information available for inspection) to members of the public on request, whether free of charge or upon payment.

(3) For the purposes of subsection (1), information which is held by a public authority and does not fall within subsection (2)(b) is not to be regarded as reasonably accessible to the applicant merely because the information is available from the

public authority itself on request, unless the information is made available in accordance with the authority's publication scheme and any payment required is specified in, or determined in accordance with, the scheme.

This acts as an exemption notice. Subsection 1 means that the Council is relieved of the duty to communicate the requested information to an applicant if there is an existing method by which the information can be obtained, outside of the operation of the Freedom of Information Act 2000. This is an absolute exemption and therefore does not require the public interests balancing test to be applied. We confirm that all council spend over £250 can be found on Lewisham's website at the following link - <https://www.lewisham.gov.uk/mayorandcouncil/aboutthecouncil/finances/council-spending-over-250/Pages/default.aspx>

***Q- LOBO loan brokerage commission and/or applicable restructuring fees over this period***

The Council paid the following LOBO brokerage fees during the period:

- LOBO ref 7112/ Start date March '04 - Fee £7,500
- LOBO ref 7115/ Start date Aug '06 - Fee £13,500

There were no restructuring fees.

***Q- Finally, please confirm (providing records) of each occasion Councils Treasury Management Advisor(s) sought and received formal approval from the council, to vary brokerage commission fees from the flat rate set out in the TMA contract and standard "terms of business".***

Not applicable.

We hope you find the above and attached information helpful. You are free to use the information provided for your own purposes, including any non-commercial research you are doing and for the purposes of news reporting. Any other re-use, for example commercial publication, requires the permission of the copyright holder. You may apply for permission to re-use this information by submitting a request to [xxx@xxxxxxxxx.xxx.xx](mailto:xxx@xxxxxxxxx.xxx.xx)

You have a right of appeal against this response. If you wish to appeal you must do so in writing to the Corporate Information Manager at the following address

Corporate Complaints, Casework & Information Governance Team  
London Borough of Lewisham  
1st Flr, Town Hall Chambers  
Rushey Green  
Catford  
London, SE6 4RY

or

[xxxxxxxxxxxxxxxx@xxxxxxxx.xxx.xx](mailto:xxxxxxxxxxxxxxxx@xxxxxxxx.xxx.xx)

Yours sincerely

**Hanna Gibson**  
**Senior Customer Resolutions Officer**  
**Corporate Complaints, Casework & Information Governance Team.**

**London Borough of Lewisham**  
**Address 5th Floor Laurence House, 1 Catford Road, London, SE6 4RU.**