

**SCHEDULE V**  
**AUTHORITY'S RESPONSIBILITIES**

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## SCHEDULE V

## AUTHORITY'S RESPONSIBILITIES

## 1. SCOPE

- 1.1 The Authority shall comply with its responsibilities regarding the information, data, materials and manpower as described in the table set out in Clause 2 below (the “**Authority’s Responsibilities**”).
- 1.2 If the Authority fails to perform the Authority’s Responsibilities set out in this Schedule, the provisions of Clause 45 of Schedule B (**Conditions of Contract**) shall apply.

## 2. AUTHORITY'S RESPONSIBILITIES

CATEGORY	AUTHORITY'S RESPONSIBILITY – THE AUTHORITY SHALL PROVIDE:	QUANTITY	COMPLIANCE DEADLINE FOR PERFORMANCE OF THE RELEVANT AUTHORITY'S RESPONSIBILITY
<b>DATA AND DOCUMENTATION - FOR ENGLAND AND WALES</b>			
	1. Appropriate access and rights to use Operational NAFIS central databases, subject to the data protection provisions set out in Clause 31 of Schedule B ( <b>Conditions of Contract</b> ).	All marks, ten prints and palms that comprise the national collection.	At Effective Date.
	2. Appropriate access and rights to use Operational NAFIS bureaux databases, subject to the data protection provisions set out in Clause 31 of Schedule B ( <b>Conditions of Contract</b> ).	All marks, ten prints and palms that are maintained at the individual bureau.	At Effective Date.
	3. The Authority shall use its Commercially Reasonable Endeavours to ensure that the NAFIS Bureaux MOUs (existing prior to the Effective Date) and NAFIS Livescan MOUs (existing	All MOUs developed during the delivery and support of NAFIS and NAFIS Livescan.	At Effective Date for NAFIS Bureaux and with effect of CCN010 “National Livescan Services” for NAFIS Livescan until EOS1 by which time the Contractor will have developed and delivered new IDENT1 MOU’s to all Forces.

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	<p>prior to CCN010, “National Livescan Services”) continue until the Contractor enters into new MOUs by EOS1. If the Authority is unable to comply with this Authority’s Responsibility due to any issue(s) raised by a Police Force, the Parties will work together with the relevant Police Force to resolve such issue(s) or, if appropriate, the Parties may amend the relevant existing NAFIS Bureau and / or NAFIS Livescan MOU(s) to address such issue(s). If the Parties are unable to resolve any issue raised by the relevant Police Force, the Parties will escalate the matter in accordance with Schedule P (<b>Dispute Resolution Procedure</b>).</p>		
	4. Relevant benchmark data sets.	1M records (fingers and palms) and corresponding set of search marks, prints and palms.	At Effective Date and to be available for use throughout the IDENT1 programme, subject to the Contractor complying with the data protection provisions set out in Clause 31 of Schedule B ( <b>Conditions of Contract</b> ).
	5. Relevant Palm Test Data.	Palm test data (background database and corresponding	20 days after the Effective Date subject to the data protection provisions set out in

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		search palms) to be used in the Fairfax Test Facility.	Clause 31 of Schedule B ( <b>Conditions of Contract</b> ).
<b>FACILITIES – FOR ENGLAND AND WALES</b>			
	1. NAFIS operations and computer hall work area at Hendon Data Centre.	Lease of Contractor's existing space at Hendon Data Centre to be continued until EOS1 with options to extend in yearly increments to the end of the Contract, subject to the terms and conditions (including any termination rights) of the lease for the Hendon Data Centre.	At Effective Date.
	2. NAFIS and NAFIS Livescan facilities at existing bureau locations.	All relevant NAFIS and NAFIS Livescan equipment and facility space (to include communications, electrical cabling and UPS, where currently provided by the forces) to be provided as detailed within the then current MOU.	At Effective Date and up until expiry of the MOU.
	3. MIS workstation space at NKBH and Hendon.	Desktop workspace and access to power and communications for MIS workstation. Subject to the provisions of Clause 45 of Schedule B ( <b>Conditions of Contract</b> ), the	Effective Date + 30 days.

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		liability to the Authority under this Authority Responsibility, should NKBH and Hendon facilities not be available, is solely limited to the provision of the MIS workstation at NKBH and Hendon.	
	4. Appropriate conference room space for monthly programme reviews at an appropriate location, including locations in Scotland. Where it is mutually agreed to use a Contractor's facility, the Contractor shall be liable for all costs of providing the facility, excluding the Authority's travel, accommodation and staff costs.		Monthly PSRs, and formally schedule design reviews.
	5. Agreed facility space at all National Livescan Services locations for installation of Livescan / EIUs subject to site survey.	The Authority will use its reasonable endeavours to provide space at each of the proposed National Livescan Services sites. This space will be subject to the site survey as detailed in Schedule Q ( <b>Contractor's Solution</b> ).	As the Parties agree to meet CCN010 "National Livescan Services" proposed roll out schedule.
<b>LABOUR - FOR ENGLAND AND WALES</b>			
	1. An appropriate number of	Such number of fingerprint offices to	As the Parties agree to meet the relevant

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	fingerprint officers to participate at UK Prototyping Laboratory to evaluate HCI.	be determined by the Authority, as detailed in Schedule G ( <b>Transition</b> ) and Schedule Q ( <b>Contractor's Solution</b> ).	Milestones in Schedule G ( <b>Transition</b> ).
	2. An appropriate number of Authority personnel to participate in operational tests in the UK prior to deployment of releases.	Such number of the Authority personnel to be determined by the Authority and detailed in Schedule H ( <b>Acceptance Procedures</b> ).	As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).
	3. Appropriate number of PNC staff to support regression and integration test of new IDENT1 functionality and testing of enhancements to existing functionality.	As detailed in Schedule H ( <b>Acceptance Procedures</b> ).	As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).
<b>DATA AND DOCUMENTATION – FOR SCOTLAND</b>			
	1. Relevant legacy SAFR tenprint, palm and mark data subject to the data protection provisions set out in Clause 31 of Schedule B ( <b>Conditions of Contract</b> ).		As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).
	2. Provide the approval to obtain the Livescan to SAFR "Interface Contract Document".		As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).
	3. Pre-existing CHS Interface Specification information.	Access to technical staff to define the interface.	As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).

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	4. Make available Palm prints maintained in paper collection with URNs to identify the related ten print subject to agreed management processes.		As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).
	5. Provide reasonable assistance in the submittal of IDENT1 Livescan EIU for device approvals. For the avoidance of doubt, the Authority is not responsible for the subsequent approval or length of the approval period. It is the Contractor's responsibility to meet the relevant specification.	The Authority shall submit the documentation / specification provided by the Contractor regarding IDENT1 Livescan / EIU's to the appropriate Minister in Scotland.	As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).
	6. No less than a dozen samples of Scottish ten print forms, with sample representative CHS data for use in developing IDENT1 training, subject to the data protection provisions set out in Clause 31 of Schedule B ( <b>Conditions of Contract</b> ).	The Authority will provide such fingerprint data as agreed in a sanitised / generic form for training. In addition the Authority will provide representative examples of CHS data from one or both of the two CHS training databases.	As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).
<b>FACILITIES - FOR SCOTLAND</b>			
	1. Agreed facility space for IDENT1 operational Bureaux system in Scotland (for the avoidance of doubt, this shall not apply for the parallel Bureau).	The Authority will use its reasonable endeavours to provide space at the SCRO site at Pacific Quay. This space will be subject to the site survey as detailed in Schedule	As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).

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		Q ( <b>Contractor's Solution</b> ).	
	2. Agreed facility space at all Scottish Livescan locations for installation of Livescan / EIUs subject to site survey.	The Authority will use its reasonable endeavours to provide space at each of the proposed sites. This space will be subject to the site survey as detailed in Schedule Q ( <b>Contractor's Solution</b> ).	As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).
	3. Pre-existing facility layouts, including appropriate pre-existing wiring diagrams for power and communications to support equipment installations at the 4 Bureau in Scotland.		As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).
<b>LABOUR - FOR SCOTLAND</b>			
	1. Reasonable number of fingerprint staff (as agreed with the Authority) to confirm identification of respondents from IDENT1 search prior to adding to unified collection.	As detailed in Schedule G ( <b>Transition</b> ) and Schedule Q ( <b>Contractor's Solution</b> ).	As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).
	2. Reasonable number of Staff (as agreed with the Authority) to support design, development and testing of the integrated SCRO CHS into IDENT1.		As the Parties agree to meet the relevant Milestones in Schedule G ( <b>Transition</b> ).
	3. Post EOS1 – reasonable number of SCRO CHS staff to support testing and regression	As detailed in Schedule H ( <b>Acceptance Procedures</b> ).	Post EOS1 as agreed by both parties.

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	testing of new and enhanced IDENT1 capabilities.		
<b>EOS2</b>			
	<p><b>Schedule G, Annex G-5, Item 40 SLA between Contractor and third parties.</b>                      Coordination of MOU agreement and signature (i.e. must broker) between the Contractor and external service providers (list of external service providers to be provided by Contractor).</p>		<p>As the Parties agree to meet the relevant Milestones in Schedule G (<b>Transition</b>)</p>

### 3. AUTHORITY'S RESPONSIBILITIES FOR LANTERN PILOT PHASE 2

The Authority is responsible for delivery of all CFE/CFI required under this CCN014R2, including the following CFE/CFI required for this CCN014R2 "LANTERN Pilot Phase 2 - Implementation Phase":

- the C&W PDA SRAS functionality as defined in Cable & Wireless Proposal "CJX Remote Access for PDA's - Version for Northrop Grumman", version 2.3, dated 18<sup>th</sup> October 2005',
- all C&W SRAS deliverables, including approved SRAS software and the next version of the Aventail SSL VPN for an enhancement to simplify start-up for the operator and to fix to a current fault that prevents operation of the full SRAS stack,
- liaison with the Police Forces, and
- all Force GPRS hardware and software for GPRS connectivity.

The Authority is responsible for delivery of all CFE/CFI from its contractors to Northrop Grumman:

- that satisfy and meet the LANTERN Pilot Phase 2 requirements, and
- on or before the critical dates as set out in the proposed roll-out schedule.

### 4. AUTHORITY'S RESPONSIBILITIES FOR IDENT1 SERVICES FOR UKVISAS

The additional Authority's Responsibilities in respect of IDENT1 Services for UKvisas are set out in Annex V-1.

### 5. AUTHORITY'S RESPONSIBILITIES FOR LANTERN SERVICE EXPANSION

The Authority is responsible for delivery of all CFE/CFI required under this LANTERN Service Expansion:

- All C&W SRAS deliverables, including approved SRAS software,
- Provision of all C&W Certificate Authority capability for LANTERN,
- Liaison with the Police Forces, and
- All Force GPRS hardware and software for GPRS connectivity.