



Name of site: Fleetwood WwTW Operator/United Utilities Water Plc Permit: QP3438MC
 Officer: Tom Glyn-Jones Date: 12/12/2007 Area/office: N'th West (C) - Preston
 Activity: Non LF/Incineration Waste Time: 13:15 / 15:20 Event: Routine Incident
 Assessment: Site Audit Check Report / data Procedure review
 Site life status: Operational Pre- Post-operational
 Which part(s) of the permit were assessed?: PPC Activity Only

Compliance assessment summary

Key to completion: A = Assessed/Assessed in part (no evidence of non-compliance) ATL =

Approach to limit

a) Permitted activities	1	A								
b) Infrastructure	1	A	2	N	3	A	4	A	5	A
c) General management	1	A	2	N	3	N	4	A		
d) Incident management	1	A	2	N						
e) Emissions	1	A	2	A	3	A	4	A	5	A
f) Amenity	1	A	2	N	3	NA	4	NA	5	A
g) Monitoring and records, maintenance and reporting	1	N	2	N	3	N	4	A		
h) Resources efficiency	1	N	2	N						

The breaches indicated above may constitute one or more offences. You should take immediate action to rectify each breach and return to compliance. You should prevent any repetition of the breaches. Breaches of conditions as indicated above can result

NB. IF THERE IS A BREACH, ONLY ONE OF THE FOLLOWING WILL HAVE AN 'X' PLACED IN THE BOX

At present we do not intend to prosecute you for the above offences however you are warned that this may change if further information comes to light. THIS IS A SITE WARNING

We will now consider what Enforcement action is appropriate.

Review of Directly Applicable Legislation : Key: A = Assessed, N = Not assessed, NA = Not Applicable, C1, C2, C3, C4, C5, C6, C7, C8, C9, C10, C11, C12, C13, C14, C15, C16, C17, C18, C19, C20, C21, C22, C23, C24, C25, C26, C27, C28, C29, C30, C31, C32, C33, C34, C35, C36, C37, C38, C39, C40, C41, C42, C43, C44, C45, C46, C47, C48, C49, C50, C51, C52, C53, C54, C55, C56, C57, C58, C59, C60, C61, C62, C63, C64, C65, C66, C67, C68, C69, C70, C71, C72, C73, C74, C75, C76, C77, C78, C79, C80, C81, C82, C83, C84, C85, C86, C87, C88, C89, C90, C91, C92, C93, C94, C95, C96, C97, C98, C99, C100, C101, C102, C103, C104, C105, C106, C107, C108, C109, C110, C111, C112, C113, C114, C115, C116, C117, C118, C119, C120, C121, C122, C123, C124, C125, C126, C127, C128, C129, C130, C131, C132, C133, C134, C135, C136, C137, C138, C139, C140, C141, C142, C143, C144, C145, C146, C147, C148, C149, C150, C151, C152, C153, C154, C155, C156, C157, C158, C159, C160, C161, C162, C163, C164, C165, C166, C167, 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Notes to the recipient

This compliance report form may highlight non-compliance with your permit or directly applicable legislation as observed by the Environment Agency officer.

This does not relieve the site operator of their responsibility to ensure that they comply with the permit and to prevent pollution of the environment. You are also reminded that:

you should comply with the conditions of the permit at all times

compliance with the permit does not remove your obligation to comply with other legislative provisions which may apply.

Understanding the Compliance Assessment Summary

a) ... Permitted	1	Specified by permit
b) Infrastructure	1	Engineering for prevention and control of emissions
	2	Closure and decommissioning
	3	Site drainage engineering (clean and foul)
	4	Containment of stored materials
	5	Plant and equipment
c) General	1	Staff competency/training
	2	Management system and operating procedures
	3	Materials acceptance
	4	Storage, handling, labelling and segregation
d) Incident	1	Site security
	2	Accident, emergency and
e) Emissions	1	Air
	2	Land and groundwater
	3	Surface water
	4	Sewer
	5	Waste
f) Amenity	1	Odour
	2	Noise
	3	Dust/fibres/particulates and litter
	4	Pests, birds and scavengers
	5	Deposits on road
g) Monitoring and records, maintenance and reporting	1	Monitoring of emissions and
	2	Records of activity, site
	3	Maintenance records
	4	Reporting and notification to the Environment Agency
h) Resource efficiency	1	Efficient use of raw materials
	2	Energy efficiency

The term 'permit' for the purposes of this form includes: licences, authorisations and consents.

Understanding your non-compliance scores

Non-compliance findings are classified using our published Compliance Classification Scheme, (CCS).

This scheme categorises breaches of permit conditions based on their potential

for environmental impact as shown below. If you wish to discuss further any comments made by the officer on this form, contact your local area office or for more details of the CCS scheme, see the Environment Agency's website or contact your local office.

CCS category	Description
1	A non-compliance which has a potentially major environmental effect

2	A non-compliance which has a potentially significant environmental effect
3	A non-compliance which has a potentially minor environmental effect
4	A non-compliance which has no potential environmental effect

Corrective action

We have various options to ensure that you correct actual or potential non-compliance:

- We may advise on corrective actions, verbally or in writing
- We may require you to take specific actions, by letter or by issuing a notice
- We may require you to review your procedures or management systems
- We may change some of the conditions of your permit
- We may decide to undertake a full review of your permit

Any breach of a permit is an offence, and we may take legal action:

- We may serve a warning on site or by letter. The officer may complete the site warning declaration on this form. A warning is our minimum enforcement response, however, it does not preclude us taking additional enforcement action including the issue of a formal caution, taking a prosecution and/or the service of a notice.
- Depending on the offence, the penalties imposed by a Court on prosecution can include substantial fines and even imprisonment..

We have published our Enforcement and Prosecution Policy which seeks to achieve a consistent approach to enforcement across all our regulated activities.

Environment Protection Operator and Pollution Risk Appraisal (EP OPRA)

Compliance assessment findings may affect your EP OPRA score. This score determines your charge and affects the allocation of our resources to check your compliance with the permit.

Data protection notice

The Environment Agency is responsible for regulating environmental protection, flood defence, water resources and fisheries. It has a duty to discharge its functions to protect and enhance the environment and to promote conservation and recreation. The information provided will be processed by the Environment Agency to fulfil its regulatory and monitoring functions, and to maintain the relevant public register(s). The Environment Agency may also use and/or disclose it in connection with:

- offering/providing you with its literature/services relating to environmental matters
- consulting with the public, public bodies and other organisations (e.g. Health and Safety Executive, local authorities, emergency services) on environmental issues
- carrying out statistical analysis, research and development on environmental issues
- providing public register information to enquirers
- investigating possible breaches of environmental law and taking any resulting action
- preventing breaches of environmental law
- assessing customer service satisfaction and improving its service
- Freedom of Information Act/Environmental Information Regulations request.

The Environment Agency may pass it on to its agents/representatives to do these things on its behalf. You should ensure that any persons named on this form are informed of the contents of this data protection notice.

Disclosure of information

The Environment Agency will provide a copy of this report to the public register(s). However, if you consider that any information contained in this report should not be released to the public register(s) on the grounds of commercial confidentiality, you must write to your local area office within twenty working days of receipt of the assessment form indicating which information it concerns and why it should not be released, giving your reasons in full.

Customer charter – What can I do if I disagree with the compliance assessment report?

If you are unable to resolve the issue with your site officer, you should firstly discuss the matter with the officer's line manager, Area Environment Manager or Area Manager. If you wish to raise your dispute further, this can be done through our official Complaints and Commendations procedure phone our general enquiry number 08708 506506 (Mon to Fri 08.00–18.00) and ask for the Customer Contact team, alternatively you can send an email to enquiries@environment-agency.gov.uk. If, after following our Complaints and Commendations procedure, you are still dissatisfied, you can make a complaint to the Ombudsman. For advice on how to complain to the Parliamentary Ombudsman phone their helpline on 0845 015 4033.